Plan Composition Using Higher-Order Functions

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ABSTRACT

Background and Context. Program planning has been a long-standing and important problem in computing education. Finding useful primitives for planning and assessing whether students are able to understand and use these primitives remain open problems. We make progress on this problem by using higher-order functions (hofs) as planning operations. Not only are hofs increasingly prevalent in computing broadly, some data science programming sources also recommend their use in planning solutions to data-processing pipelines, giving our task additional applicability.

Objectives. We first wish to confirm that students can understand the behavior of individual hofs. We also seek to understand which behavioral features of these hofs are reflected in their understanding. We then investigate their ability to plan solutions as the composition of hofs. We examine this from two perspectives: recognizing compositions from examples, and describing compositions to solve problems.

Method. Our work is situated in early-stage tertiary education. To investigate student understanding of individual hofs, we present them with both input-output examples and open-ended questions. To investigate the composition of hofs, we use two formats. First, we use the same input-output format as for individual hofs. We then ask students to construct concrete plans for programming problems defined using text. These plans were constructed using a tool that was custom-built for this work. Students were then asked to write programs to solve the same problems. We perform both quantitative and qualitative analysis of the responses.

Findings. We find students are proficient at recognizing individual hofs through input-output examples. They use a variety of features to identify hofs, with the most prominent features being type-based. While they do have difficulty recognizing compositions of hofs presented in the same input-output example format, there may be simple explanations for this. Either way, students are able to produce correct plans that require composing hofs, and can successfully translate these plans into correct code.

Implications. We believe work in this vein has many useful consequences for programming education. Explicit planning atop a vocabulary of primitive operations has not received much attention in computing; our work suggests that hofs provide a useful initial set of planning primitives. (These are, however, generic and not problem-specific, so there is much room for growth.) However, students’ possible difficulties in recognizing hof compositions from examples warrants further attention.

CCS CONCEPTS
• Applied computing → Education.

KEYWORDS
program plans, composition, higher-order functions

ACM Reference Format:

1 INTRODUCTION
Programming is a complex activity that requires significant attention to detail. These details can vary greatly in levels of abstraction, from the very low (e.g., primitive datatype coercions) to the very high (e.g., algorithmic and heuristic choices). Maintaining all this detail can be onerous, with significant amounts of extraneous cognitive load.

For these and other reasons, there is a long history of trying to teach students to plan solutions. In principle, plans can focus on high-level solution strategies and avoid some low-level implementation details. By abstracting solutions to these strategies, it should also become easier to identify similarities between solutions and perhaps also transfer knowledge from one problem to another.

Unfortunately, the literature on planning and plan composition has not made much progress over the decades. Studies that began with the Rainfall problem [34] found students unable to solve the problem, and the focus shifted to student difficulties rather than student plans. Only in recent years have we seen students successfully able to solve this problem [10, 33] and other problems like it [1, 12].

These recent successes largely ask students to write programs and retroactively study the structures that students used. In contrast, we explicitly return to the roots of this problem by asking students to plan solutions up front. In particular, we give them a toolkit of planning primitives and ask them to compose these into solution structures.

What primitives can we provide? As a starting point, we choose to use built-in higher-order functions (hofs). There is nothing canonical about this choice—one could just as well choose a different origin. We nevertheless choose them for several reasons:
While they are programming language constructs, they also represent a useful vocabulary for data manipulation. As recent work [23] shows, students can understand them from a behavioral perspective, not just as patterns of code.

Influential data science educators like Hadley Wickham encourage their use for data processing [39, 40]. Thus, if students acquire facility with them, that knowledge may be portable (up to the limits of transfer).

Of course, our work is implicitly an experiment: to see whether HOFs work for this purpose. For the style of problems we analyze, they seem to do the job well. This may not generalize to other problems (section 11), and we surely still need a much richer vocabulary of planning primitives (section 13.2). Still, they provide a convenient, concrete, operational starting point.

In this work we first establish students’ understanding of primitive HOFs. Then we examine their comfort with HOF composition and with planning. Finally, we examine the transition from plans to code. Concretely, we ask the following research questions:

(1) How well do students understand individual HOFs? Concretely:
   (a) To what extent can students recognize example uses of individual HOFs?
   (b) What behavioral features of the individual HOFs do students focus on when identifying these examples?

(2) To what extent can students recognize examples of compositions of HOFs?

(3) How well are students able to plan out a solution to a problem that requires a composition of HOFs?

(4) How well do students do at implementing these plans in code?

2 THEORETICAL BASIS

Our work is fundamentally founded on the theory that students are capable of forming meaningful abstract conceptualizations of HOFs and using these abstractions together in composition to solve problems. This belief is based in prior work from both cognitive science and computing education research. Prior work in abstraction formation in cognitive science shows that the presentation of multiple, perceptually disparate instances of the same abstraction (which in our case would be a particular HOF) enables learners to focus on and understand its deep common core [16]. Our recognition instrument (section 6.1) is designed around this principle, using multiple distinct examples to present a single HOF not only to assess student recognition but to aid students in this abstraction formation process.

Our work also builds extensively upon the work done by Krishnamurthi and Fisler [23] (subsequently referred to as KF21). Their work suggests that HOFs can be treated as behavioral building blocks, not only as (say) abstractions over code. Their perspective is essential for our work: it would not make sense to use templates-of-code as primitives for planning in a pre-programming phase. Thus, our work critically depends on students being able to view HOFs as atomic units of high-level behavior.

This in turn means that we are not focused on schema formation [30, 38]. While these theories may apply, we believe abstraction formation to be more relevant. Schema formation would primarily apply if we wanted students to recognize the templates-of-code underpinning each HOF and to be able to use or adapt that template in other similar scenarios, effectively creating their own HOF. Instead, we go the opposite direction, hoping to treat each HOF as a black-box abstraction which can then be used in planning.

We also hold (and in fact this work seeks to test) the core belief that planning is useful for solving computational problems. Specifically, we look at the planning process known in cognitive science as subgoal decomposition. In this process, a problem is broken down into small goals, whose solutions are composed together to solve the original problem [6]. For example, the goal of driving one’s daughter to school is broken down into subgoals (e.g. getting daughter and self into car, and driving car to school), each of which is broken down into further subgoals (e.g. backing out of driveway, driving on Main Street for 2 miles, taking a right on Elm). Catrambone [4] demonstrated that when learners organize their problem-solving process by subgoal, they are more successful in solving the problem.

Computing problems which involve compositions of HOFs naturally lend themselves to this subgoal decomposition model, and the act of planning is then the act of decomposing a problem into constituent function calls, and then chaining these calls together to create a final program which matches the original specification. Critically, in our work all of this planning is done before any implementation, which forces students to focus on the act of creating and organizing subgoals, and noticing similarities and differences in this organization between problems, all before they have to interact with the syntax of a specific programming language.

3 OTHER RELATED WORK

The field of plan composition was originally started by Soloway’s study of the Rainfall Problem [34], and has been revitalized recently by progress made by Fisler [10]. The work in this space seeks to understand the process by which students generate code. All of these works attempt to infer a plan or a planning strategy from student-produced code [31], either during [11, 36] or after [8, 21] the coding process. We are not aware of any prior work in the planning space which explicitly discusses planning as a separate step undertaken before the act of programming.

Some recent work in plan composition has included information about student use of HOFs [1, 10, 12, 33]. We are, however, not aware of literature which directly studies students’ understanding of compositions of HOFs.

Subgoal decomposition is related to subgoal labeling. In subgoal labeling, labels are assigned to different parts of a worked example, which describe the purpose of that individual part to the learner. This process and its benefit to learners in certain contexts has been studied extensively, perhaps most notably by Morrison et al. [26, 27] and Margulieux et al. [24, 25]. However, all of the previous subgoal labeling work has been at a very low level, working with individual syntactic elements of an imperative programming language. None of this work addresses constructs like higher-order functions, which...
have a more rich semantic behavioral interpretation, or the functional programming space, where the subgoals are not necessarily arranged linearly as they might be in imperative programming. Our work does not involve explicit subgoal labeling, but one could potentially view our planning activities as conceptually asking students to come up with their own (unlabeled) subgoals for different parts of the problem.

