# Supplemental Document for View-consistent 4D Light Field Superpixel Segmentation

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# **1. Expanded Results**

To evaluate our results qualitatively, we refer the reader to our supplemental video which demonstrates view consistency by animating between the views. In this document, we present additional information and experimental results to better characterize the performance of the tested methods:

- Section 2 An explanation of boundary accuracy metric computations as is common for 2D superpixel methods.
- Section 3.1 More qualitative results for central-view superpixel boundaries across synthetic HCI and real-world EPFL Lytro Illum datasets.
- Section 3.2 Visualizations of the *average number of labels per pixel* metric, which show the spatial distribution of errors in correspondence by label reprojection into the central view.
- **Section 3.3** Visualizations of the *achievable segmentation accuracy* metric, which shows the spatial distribution of boundary errors.
- Section 3.4 Parameter variation of weight of clustering features in the k-means baseline and in our method. This shows that increasing intensity and color weight increases boundary performance but decreases view consistency performance.
- Section 3.5 We show performance trends of all tested methods as the baseline of the light field increases and the number of views decreases.
- **Section 3.6** Per dataset metric scores for the HCI dataset, rather than the average presented in the main paper. These show the relative characteristics of the different scenes.

Finally, our open source code and precomputed results are available at https://github.com/brownvc/lightfieldsuperpixels.

# 2. Superpixel Evaluation Metrics

Along with the two light field specific view consistency metrics used to evaluate our work (*self-similarity* and *number of labels per pixel*, as explained in the main paper), we use four metrics from existing 2D segmentation and superpixel literature to assess non-view specific qualities of our method: *achievable segmentation accuracy, boundary recall, undersegmentation error*, and *compactness*.

Achievable Segmentation Accuracy Given a ground-truth object-level segmentation map, this metric measures the achievable accuracy possible by the oversegmentation, *e.g.*, in a later

interactive object selection stage. To compute the metric, we assign labels to superpixels according to the ground truth object labels from the synthetic HCI dataset [7]. The label which maximizes overlap with a superpixel across views is the assigned label.

**Boundary Recall** Given a ground truth boundary image G and an algorithm's boundary image B, we compute the fraction R of ground truth edges that fall within a certain distance d of at least one superpixel boundary [3]. We use d = 2 chessboard distance. True Positives (TP) is the number of boundary pixels in G for whose exist a boundary pixel in B in range d; False Negative (FN) is the number of boundary pixels which do not fall within this range. Boundary recall is:

$$R = \frac{TP}{TP + FN}.$$
 (1)

Intuitively, the higher the boundary recall, the better the superpixels adhere to object boundaries. However, using this alone favors segments with long boundaries. Thus, we plot the metric with different superpixels sizes and accompany this with the undersegmentation error for more considered evaluation.

**Undersegmentation Error** A segment S in the ground truth segmentation image G divides a superpixel P into an *in* and an *out* part. The undersegmentation error compares segment areas and provides the percentage of superpixels which overlap ground-truth segment borders. Various implementations of the undersegmentation error metric exist; we adopt the formulation from Neubert et al. [3] which does not penalize large superpixels that have only a small overlap with the ground truth segment:

$$UE = \frac{1}{N_S} \sum_{S \in G} \frac{\sum_{P:P \cap S \neq \varnothing} min(|P_{in}|, |P_{out}|)}{|S|}.$$
 (2)

The inner sum is the error introduced by this specific combination of ground truth segment and superpixel, depending on their overlap.

**Compactness** Compactness provides a measure of superpixel boundary curvature. We use Schick *et al.*'s compactness metric [4]:

$$C(\mathcal{S}) = \sum_{S \in \mathcal{S}} \frac{4\pi A_S |S|}{|I| L_S^2},\tag{3}$$

where S is the set of superpixels, |I| is the size of a single light field view, and  $A_S$  and  $L_S$  are the area and perimeter of superpixel S, respectively. We compute the median superpixel compactness per light field for a fixed superpixel size of 20, then average across the HCI dataset.