In a similar vein, one may find commonalities between our work and work done by Muller et al. [29] on pattern-oriented instruction (POI), where students are taught to attach labels to specific programming patterns, and to look for these patterns when approaching new problems. De Raadt built on this idea in his dissertation on explicitly teaching programming strategies [7]. But as with subgoal labeling, this previous work has all been at very low level and only in imperative programming languages. Additionally, this work still does not explicitly describe any concrete student plans, and must resort to inferring a plan or strategy from submitted code and/or prose descriptions of the submitted code submitted after the programming was completed.

Another line of work to which we could be compared is the Prolog Tutor [19]. This work builds upon the idea established by Gegg-Harrison [14] that most programs in a domain (e.g., logic programming) can be identified and classified by shared high-level “schemata”, or even compositions of these schemata. There is a clear analogy between the Prolog schemata and our hof abstractions, but like plan composition, this is a line of work in which students are not asked to plan with these schemata before programming. All of the systems in this line of work use the schemata to provide feedback to a student once that student has already started engaging with the programming language.

Our work also builds on and goes beyond KF21 in several ways. First, we confirm (section 7.1) their basic experimental results. (In the process, we also extend their results to recognition of compositions (section 8).) Second, whereas they provide students with a behavioral understanding (which is not subsequently tested), we check whether students have generated one for themselves (section 7.2). The rest of this paper (on composition) is entirely new relative to their work.

4 SCOPE

In this work we limit ourselves to discussions of hofs that operate on lists. We focus specifically on map, filter, andmap, ormap, sort, and take-while. Except for take-while, all of these hofs exist in most common programming languages, though sometimes by different names. We use Racket’s [13] names, following our study setting. The documentation (both definition and examples) for take-while were created in the same style as the documentation for the other hofs in Racket, and was presented to students at the beginning of our first instrument (section 6.1). Table 1 contains a brief description of each of the allowed hofs. All operations are functional, i.e., they create new outputs rather than modify the input.

All of these hofs have the form $\text{hof}(f, L)$ where L (the listarg) is a list and f (the funarg) is a function that consumes elements from L as input. (Applying these ideas to other datatypes is interesting future work but outside the scope of this paper.)

When we refer to hof composition, we specifically mean the nesting of different hofs calls to solve a particular problem. The inner hof may be called in either the funarg or listarg of the outer hof, and may be nested an arbitrary number of levels deep.

We intentionally left fold and its variants out of this study. fold is a universal function among the hofs, that is, all of the other hofs can be written as instances of fold. As KF21 report, this makes student responses much more ambiguous and hence difficult to interpret. We do still see some number of students referencing or using fold in their responses, even in places where we made effort to exclude it for the purposes of our study, and we view understanding student conceptions of this universal function as important follow-up in this line of work.

5 SETTING

This work is situated at a highly selective private university (tertiary) in the United States. All studies were within the context of an accelerated introductory CS course, which is primarily taken by incoming first-year students. About two-thirds were first-year students (typically 18 years old); the rest had already had at least a semester of tertiary study. About 10% had no prior computing experience, with the rest having taken some (secondary school) computer science, up to the AP CS A course, with a handful having gone farther. Nearly all were new to functional programming.

In order to place into the course, students had to satisfactorily complete a month-long module (over Summer 2021) which teaches beginning functional programming using How to Design Programs [9] (htdp), with graded exercises approximately every ten days. The material of this module roughly compares to the first month of a conventional introductory course at the same university. The module is taught entirely in Racket. The studies are specifically set within this placement process.

The book uses types but as comments (rather than statically checked). This includes parameteric types. Every function presented includes its corresponding type signature, including the functions students are asked to write in the module exercises.

The last graded exercise in the module asks students to redo the same problems from the previous exercise, but using only the provided hofs and no explicit recursion. All of the problems require a composition of hofs.

This exercise came with a required pre-reading (Part III of htudp), in which students were first introduced to hofs. Our first instrument (section 6.1) was included at the end of the reading as a “check your understanding” activity. This activity was not mandatory and not graded, and there were 56 students who completed the activity.

Students were asked to plan out their solutions to this exercise before coding, using a custom variant of Snap! [18] (section 6.3). Submitting Snap! plans was considered a mandatory part of the exercise, but the plans were not graded. 109 students submitted code for this exercise. Of these students, only 105 students submitted Snap! plans. Four of the students who submitted plans did not follow the given instructions, and so we exclude them from analysis to allow for a consistent coding scheme. One student did not submit plans for the first two problems, but we do analyze their plans for the last two problems. One student dropped the class between planning and finalizing their code submission, and so we
Table 1: Higher-order Function Descriptions

<table>
<thead>
<tr>
<th>HOF</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>map</td>
<td>Transforms the input list point-wise by applying the funarg.</td>
</tr>
<tr>
<td>filter</td>
<td>Retains those elements of the input list that satisfy the funarg predicate.</td>
</tr>
<tr>
<td>andmap</td>
<td>Determines whether all elements satisfy the provided funarg.</td>
</tr>
<tr>
<td>ormap</td>
<td>Determines whether any elements satisfy the provided funarg.</td>
</tr>
<tr>
<td>sort</td>
<td>Sorts the input list using the funarg as the ordering comparator.</td>
</tr>
<tr>
<td>take-while</td>
<td>Retains all elements of the input list that satisfy the funarg predicate until the first to fail it.</td>
</tr>
</tbody>
</table>

did not analyze their code, which left some functions unfinished and others unimplemented. One more student submitted code with unimplemented functions, but stayed in the class. We include the functions they did implement in our analysis. In total we have: 101 students who submitted 402 analyzable plans, and of those we have 100 students who submitted 398 analyzable code implementations.

Another instrument (section 6.2) was sent out in the weeks between the end of the placement course and the start of the semester. This instrument was optional and was not considered a part of the required module. 55 students completed this instrument.

We recognize that we may have been able to get more detailed data with closer monitoring of individual students, by assessing their detailed recognition or planning processes. In the pursuit of an inclusive classroom environment for all, we intentionally chose not to implement any such system. Surveillance systems can produce significant anxiety in the students being watched [17], which may have altered either the process they used or even their overall performance. Students are particularly likely to feel this anxiety, and show resulting performance decrements, when they perceive themselves to be at risk of conforming to negative stereotypes about their social group [35]. By avoiding imposing these anxieties, we are in fact able to get a more accurate and reliable reading than we might otherwise.

6 INSTRUMENTS

In this section we present an overview of the instruments used in this study. Some instruments may consist of multiple parts that help to answer more than one research question.

6.1 Classifying Examples by HOFS

Before we ask students to plan using HOFS, we want to ensure that students have a grasp of the HOFS we are asking them to use. We use the following instrument to confirm that students are capable of recognizing uses of HOFS. This instrument is inspired by, but significantly extends, KF21, which first studied student understanding of HOFS.

We provide students with 25 questions. Each question consists of a set of three input-output examples (written in Racket syntax), and asks them to identify the (a) single HOFS(s) or (b) composition of HOFS that could be used to produce the examples, or to (c) indicate that it is impossible with the HOFS given. Students could only choose from the following five HOFS: map, filter, take-while, ormap, and sort.

Table 2 shows the breakdown of the tasks. Each single HOFS was given in three forms (e.g., Filter1, Filter2, and Filter3) with a consistent structure. We illustrate this through an example first. (The full set of questions is available in appendix A.) Here are the three pairs for Filter1:

- (list 2 3 6) → (list 2 3)
- (list 5 2 3 6 2 7) → (list 5 2 3 2)
- (list 0 3 9 6 9 1 4) → (list 0 3 1 4)

All three can be solved by using the same funarg (e.g., one that removes all elements ≥ 6). Here is Filter2:

- (list "property" "logic" "testing" "code")
  → (list "property" "logic" "code")
- (list "friend" "boyfriend" "girlfriend" "weekend" "coffee")
  → (list "coffee")
- (list "many" "plethora" "few" "dearth" "solitary" "one")
  → (list "many" "few" "one")

Here, each one (can) require(s) a different predicate as a funarg, but they are all of the same type (String -> Boolean). In case this problem seems rather hard, recall that students are not being asked to guess what the predicates are; rather, they are only being asked to identify which of the five given HOFS could produce this output. Therefore, they only need to know whether this is a "map kind of transformation", "filter kind of transformation", and so on.