# **3.** Additional Experiments

# 3.1. Qualitative Per-View Superpixel Boundaries

We present a single (central) view of the qualitative results for inspection of the superpixel boundaries. First, we note that rating the shape of a superpixel may require knowing what application is in mind; typically boundary recall can be improved if shape 'regularity' is sacrificed, but regular shapes may provide a more consistent expectation for applications with user interaction. Clustering-based methods can pick points within this trade-off by varying the weight of feature terms; we demonstrate this in Figure **??**. Second, we note that these results only express boundary shape in a single view, and that view inconsistency can appear as boundary shape error between views. Please refer to our supplemental video for this behavior.

We show full and window cut-out superpixel results for our method, for LFSP [8], and for our baseline method of k-means clustering on a central view depth map computed by the method of Wang et al. [5, 6]. For full details of the baseline k-means method, we refer the reader to Section 4.1 of the main paper. This baseline is also related to the recent work of Hog et al. [2] on light field superpixels for video, which uses SLIC [1] (k-means on a regular initialization grid, as per our method) with angular coordinates as features (cf. our depth feature).

Figure 1 shows superpixel boundaries for the HCI dataset across the 'buddha', 'papillon', 'still life', and 'horses' datasets. Figures 2 and 3 shows boundaries for six images from the real-world EPFL dataset captured with a Lytro Illum camera.

# **3.2. Spatial Maps for Average Number of Labels** per Pixel

Figure 4 shows the average number of labels per pixel metric as a heatmap for the central view, where blue is low (good view consistency) and red is high (bad view consistency). We see that most errors occur at edges; that LFSP has more inconsistency around edges; and that k-means is sometimes sensitive to high-frequency pattern textures.

While this could be alleviated with clustering feature weight parameter tuning, we note that our method does not suffer this issue even though it uses the same component weight parameters as our k-means baseline. This is because we cluster on EPI segments, as outlined in section 3.2, rather than individual pixels. Our method, effectively, performs a per-scanline segmentation before clustering.

#### 3.3. Spatial Maps for Achievable Accuracy

Figure 5 shows a visualization of achievable accuracy via the ground truth semantic-level segmentation maps provided in the HCI dataset. Red denotes where a superpixel crosses a boundary in the ground-truth map. Generally, our approach performs better than LFSP and comparably to our k-means baseline.

# 3.4. Parameter Variation

The baseline k-means-based segmentation method clusters each pixel in the central view based on a vector  $f = (x, y, d, L^*, a^*, b^*)$  of spatial, depth, and CIELAB color features. For our evaluation in the paper, each feature was assigned the same weight parameters as used in the spatio-angular segmentation stage of our method. Figures 6-8 explore the effect of varying the feature weights on the accuracy, compactness, and consistency metrics for our method and the k-means baseline. For reference, results for the LFSP [8] algorithm using both ground-truth (LFSP-GT) and Wang *et al.*'s [5, 6] (LFSP-Wang) method for disparity estimation are shown on each plot.

In the main paper, we chose a spatial feature weight of 1 to increase accuracy. At this level, our superpixels are approximately as compact as those of LFSP (main paper Fig. 7; supplemental Fig. 1). However, overall, at this weight our superpixel boundaries are sometimes less smooth than LFSP; here, compactness is not a sufficient metric to describe the differences in boundary curvature.

As spatial feature weight  $\omega_{xy}$  increases, our superpixels become increasingly compact and so more regular in shape (bottom left, Fig. 7 (a)). At comparable compactness to LFSP, we have improved boundary recall and achievable accuracy, and lower undersegmentation error. For our paper's research focus—view consistency—we have lower self similarity error and fewer labels per pixel.

#### 3.5. Large disparity light fields

We incrementally remove views from the HCI light fields (superpixel size = 20,  $\omega_{xy} = 1$ ; Fig. 9). The performance of our method follows the same trend as LFSP and k-means, while meeting our goal of greater view-consistency.