Finally, Filter3 goes even further, varying even the type signature of the funarg:

- (list "lion" "bear" "tiger")
  → (list "lion" "tiger")
- (list (list 1 3 4) (list 2 5) (list 3 7 9 8) empty)
  → (list (list 1 3 4) (list 3 7 9 8))
- (list true false true true false false)
  → (list true true true)

In general, the HOFS triples are all produced by the same funarg, the HOFS2 triples by different funargs but with the same type, and the HOFS3 triples by different funargs with different type signatures.

We refer to these questions as the Single questions, of which there are fifteen in all: five HOFS presented in three ways each. The instrument also includes:

- Multi Two questions that are intentionally ambiguous and could be solved by either filter or take-while.
- Identity Two questions where the output is the same as the input, and hence can be solved by most given HOFS.
- Comp Three questions that can only be solved by a composition of HOFS.
- Impossible Three questions that cannot be solved by the HOFS given, even in composition.
In the process of designing the Comp problems, we realized that there are major differences between different kinds of compositional problems. Discussing this is outside the scope of this paper; instead, it is the subject of a separate paper [32]. Following the terminology of that paper, the Comp problems used for this instrument only involved structural composition.

When answering, students could select from the following options:

- SINGLE: "I see how to produce each of these examples with the same (single) higher-order function"
- COMP: "I only see how to produce each of these examples using a combination of higher-order functions"
- NOT POSS: "I don’t think these examples are possible to produce with the given higher-order functions"
- IDK: "I don’t know"

Students that selected SINGLE were further prompted to multi-select all possible HOFs they could use from the list above. Those who chose COMP were asked to indicate (text field) how they would combine the available HOFs. Those choosing NOT POSS were given a text field to justify why they thought it was impossible. Students that selected IDK received no further prompting.

These questions were presented in a random order, except for the first question, which was always Map1. We chose to fix the first question to avoid presenting either a Comp or Impossible question first, which might have confused students’ understanding of the task.

**Assessment.** The authors carefully designed and studied the problems used for this instrument only involved structural composition. The correctness of any of the Single, Multi and Identity questions can all be checked automatically, by checking how many students selected SINGLE and then, of them, how many selected the correct HOF(s) from the multi-select. For these questions, any student who selected COMP or NOT POSS must also be incorrect, regardless of their textual response, since either selection would exclude a single HOF solution ("I only see...").

The Comp and Impossible answers both have textual responses. Therefore, the authors prepared codebooks, shown in tables 3 and 4, to code these. The codebooks were arrived at by two of the authors after three rounds of coding to obtain a Cohen’s $\kappa$ [5] of 1 for the Comp answers and 0.83 for the NOT POSS answers.

### 6.2 Behavioral Features of HOFs

Closed-set responses have the advantage of being (mostly) automatically codeable. However, they are insensitive to subtle mental model differences that would not change the response category. Following best practices in educational assessment, we combined the closed-set responses in section 6.1 with additional free-response questions, to build an instrument which is both sensitive and systematic. To do this, at the end of the placement process we include an open-ended question asking students to describe high-level characteristics (what

<table>
<thead>
<tr>
<th>Question</th>
<th>Correct Answer</th>
<th>Correct HOF(s)</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Map1</td>
<td>SINGLE</td>
<td>map</td>
<td>Same funarg</td>
</tr>
<tr>
<td>Map2</td>
<td>SINGLE</td>
<td>map</td>
<td>Different funarg with same type signature</td>
</tr>
<tr>
<td>Map3</td>
<td>SINGLE</td>
<td>map</td>
<td>Different funarg with different type signature</td>
</tr>
<tr>
<td>Filter1</td>
<td>SINGLE</td>
<td>filter</td>
<td>Same funarg</td>
</tr>
<tr>
<td>Filter2</td>
<td>SINGLE</td>
<td>filter</td>
<td>Different funarg with same type signature</td>
</tr>
<tr>
<td>Filter3</td>
<td>SINGLE</td>
<td>filter</td>
<td>Different funarg with different type signature</td>
</tr>
<tr>
<td>TakeWhile1</td>
<td>SINGLE</td>
<td>take-while</td>
<td>Same funarg</td>
</tr>
<tr>
<td>TakeWhile2</td>
<td>SINGLE</td>
<td>take-while</td>
<td>Different funarg with same type signature</td>
</tr>
<tr>
<td>TakeWhile3</td>
<td>SINGLE</td>
<td>take-while</td>
<td>Different funarg with different type signature</td>
</tr>
<tr>
<td>Ormap1</td>
<td>SINGLE</td>
<td>ormap</td>
<td>Same funarg</td>
</tr>
<tr>
<td>Ormap2</td>
<td>SINGLE</td>
<td>ormap</td>
<td>Different funarg with same type signature</td>
</tr>
<tr>
<td>Ormap3</td>
<td>SINGLE</td>
<td>ormap</td>
<td>Different funarg with different type signature</td>
</tr>
<tr>
<td>Sort1</td>
<td>SINGLE</td>
<td>sort</td>
<td>Same funarg</td>
</tr>
<tr>
<td>Sort2</td>
<td>SINGLE</td>
<td>sort</td>
<td>Different funarg with same type signature</td>
</tr>
<tr>
<td>Sort3</td>
<td>SINGLE</td>
<td>sort</td>
<td>Different funarg with different type signature</td>
</tr>
<tr>
<td>Multi1</td>
<td>SINGLE</td>
<td>filter, take-while</td>
<td>Different funarg with same type signature</td>
</tr>
<tr>
<td>Multi2</td>
<td>SINGLE</td>
<td>filter, take-while</td>
<td>Different funarg with different type signature</td>
</tr>
<tr>
<td>Identity1</td>
<td>SINGLE</td>
<td>map, filter, take-while, sort</td>
<td>Identity function, same type signature</td>
</tr>
<tr>
<td>Identity2</td>
<td>SINGLE</td>
<td>map, filter, take-while, sort</td>
<td>Identity function, different type signature</td>
</tr>
<tr>
<td>Comp1</td>
<td>COMP</td>
<td>map(filter)</td>
<td>-</td>
</tr>
<tr>
<td>Comp2</td>
<td>COMP</td>
<td>map(take-while)</td>
<td>-</td>
</tr>
<tr>
<td>Comp3</td>
<td>COMP</td>
<td>map(filter), map(take-while)</td>
<td>-</td>
</tr>
<tr>
<td>Impossible1</td>
<td>NOT POSS</td>
<td>N/A</td>
<td>Computing average</td>
</tr>
<tr>
<td>Impossible2</td>
<td>NOT POSS</td>
<td>N/A</td>
<td>Complicated math</td>
</tr>
<tr>
<td>Impossible3</td>
<td>NOT POSS</td>
<td>N/A</td>
<td>Duplicate list</td>
</tr>
</tbody>
</table>
Table 3: Classification Instrument: COMP codes

<table>
<thead>
<tr>
<th>Label</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>VALID-HOF-GROUP</td>
<td>Student proposes a solution which contains a group of HOFs which can be composed into a valid solution for all of the examples</td>
</tr>
<tr>
<td>VALID-HOF-INVALID-ORDER</td>
<td>VALID-HOF-GROUP + the student explicitly says how to compose the HOFs, but the composition is invalid for one or more examples because the HOFs are composed incorrectly</td>
</tr>
<tr>
<td>INVALID</td>
<td>Student proposes a single solution, and the proposed HOFs cannot be composed into a valid solution for all of the examples</td>
</tr>
<tr>
<td>DIFF</td>
<td>Student proposes different solutions for different examples</td>
</tr>
<tr>
<td>DIFF-INVALID</td>
<td>DIFF + at least one of the proposed solutions is invalid</td>
</tr>
<tr>
<td>VAGUE</td>
<td>Student answer is too short or vague to be labeled with any other label</td>
</tr>
</tbody>
</table>