# 3.6. HCI Per-Scene Quantitative Metrics

For completeness, we include the per-scene qualitative measures on the HCI dataset across Figures 10 to 15. We can see varying complexity across the datasets, e.g., papillon has relatively easier boundaries, while horses has difficult boundary segmentation with text in the background. Different techniques also perform better or worse on different datasets, e.g., our approach does well on still life, but less well on papillon due to our weaker regularization for smooth untextured regions.

### References

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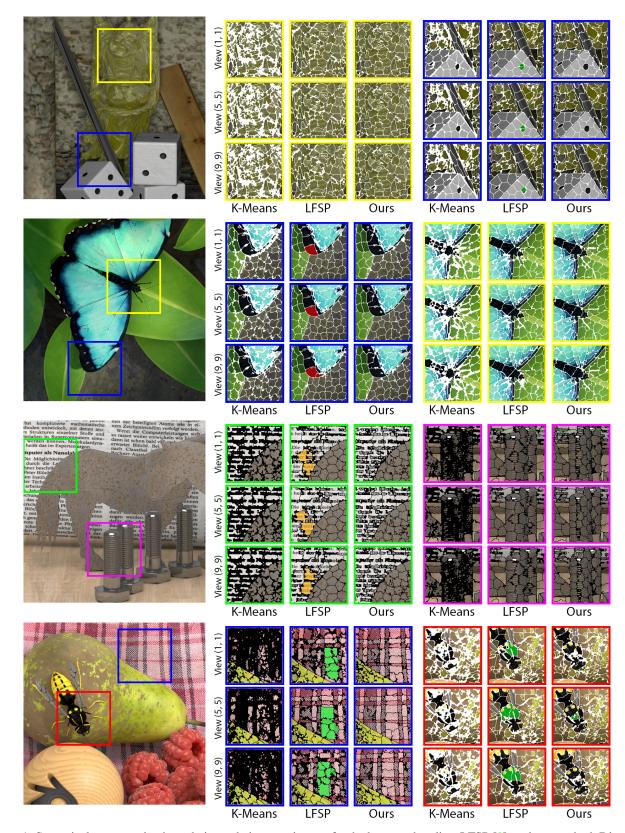


Figure 1: Superpixel segmentation boundaries and view consistency for the k-means baseline, LFSP [8], and our method. Disparity maps for LFSP and *k*-means were calculated using the algorithm of Wang *et al.* [5, 6]. We include all four HCI dataset [7] light fields for completeness; we highlight superpixels which either change shape or vanish completely across views. Our superpixels tend to remain more consistent over view space, which can be easily seen as reduced flickering in our supplementary video. *Note:* Small solid white/black regions appear when superpixels are enveloped by the boundary rendering width. k-means tends to have more of these regions which helps it increase boundary recall, but this behavior is not useful for a superpixel segmentation method.

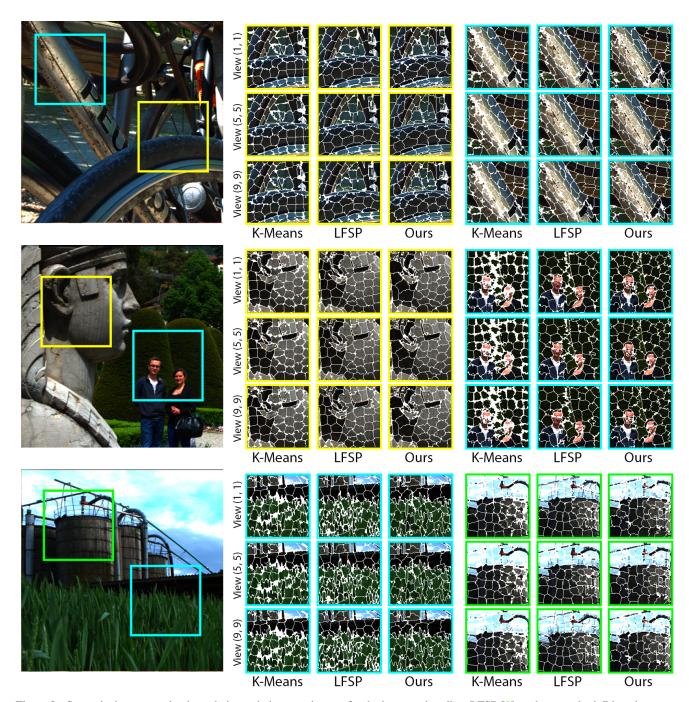


Figure 2: Superpixel segmentation boundaries and view consistency for the k-means baseline, LFSP [8], and our method. Disparity maps for LFSP and k-means were calculated using the algorithm of Wang *et al.* [5, 6]. We include six light fields from the EPFL Lytro light field dataset [9], with three more in Figure 3. Our superpixels tend to remain more consistent over view space, which can be easily seen as reduced flickering in our supplementary video. *Note:* Small solid white regions appear when superpixels are enveloped by the boundary rendering width. k-means tends to have more of these regions which helps it increase boundary recall, but this behavior is not useful for a superpixel segmentation method.

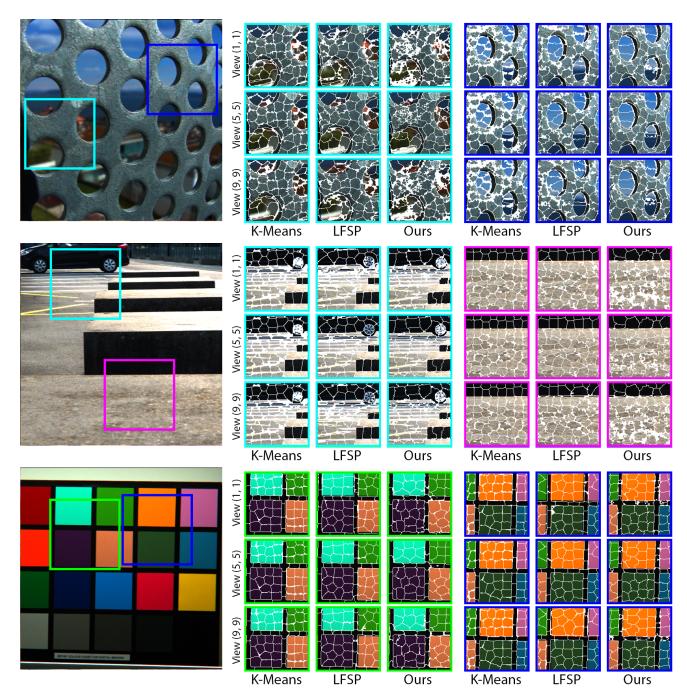


Figure 3: Superpixel segmentation boundaries and view consistency for the k-means baseline, LFSP [8], and our method. Disparity maps for LFSP and k-means were calculated using the algorithm of Wang *et al.* [5, 6]. We include six light fields from the EPFL Lytro light field dataset [9], with three more in Figure 2. Our superpixels tend to remain more consistent over view space, which can be easily seen as reduced flickering in our supplementary video. *Note:* Small solid white regions appear when superpixels are enveloped by the boundary rendering width. k-means tends to have more of these regions which helps it increase boundary recall, but this behavior is not useful for a superpixel segmentation method.



Figure 4: Average number of labels per pixel metric, shown as a heatmap for the central view where blue is low (good view consistency) and red is high (bad view consistency). From left to right, the images are: input, *k*-means, LFSP, and our result. Both k-means and LFSP use the Wang et al. generated depth map. We can see that most errors occur at edges; that LFSP has more edge inconsistency; and that k-means is sometimes sensitive to high-frequency pattern textures.