Table 4: Classification Instrument: NOT POSS codes

<table>
<thead>
<tr>
<th>Label</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>DIFF</td>
<td>Student cites the need for different solutions for different examples</td>
</tr>
<tr>
<td>TYPE-VALID</td>
<td>Student makes a valid argument based on the type signatures of the available HOFs</td>
</tr>
<tr>
<td>TYPE-INVALID</td>
<td>Student makes an invalid argument based on the type signatures of the available HOFs</td>
</tr>
<tr>
<td>HOF-PROP</td>
<td>Student makes an argument based on a property of one or more of the available HOFs, where that property is NOT part of the type signature</td>
</tr>
<tr>
<td>HOF-PROP-CANON</td>
<td>HOF-PROP + the property is one of the ones included in KF21</td>
</tr>
<tr>
<td>ALTERNATIVE</td>
<td>Student cites a different function (which may or may not be a HOF) which would enable a solution to the problem if made available</td>
</tr>
<tr>
<td>NO-PATTERN</td>
<td>Student claims either that they don’t see a relationship between the input and the output, or claims that such a relationship does not exist</td>
</tr>
<tr>
<td>NO-REASON</td>
<td>Student does not actually present any reason (valid or invalid) for the question being impossible</td>
</tr>
<tr>
<td>VAGUE</td>
<td>Student answer is too short or vague to be labeled with any other label</td>
</tr>
</tbody>
</table>

Table 5: Behavioral Feature Instrument: Codes

<table>
<thead>
<tr>
<th>Label</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>FT</td>
<td>Funarg type signature</td>
</tr>
<tr>
<td>IT</td>
<td>Listarg type (saying “List” is sufficient)</td>
</tr>
<tr>
<td>OT</td>
<td>Output type (saying “List” is sufficient)</td>
</tr>
<tr>
<td>WHE/I</td>
<td>Which/how many elements of input determine an output element</td>
</tr>
<tr>
<td>OET/I</td>
<td>For list outputs, output element type relative to input</td>
</tr>
<tr>
<td>OO/I</td>
<td>For list outputs, output order relative to input</td>
</tr>
<tr>
<td>OL/I</td>
<td>For list outputs, output length relative to input</td>
</tr>
<tr>
<td>IODIFF</td>
<td>Can output list elements be different from input elements?</td>
</tr>
<tr>
<td>OTHER</td>
<td>Other property we didn’t account for</td>
</tr>
<tr>
<td>OPERATE</td>
<td>Operational description of the HOF</td>
</tr>
<tr>
<td>SPEC</td>
<td>Hand-wavy “specification” of the HOF</td>
</tr>
<tr>
<td>IDK</td>
<td>“I don’t know”</td>
</tr>
</tbody>
</table>

KF21 called “behavioral features”) of these functions. The full text of this prompt is as follows:

In the exercise we asked you to do before Placement 4, we had you classify input-output examples representing uses of different higher-order functions (HOFs). Now that you’ve had a bit more practice using HOFs, we’d like you to reflect on what specific characteristics of each HOF would help identify examples of that function.

Below we have provided text boxes for some of the HOFs you’ve worked with so far. Fill in each of them with as many characteristics as you can think of for that function.

For example:

- “map always produces a list”
- “the output elements of sort may not be in the same order as the input elements”
If you can’t think of anything, it’s okay to write “I don’t know”.

Assessment. Because students were allowed to write free-form textual answers, the authors prepared another codebook for the responses. This codebook is shown in table 5. Because each label can be applied independently of any other label, we compute a Cohen $\kappa$ for each label after three total rounds of coding between two authors, with a minimum $\kappa$ of 0.744. The codebook was seeded by the table in figure 1 from KF21.

6.3 Planning with Snap!

The final exercise in the summer placement module asked students to complete the same problems as solved in the previous exercise, but using compositions of the allowed hof $\text{map, filter, andmap, ormap, foldl, foldr}$, with explicit recursion forbidden. Given difficulties we had seen students face in previous years, we decided to ask students to plan their solutions before committing to code. This required students to have a more forgiving medium for planning, and in particular a medium that was different from the programming language (because students are often reluctant to change work once they have started doing it [28]).

To this end, we created a custom version of Snap!. We deleted all the standard blocks, and created custom blocks for each of the allowed hof for this exercise. Our custom blocks do not run or produce output in the interface, since we want students to plan in Snap! and not to program in it. Figure 1 shows the resulting Snap! palette.

Note that both variants of fold are included in the interface, because the interface was used for all of the problems in the exercise, not just the ones we analyze in this work. We still exclude fold from our analysis (section 4). take-while was introduced specifically for the recognition activity, but was not necessary for the assignment for which students would be planning, and so was excluded from the interface. sort was similarly excluded as unnecessary.

Each of the blocks has input slots corresponding to the arguments the HOF takes. andmap and ormap are Boolean-producing functions, for which Snap! offers a special visual distinction, resulting in those two functions having a different shape than the rest. In hindsight, we should not have introduced this additional distinction in our custom version of Snap!, given that our version Snap! does not enforce this distinction in any way. All of the input slots have the same initial shape (the text box), and will change shape to match any other block that is dragged into the slot.

Students were asked to complete and upload a plan using Snap! before they proceeded to coding. They were asked to fill in each input slot with either another HOF block, or with text describing what should go in that input (e.g., a description of a funarg). The ability to write free-form text without running into syntactic difficulties was a particular powerful benefit of Snap!. Students were explicitly told to not write Racket code in the input slots (though this did not prevent it from happening).

The exercise contained six problems. Two required fold, which we are excluding (section 4). The other four problems are briefly described in table 6, with full problem text in appendix B. All four are string manipulation problems, and are designed to have solutions that require nesting a HOF within the funarg of another HOF.

Assessment. The nature of the problems means we can divide the analysis into two parts: “do they have the right outer HOF” (OUTER) and “do they have the right inner HOF” (INNER). We also consider the level of detail of the overall plan (COMPLETE).

Because students were explicitly permitted to write their plans partly in prose, we created a codebook to evaluate their responses. To avoid abstracting excessively and losing important detail, we first created a separate codebook for each problem. After three to five rounds for each problem, each of these separate codebooks attained a Cohen $\kappa$ of 0.821 or greater for each category within the codebook. We then observed that the codebooks were sufficiently similar that we could map them to a single set of codes. To avoid burdening readers with excessive problem-specific detail, we present the combined codes; we believe this does not lose any essential information.

The resulting combined codebook is shown in table 7. In our analysis, we ignore any type-conversions wrappers (e.g., $\text{string->list}$) the student may have included, in order to better evaluate the high-level structure of the student plan.

7 UNDERSTANDING INDIVIDUAL HOFs (RQ1)

As discussed in section 1, before we can study whether HOFs are useful primitives for plan composition, we must confirm that students understand and are able to work with HOFs individually. Otherwise we cannot distinguish between failures of general HOF understanding, and failures specifically related to composition.

7.1 Recognizing Individual HOFs (RQ1a)

We confirm student understanding of HOFs using the Single questions from section 6.1. A total of 56 students completed this instrument. One of the authors applied the codebook to their responses. The results are summarized in table 8.

We see that students were able to solve most of these with high accuracy (nearly 80%), with a few exceptions worth noting.

Map3 and Sort3 both have lower accuracy, with a high amount of uncertainty (9 and 19 $\text{idk}$ answers respectively). These questions both also had contrived examples with different types and funargs, where at least some of the funargs performed an operation not commonly associated with the respective HOF. Sort2 is contrived to a lesser extent, and we see performance somewhere in between. Based on this, and the otherwise high performance, we suspect that students are simply more proficient at recognizing natural uses of
Table 6: Snap! Planning Activity: Problem Descriptions

<table>
<thead>
<tr>
<th>Problem Name</th>
<th>Abbr.</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>elim-contains-char</td>
<td>ecc</td>
<td>Given a character and a list of words, produce a list of words excluding words that contain the given character.</td>
</tr>
<tr>
<td>valid-words</td>
<td>vw</td>
<td>Given a list of words and a list of characters, produce a list of only those words that consist of the characters in the provided list.</td>
</tr>
<tr>
<td>l33t</td>
<td>l33t</td>
<td>Given a list of words, produce a list of words where each word has had vowels replaced by a numeric lookalike (e.g., &quot;example&quot; becomes &quot;3x4mpl3&quot;).</td>
</tr>
<tr>
<td>strip-vowels</td>
<td>sv</td>
<td>Given a list of words, produce a list of words where each word has had its vowels removed.</td>
</tr>
</tbody>
</table>

Table 7: Snap! Planning Activity: Codes

<table>
<thead>
<tr>
<th>Level</th>
<th>Label</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>COMPLETE</td>
<td>CORRECT</td>
<td>Plan specifies the full structure of a valid solution, even if some low-level details are incorrect (e.g., improper Boolean negation)</td>
</tr>
<tr>
<td>HOLES</td>
<td></td>
<td>Plan specifies a solution with some pieces left blank which could be filled in to become a valid solution</td>
</tr>
<tr>
<td>IMPOSSIBLE</td>
<td></td>
<td>There is not a readily visible way to transform the structure of the plan into a valid solution</td>
</tr>
<tr>
<td>OUTER</td>
<td>CORRECT</td>
<td>Plan uses the same outer HOF as one of the checked common solutions</td>
</tr>
<tr>
<td>OTHER</td>
<td></td>
<td>Plan uses a different HOF from any of the instructor solutions, but one which can plausibly produce a valid solution</td>
</tr>
<tr>
<td>MISSING</td>
<td></td>
<td>Plan appears to be missing an outer HOF and is plausibly correct when placed within a valid outer HOF</td>
</tr>
<tr>
<td>WRONG</td>
<td></td>
<td>Plan uses a different outer HOF from any of the instructor solutions, and from which a solution is not readily visible (this disallows any INNER label)</td>
</tr>
<tr>
<td>INNER</td>
<td>CORRECT</td>
<td>Plan explicitly uses the same inner function (member, andmap, ormap, etc.) as one of the checked common solutions</td>
</tr>
<tr>
<td>OTHER</td>
<td></td>
<td>Plan can plausibly produce a valid solution and is not covered by another INNER code</td>
</tr>
<tr>
<td>DECL</td>
<td></td>
<td>Plan declaratively specifies how the inner function is performed, without implementation details, and is plausibly correct</td>
</tr>
<tr>
<td>NO-DET</td>
<td></td>
<td>Plan does not provide details on how the inner function is performed, but depends on it being performed and is plausibly correct</td>
</tr>
<tr>
<td>WRONG</td>
<td></td>
<td>Plan uses a funarg from which a solution is not readily visible</td>
</tr>
</tbody>
</table>

HOFs (a finding that would be in line with related cognitive science literature [22]).

Map1 also showed slightly lower accuracy, but for a different reason. Map1 can only be solved by using a nested call to build-list (a HOF that doesn’t take in a list but rather a single number) inside of an outer call to map. 8 different students cited this fact in their answers, which when added to those who identified the map brings the accuracy for this question back up to match the rest.

Table 8 also contains the results for the Multi and Identity questions. For these questions, most students selected some but not all of the correct HOFs, confirming the statement in KF21: students display "[a] failure to appreciate that multiple operators can produce the same results." This result is not too germane to our focus on planning, so we do not investigate this phenomenon further.

7.2 Recognizing Behavioral Features (RQ1b)

55 students completed this activity. All coding was done by one of the authors. The results are shown grouped both by code (table 9) and by HOF (table 10).

With the exception of OET/I, there appears to be broad overall coverage of the features listed by KF21. The input and output types were the most commonly cited, which is also in line with the not poss answers received on the classification instrument, where the most common argument for a problem being impossible was a type-based argument. This suggests that students have been able to form good behavioral models of these HOFs through reading and practice.

We also saw that students did not always decompose their answer into clean-cut properties. 45 students included either operational definitions (OPERATE) or “hand-wavy” overall specification (SPEC) of some of the functions. For these students, their behavioral conception of the HOFs was presented as a single unit, often
Table 8: Classification Instrument: Single, Multi, and Identity Results

<table>
<thead>
<tr>
<th>Question</th>
<th># Correct (/56)</th>
<th>Incorrect</th>
<th>IDK</th>
</tr>
</thead>
<tbody>
<tr>
<td>Map1</td>
<td>41</td>
<td>13</td>
<td>2</td>
</tr>
<tr>
<td>Map2</td>
<td>47</td>
<td>6</td>
<td>3</td>
</tr>
<tr>
<td>Map3</td>
<td>31</td>
<td>16</td>
<td>9</td>
</tr>
<tr>
<td>Filter1</td>
<td>51</td>
<td>3</td>
<td>2</td>
</tr>
<tr>
<td>Filter2</td>
<td>47</td>
<td>1</td>
<td>8</td>
</tr>
<tr>
<td>Filter3</td>
<td>46</td>
<td>6</td>
<td>4</td>
</tr>
<tr>
<td>TakeWhile1</td>
<td>48</td>
<td>6</td>
<td>2</td>
</tr>
<tr>
<td>TakeWhile2</td>
<td>44</td>
<td>9</td>
<td>3</td>
</tr>
<tr>
<td>TakeWhile3</td>
<td>45</td>
<td>8</td>
<td>3</td>
</tr>
<tr>
<td>Ormap1</td>
<td>51</td>
<td>3</td>
<td>2</td>
</tr>
<tr>
<td>Ormap2</td>
<td>46</td>
<td>2</td>
<td>8</td>
</tr>
<tr>
<td>Ormap3</td>
<td>46</td>
<td>4</td>
<td>6</td>
</tr>
<tr>
<td>Sort1</td>
<td>54</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>Sort2</td>
<td>42</td>
<td>6</td>
<td>8</td>
</tr>
<tr>
<td>Sort3</td>
<td>30</td>
<td>7</td>
<td>19</td>
</tr>
<tr>
<td>Multi1</td>
<td>18</td>
<td>33</td>
<td>5</td>
</tr>
<tr>
<td>Multi2</td>
<td>17</td>
<td>35</td>
<td>4</td>
</tr>
<tr>
<td>Identity1</td>
<td>6</td>
<td>50</td>
<td>0</td>
</tr>
<tr>
<td>Identity2</td>
<td>10</td>
<td>46</td>
<td>0</td>
</tr>
</tbody>
</table>

Table 9: Behavioral Features Instrument: Results - Grouped by code

<table>
<thead>
<tr>
<th>Label</th>
<th># of students who mentioned at least once</th>
</tr>
</thead>
<tbody>
<tr>
<td>FT</td>
<td>42</td>
</tr>
<tr>
<td>IT</td>
<td>54</td>
</tr>
<tr>
<td>OT</td>
<td>55</td>
</tr>
<tr>
<td>WHE/I</td>
<td>49</td>
</tr>
<tr>
<td>OET/I</td>
<td>7</td>
</tr>
<tr>
<td>OO/I</td>
<td>48</td>
</tr>
<tr>
<td>OL/I</td>
<td>42</td>
</tr>
<tr>
<td>IODIFF</td>
<td>35</td>
</tr>
<tr>
<td>OTHER</td>
<td>21</td>
</tr>
<tr>
<td>OPERATE</td>
<td>35</td>
</tr>
<tr>
<td>SPEC</td>
<td>34</td>
</tr>
<tr>
<td>IDK</td>
<td>10</td>
</tr>
</tbody>
</table>

Table 10: Behavioral Features Instrument: Results - Grouped by hof

<table>
<thead>
<tr>
<th>HOF</th>
<th>FT</th>
<th>IT</th>
<th>OT</th>
<th>WHE/I</th>
<th>OET/I</th>
<th>OO/I</th>
<th>OL/I</th>
<th>IODIFF</th>
<th>OTHER</th>
<th>OPERATE</th>
<th>SPEC</th>
<th>IDK</th>
</tr>
</thead>
<tbody>
<tr>
<td>map</td>
<td>10</td>
<td>43</td>
<td>49</td>
<td>42</td>
<td>7</td>
<td>9</td>
<td>34</td>
<td>12</td>
<td>4</td>
<td>32</td>
<td>8</td>
<td>0</td>
</tr>
<tr>
<td>filter</td>
<td>32</td>
<td>46</td>
<td>52</td>
<td>21</td>
<td>2</td>
<td>19</td>
<td>36</td>
<td>24</td>
<td>5</td>
<td>10</td>
<td>18</td>
<td>0</td>
</tr>
<tr>
<td>take-while</td>
<td>24</td>
<td>36</td>
<td>43</td>
<td>30</td>
<td>2</td>
<td>17</td>
<td>28</td>
<td>17</td>
<td>12</td>
<td>15</td>
<td>11</td>
<td>9</td>
</tr>
<tr>
<td>sort</td>
<td>12</td>
<td>40</td>
<td>45</td>
<td>0</td>
<td>0</td>
<td>45</td>
<td>33</td>
<td>21</td>
<td>12</td>
<td>3</td>
<td>12</td>
<td>3</td>
</tr>
<tr>
<td>ormap</td>
<td>33</td>
<td>45</td>
<td>55</td>
<td>44</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>7</td>
<td>19</td>
<td>18</td>
<td>0</td>
</tr>
<tr>
<td>andmap</td>
<td>34</td>
<td>45</td>
<td>55</td>
<td>43</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>6</td>
<td>19</td>
<td>17</td>
<td>0</td>
</tr>
</tbody>
</table>

accompanied by the type signature (especially the output type) of the given hof. However, this could also be an attribute of students not knowing what we were looking for. Had we presented them the rubric (or the table from KF21), they might have done even better. Thus, the above data represent a baseline (for this population and preparation): they get at least this far.

At this point we do not go further into analyzing these data. The demonstrated behavioral models of hofs are sufficient for use when planning, which is the core focus of the rest of this paper. We simply note in passing that even the low score on OET/I is a little misleading, because an understanding of it is implicit in some of their OPERATE or SPEC answers.
7.3 Summary
Students appear to be very capable of recognizing HOFs from examples, and also of coming up with high-level behavioral features. Students often use types to narrow the space of applicable HOFs, even using them to argue impossibility. Furthermore, students are able to do this with just about a week of exposure to HOFs (in this course; some may have had prior exposure), suggesting that the topic—with its potential use as a planning activity—may be amenable to earlier introduction in the curriculum than is traditional. Offsetting this, students seem to struggle with “unnatural” uses of HOFs, at least in this artificial setting (where they have to guess the HOF from just three examples).

8 RECOGNIZING COMPOSITIONS OF HOFs (RQ2)
Satisfied that our students generally understand the individual HOFs, we proceed to understanding how well they were able to recognize these HOFs in composition.

We see lower performance on the Comp questions from the classification instrument (table 11). The most common error across all three composition questions was a failure to recognize a need for an outer map function, or perhaps a belief that said map is simply a formality. The set of three input-output pairs (which all were nested lists, by necessity), were instead treated as a flattened list of nine input-output pairs. If this single error were ignored, accuracy would be comparable to the Single questions. What causes this apparent drop in performance is if cognitive load, something inherent about function composition, the way in which we stated the problem, or something else? This would be interesting to investigate further.

Our code for correct answers to Comp questions (VALID-HOF-GROUP) does not require that students specify a particular order when they specify HOFs. We do flag responses that explicitly provide the wrong order with VALID-HOF-INVALID-ORDER, but believe that students who had the correct HOFs in the wrong order would immediately realize their mistake in a medium where they could test (or maybe even type-check) programs.

We tested students not only on their ability to classify composition questions, but on their ability to recognize when problems were impossible to solve with composition (table 12).

We see lower performance on the Impossible questions than we saw for the Single questions, and higher uncertainty as well. Note that we did not ask those who answered 1dk to explain their uncertainty, while we did ask those who answered Not POSS to justify their reasoning. It is possible that some students were less confident in claiming that something was truly impossible, despite having a reasonable justification.

It is worth noting that there was no student who said that any of the Comp questions were impossible (Not POSS), nor was there any student who claimed that the Impossible questions were solvable with composition (Comp). Any of the confusions with either set of questions was always either uncertainty (1dk) or a claim that it could be done with a single HOF (Single).

Summary. Once we correct for the “implicit outer map” error, we find students are able to recognize composition problems fairly well. It is difficult to tell how to judge their performance on Impossible problems: we believe students are rarely given truly impossible problems in secondary school work, so they may simply not believe that Not POSS is a legitimate answer. Once we also consider the very abstract nature of the task, we feel the students performed quite well.

9 PLANNING WITH HOFs (RQ3)
The heart of this paper addresses planning with HOFs as the primitive vocabulary. The earlier sections confirm that students can recognize individual HOFs, understand them from a high-level, behavioral perspective, and can also recognize them in compositions. With this established, we can now meaningfully evaluate the quality of their plans. Concretely, we examine the plans they produced using Snap! We present three representative examples in fig. 2.

We use the three-level structure (COMPLETE, OUTER, and INNER) from section 6.3 and the corresponding codes from table 7 to evaluate student plans. We see how students performed on these three levels in the individual problems in table 13.

We could write at length about these data, but the overarching message seems to be clear: these students, with their background, on these problems, are able to plan very well. The vast majority of their solutions are either correct and concrete, or correct assuming some liberty in writing declaratively using the freedom they were given.

There are a few cases where student solutions are not sufficiently informative (e.g., the NO-DET cases). Further analysis shows that these are not so much indicative of student difficulties as they are of weaknesses of our Snap! interface. Concretely, we did not provide a lambda block, and students who wanted to describe a procedure were often unclear on what to write in the absence of one. These give us ideas for how to improve our tooling.

Overall, we note that only 10 plans out of 402 were explicitly wrong. While of course some “correct” plans could hide misunderstandings, lucky guesses, etc., the overall verdict from this planning activity is a very positive one.

10 TRANSITIONING FROM PLAN TO CODE (RQ4)
The positive outcome of our planning activity can only truly be considered a success if students are also able to translate their correct plans into correct programs. Fortunately for us, this ability was demonstrated in the programs that students submitted. Students are clearly able to implement their correct solutions as correct programs.

Of the 100 submissions for elim-contains-char, 99 submissions for 13st, and 99 submissions for strip-vowels, all of the submissions passed all of the instructor-defined test cases. Of the 100 submissions valid-words, only seven failed any of the test cases. Two of these seven demonstrated a clear misunderstanding of the problem statement. The students’ submitted code and corresponding test cases show that they were checking if all of the characters in the input list of characters are present in a given word, as opposed to checking if all of the characters in a given word are present in the input list: i.e., they swapped the order of the subset relation. The other five failing submissions were all due to a misunderstanding of how valid-words should behave in the presence
Table 11: Classification Instrument: Comp Results

<table>
<thead>
<tr>
<th>Question</th>
<th># Correct</th>
<th># Correct but missing map</th>
<th>Incorrect</th>
<th>IDK</th>
</tr>
</thead>
<tbody>
<tr>
<td>Comp1</td>
<td>24</td>
<td>20</td>
<td>10</td>
<td>2</td>
</tr>
<tr>
<td>Comp2</td>
<td>23</td>
<td>18</td>
<td>10</td>
<td>5</td>
</tr>
<tr>
<td>Comp3</td>
<td>32</td>
<td>19</td>
<td>4</td>
<td>1</td>
</tr>
</tbody>
</table>

Table 12: Classification Instrument: Impossible Results

<table>
<thead>
<tr>
<th>Question</th>
<th>NOT POSS (good reason)</th>
<th>NOT POSS (wrong reason)</th>
<th>Incorrect</th>
<th>IDK</th>
</tr>
</thead>
<tbody>
<tr>
<td>Impossible1</td>
<td>33</td>
<td>6</td>
<td>8</td>
<td>9</td>
</tr>
<tr>
<td>Impossible2</td>
<td>28</td>
<td>2</td>
<td>2</td>
<td>24</td>
</tr>
<tr>
<td>Impossible3</td>
<td>19</td>
<td>7</td>
<td>21</td>
<td>9</td>
</tr>
</tbody>
</table>

(a) This student used nested Snap! blocks and very detailed input descriptions.

(b) This student used nested Snap! blocks with less detailed input descriptions.

(c) This student didn’t take advantage of nested Snap! blocks.

Figure 2: Three different examples of student plans for valid-words

of an “empty” word. The correct behavior was not specified in the problem statement, though it was clarified both in lecture and in a post on the online class forum. Note that the plans that arise from these misunderstandings look nearly identical to plans for correct programs, and the misunderstanding doesn’t surface until the implementation step.

Ideally, issues in problem statement understanding are addressed before a student attempts to either plan or implement a solution. Thus we don’t consider these issues to be a failure of the planning or implementation steps. Ensuring that students understand a problem statement before attempting a solution is tackled extensively in Wrenn’s work [41, 42].

Excluding these few failures to understand the problem statement, every other code submission was correct. The overwhelming correctness from both section 9 and these code submissions was excellent to see as instructors, but arguably unfortunate from a research perspective. When designing this research question, we assumed that students might make various mistakes in their plans. If they did, the question would become: would they fixate on these mistakes, or would they be able to recover?
However, the good results from section 9 create a ceiling effect, not giving us room to examine this question to the extent we would prefer. Most students provided correct plans (in varying degrees of completeness), and all students (with few exceptions as noted above) in some way transformed their plan into a correct program.

We do note that even students who submitted plans with errors were able to submit correct solutions. However, we do not have enough insight into their process to identify what enabled them to fix their plan during code construction. At least, it suggests that an incorrect plan is not always an obstacle. We do believe it would be very interesting to examine whether an incorrect plan is any more or less of a hindrance than an incorrect (partial) program as a starting point.

In principle, a student could start with a correct plan, but run into difficulty with implementation details and end up altering the program to be incorrect. In particular, the transition process is not completely trivial: e.g., students need to insert type-conversion functions like ones to turn strings into lists of characters. We did not see these problems arise with our population of students, and so we choose not to speculate as to what impact these difficulties would have. We instead encourage follow-up studies, potentially with more challenging problems, to see if/when these challenges arise and what impact they have.

### 11 THREATS TO VALIDITY

We now discuss threats to the validity of our findings. Before going into the details, we note that we view this as a formative study—one of many needed—to make progress on the task of planning.

**Internal Validity.** We chose problems that were amenable to a two-level HOF composition format, which clearly is not a very general structure. While it enabled us to construct precise codes for evaluating student work, these results may not carry over to other kinds of problem structures. Next, our use of the classification instruments for Single, Multi, and Identity questions is clearly somewhat artificial, and different framings may lead to very different baseline measures (though our findings confirm that at least through this measure, students do have a clear understanding of the individual HOFs). Finally, the fact that students were familiar with the problems may have improved their planning ability. All these are factors that can be addressed in follow-up studies, and may affect the findings of this one.

**External Validity.** Naturally, it is very difficult to generalize from the very particular setting in which we have conducted our study. Everything from our student body to our use of functional programming and the *How to Design Programs* text may be factors.

**Ecological Validity.** Some of our activities, like classification, clearly have limited generalizability to the real world. Indeed, they may be sufficiently artificial as to provide only a lower bound on how students would behave when confronted with similar problems in practice. However, we used these activities only for establishing a baseline of student knowledge of the primitive HOFs.

The idea of planning with a tool designed for that purpose is not unreasonable (indeed, various project planning tools, visual designs, etc. are widely used). Furthermore, by embedding the planning activity in a task students were already going to do, we increase its ecological validity. We do not have more insight into their transition from plan to program (section 10) precisely because we did not wish to interfere too much in the way they solved problems during the course, in addition to the reasons described in section 5. (For this reason, students were also not graded on their plans—but took the process seriously and produced quality plans nonetheless!)

### 12 RESEARCH ETHICS

Per Brown’s Institutional Review Board guidelines, our work does not require review. Nevertheless, we have applied standard research protections to protect our students.

### 13 DISCUSSION

In this work we show that HOFs serve as a viable vocabulary for students to use to plan out solutions to certain kinds of data processing problems. We show that students are able to recognize uses of different HOFs after very little time working with them, and can
recognize them both individually and in composition. Students are also able to effectively use these HOFS to create high-level plans to solve programming problems, and in-turn create correct implementations from these plans.

We conclude by discussing other lessons learned while doing this study.

13.1 Utility of Snap!
As researchers, we found our customized Snap! environment to be very useful for both the classroom and our study. Snap! provided the right level of abstraction for this study, turning our vocabulary of HOFS into building blocks (so to speak), but leaving space for conveniently writing free-form textual responses. Unlike a pure text solution, Snap! provides “structure on the outside” (and indeed as far as a student chooses to use blocks). This means one can provide reliable, partial, automated feedback: e.g., telling a student that their plan is or isn’t on the right track before they start to commit to an implementation. One could even imagine assignments where planning is the entire activity, and students are not required to turn the plan into working code (a process that invariably involves a lot of detail that may not be linked to certain learning objectives).

13.2 A Broader Vocabulary for Planning
We believe that as a community, we do not yet have a good enough sense of the vocabulary of planning operations that students might employ. We have chosen HOFS because they are reasonably high-level while not being too abstract; they describe a behavioral framework of operation (KF21) while providing freedom (through their funarg) for non-trivial customization. We also hope that learning about planning through HOFS on some problems may transfer to others.

However, HOFS, as with any generic library or language construct, are by definition domain-independent. As students plan programs, they presumably use notions from whatever real-world domain they are processing as well, which might employ in a domain-specific vocabulary. Determining how to support these in a planning process that also demonstrates meaningful transfer is an important and wide open problem. What parts are truly still the same in this process, and can be abstracted over? We don’t yet have a good enough way to express this abstraction, and while we believe HOFS are a good start, the community would benefit from increasing our vocabulary for planning.

13.3 Forward and Backward Planning
The literature on planning shows that programmers often plan in different directions: from the problem statement “forward”, or from the desired solution “backward”. Though some studies have ascribed this to different levels of expertise, later research has shown that there is no such sharp line of separation (as Rist [31] summarizes).

Our approach may appear to be agnostic to the direction, but it is not in two subtle ways:

(1) Our students were studying from How to Design Programs, which heavily emphasizes a program design “recipe”. That recipe drives forward from the structure of the given datatypes, which makes it a forward-planning approach. More recently, other authors [3, 15] have suggested systematic program design methods that drive backward from the desired datatypes. There is currently no analysis of how these two directions compare in a recipe-based program design setting. Whatever design method students have been taught almost certainly impacts the nature of their planning.

(2) Our tool provides a set of planning operations. These operations were based on the input datatype: hence the list-based HOFS (section 4). One could imagine operator palettes that are indexed not only by input type but also by output type. This would enable a more fluid planning process by students—in particular, allowing them to freely switch between the two.

Building the latter form of design tool potentially holds a lot of promise. In particular, when combined with telemetry, it would enable us to study student planning as they are doing it, and gain insight into the directionality of their thinking. Furthermore, we suspect that planning with our blocks promotes a beneficial blend of cognitive loads. The overall cognitive load that makes learning difficult has been decomposed into unavoidable intrinsic cognitive load which is related to the inherent complexity of information to be learned, extrinsic cognitive load which is imposed by the specific instructional procedures employed, and germane cognitive load related to the cognitive resources a learner devotes to the task elements that comprise the intrinsic cognitive load [37]. By isolating planning from coding, Snap! reduces the extraneous load associated with superficial programming language details during the planning phase, allowing learners to focus on the combined [20] intrinsic/germane load. Our planning tool could also support an effort to better quantify the cognitive loads [2] associated with planning and coding phases by providing a relatively pure measure of the cognitive load associated exclusively with planning process itself.

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A. CLASSIFICATION INSTRUMENT QUESTIONS

Map1
(list 1 3 6)
→
(list (list "a") (list "a" "a" "a") (list "a" "a" "a" "a" "a" "a"))
(list 2 1 3)
→
(list (list "x" "x") (list "x") (list "x" "x" "x"))
(list 4 0 2 1)
→
(list (list "hi" "hi" "hi" "hi") empty (list "hi" "hi") (list "hi"))

Map2
(list "Alice" "Bob" "Eve")
→
(list "Hello Alice" "Hello Bob" "Hello Eve")
(list "eggs" "milk" "cheese" "pan")
→
(list "eggseggseggs" "milkmilkmilk" "cheesecheesecheese" "panpanpan")
(list "hello" "bonjour" "hola" "aloha")
→
(list 5 7 4 5)

Map3
(list (list "lawful" "evil" "rogue")
    (list "neutral" "evil" "mage")
    (list "chaotic" "good" "bard")
    (list "lawful" "good" "paladin"))
→
(list true true false false)
(list "some" "random" "words")
→
(list 3 3 3)
(list true false false true true)
→
(list empty (list "x") (list "x") empty empty)

Filter1
(list 2 3 6)
→
(list 2 3)
(list 5 2 3 6 2 7)
→
(list 5 2 3 2)
(list 0 3 9 6 9 1 4)
→
(list 0 3 1 4)
Filter2

(list "property" "logic" "testing" "code")
→
(list "property" "logic" "code")

(list "friend" "boyfriend" "girlfriend" "weekend" "coffee")
→
(list "coffee")

(list "many" "plethora" "few" "dearth" "solitary" "one")
→
(list "many" "few" "one")

Filter3

(list "lion" "bear" "tiger")
→
(list "lion" "tiger")

(list (list 1 3 4) (list 2 5) (list 3 7 9 8) empty)
→
(list (list 1 3 4) (list 3 7 9 8))

(list true false true true false false)
→
(list true true true)

TakeWhile1

(list 2 3 6)
→
(list 2 3)

(list 5 2 3 6 2 7)
→
(list 5 2 3)

(list 0 3 9 6 9 1 4)
→
(list 0 3 9)

TakeWhile2

(list "child" "mother" "father" "uncle" "child")
→
(list "child" "mother" "father")

(list "duck" "duck" "goose" "duck" "goose")
→
(list "duck" "duck")

(list "the" "end" "is" "never" "the" "end")
→
(list "the" "end" "is")

TakeWhile3

(list 1 7 2 3 -1 -4 2 7 8 -5)
→
(list 1 7 2 3)
(list "you" "fool" "you" "absolute" "cabbage")
→
(list "you")

(list (list true false) (list false) empty (list false) (list true))
→
(list (list true false) (list false))

**Ormap1**

(list 2 3 6)
→
false

(list 5 2 3 4 1)
→
true

(list 0 3 1 4)
→
true

**Ormap2**

(list "i" "will" "write" "some" "words")
→
false

(list "good" "bad" "ugly")
→
true

(list "correct")
→
true

**Ormap3**

(list 1 3 7 19)
→
false

(list "rock" "paper" "scissors")
→
true

(list false false true false)
→
true

**Sort1**

(list 94 26 -3 15 -7)
→
(list -7 -3 15 26 94)

(list 25 3 25 0)
→
(list 0 3 25 25)

(list -1 4 -7 0 21)
→
(list -7 -1 0 4 21)

Sort2
(list "epsilon" "gamma" "beta" "alpha" "beta")
→
(list "alpha" "beta" "beta" "gamma" "epsilon")

(list "highway" "qualify" "subject")
→
(list "subject" "highway" "qualify")

(list "stool" "counter" "stool" "window" "fan" "window")
→
(list "fan" "stool" "stool" "window" "window" "counter")

Sort3
(list 1 2 3 4 5 4 3 2 1)
→
(list 1 1 3 3 5 2 2 4 4)

(list "lizard" "bird" "dog" "cat" "snake")
→
(list "dog" "bird" "cat" "lizard" "snake")

(list true false false true true)
→
(list false false true true true)

Multiple1
(list 17 84 20 56)
→
(list 17 84)

(list 94 72 26 -8 -2 -63)
→
(list 94 72 26)

(list 3 6 13 27 153 252)
→
(list 3 6 13 27)

Multiple2
(list "rock" "paper" "scissors")
→
(list "rock")

(list (list 2 3) (list 1) (list 4 5 2) empty (list 2 7))
→
(list (list 2 3) (list 1) (list 4 5 2))

(list 1 7 3 -1 -4)
→
(list 1 7 3)

Identity1
(list 7 3 0)
Plan Composition Using Higher-Order Functions

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(identity2)

- (list 7 3 0)
- (list 1 2 1 4 9)
- (list 1 2 1 4 9)
- (list 8 8 8 8)
- (list 8 8 8 8)

Comp1

- (list (list 4 1) (list 2 7) (list 3 4 9))
- (list (list 1) (list 2 7) (list 3 9))
- (list (list 7 4) (list 4))
- (list (list 7) empty)
- (list (list 5 0 3) (list 1 8 4) (list 4 2) (list 3 3))
- (list (list 5 0 3) (list 1 8) (list 2) (list 3 3))

Comp2

- (list (list "do" "you" "know" "how" "to" "do" "this")
  (list "i" "know" "a" "shortcut" "i" "like")
  (list "you" "must" "know" "another"))
- (list (list "do" "you") (list "i") (list "you" "must"))

- (list (list "have" "you" "met" "my" "pet")
  (list "everyone" "i" "have" "met" "has" "met" "him")
  (list "he" "likes" "treats"))
- (list (list "have" "you") (list "everyone" "i" "have") (list "he" "likes" "treats"))

- (list (list "water" "water" "everywhere")
  (list "our" "body" "is" "mostly" "water")
  (list "well" "water" "is" "from" "a" "well"))
- (list empty (list "our" "body" "is" "mostly") (list "well"))

Comp3
(list (list 1 7 3 -1 -4) (list 3 6 2) (list 4 2 -5))
→
(list (list 1 7 3) (list 3 6 2) (list 4 2))

(list (list true true false) (list true false false) (list false))
→
(list (list true true) (list true) empty)

(list (list "green" "blue") (list "red") (list "green" "yellow" "red"))
→
(list (list "green" "blue") empty (list "green" "yellow"))

Impossible1
(list 4 3 1 2 5)
→
3

(list 20 10 15)
→
15

(list 15 7 8)
→
10

Impossible2
(list 1 -8 5 7 -1 -6)
→
10.488

(list -13 11 16 9)
→
25

(list -5 0 0 4 -6 18)
→
24.839

Impossible3
(list 8 4 6)
→
(list 8 4 6 8 4 6)

(list "boots" "cats")
→
(list "boots" "cats" "boots" "cats")

(list (list "x") (list "y") (list "z"))
→
(list (list "x") (list "y") (list "z") (list "x") (list "y") (list "z"))


B PLANNING QUESTIONS

Define the following functions.

- **elim-contains-char :: Char List-of-strings -> List-of-strings**
  
  Consumes a list of strings and produces a list of the same words, in the same order, excluding those strings that contain the given character.

- **valid-words :: List-of-strings List-of-chars -> List-of-strings**
  
  Consumes a list of words (represented as strings) and a list of letters (represented as characters). Produces the list of same words, in the same order, that contain only those letters. (Imagine a game where you have to assemble words with just the letters you have.)

  For this assignment, ignore multiplicity: i.e., a word may contain more instances of a letter than the number of times the letter was present in the second parameter. Also, letters should be case-sensitive (e.g., #\A does not appear in "cat").

- **l33t :: List-of-strings -> List-of-strings**
  
  Consumes a list of words (represented as strings) and produces a list of the same words, in the same order, but where some of the letters have been replaced by characters that stand for numbers. Specifically, it turns #\A and #\a into #\4, #\E and #\e into #\3, #\I and #\i into #\1, and #\O and #\O into #\0.

  Note that #\4 is a character, whereas 4 is a number. You can’t do arithmetic on the former or put the latter in a string.

  Note: The first letter of the function name we are asking for is the letter ‘l’ (Lima), not the number ‘1’ (One). It’s pronounced “leet” and is favored in basements worldwide. If you spell it incorrectly, the grading software will not give you any credit!

- **strip-vowels :: List-of-strings -> List-of-strings**
  
  Consumes a list of words (represented as strings) and produces the same list of words, except with each vowel (#\a, #\e, #\i, #\o, or #\u, or their upper-case equivalents) removed. (If a word consists of all vowels, it reduces to the empty string but is not removed entirely.)

  Note: Be careful. There’s a simple, clean decomposition of tasks in this problem, but if you rush you may end up with a mess.