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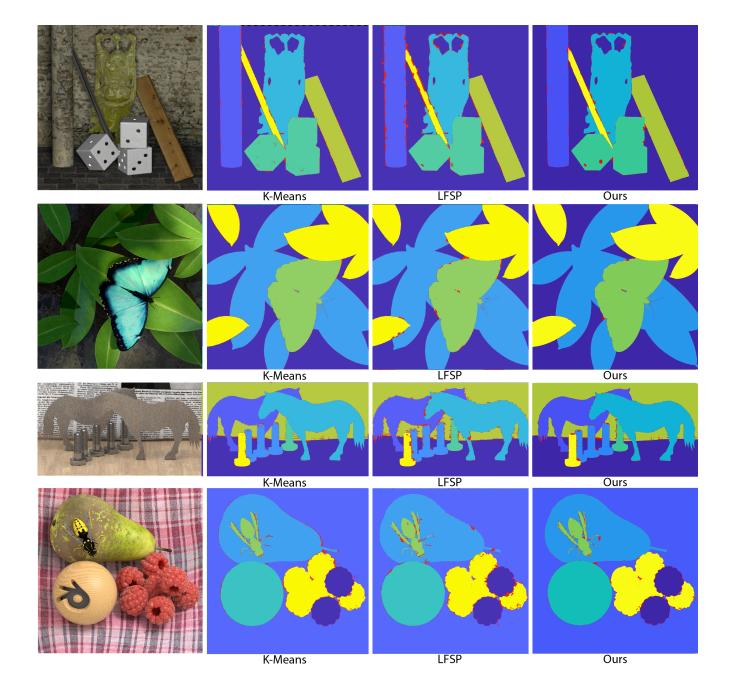
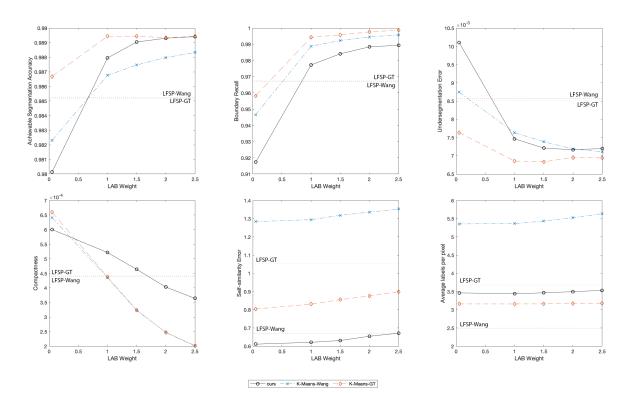
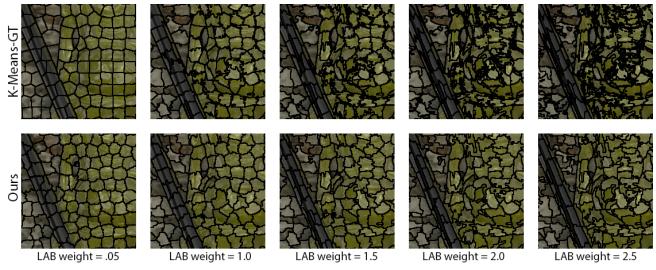


Figure 5: A visualization of the achievable segmentation accuracy in the central light field view. Red regions are superpixel sections which cross ground truth object segmentation boundaries. All other colors denote object labels.

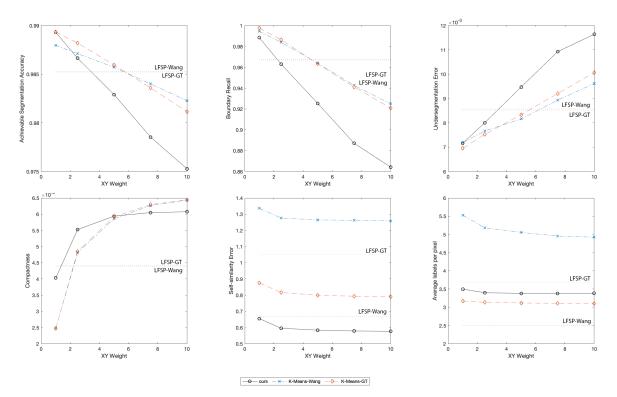


(a) Increasing the CIELAB color weight improves performance on traditional 2D superpixel metrics but decreases compactness, while slightly degrading performance on the light field specific metrics. Note that, as the *average labels per pixel* metric does not include non-central occluded pixels, this baseline performs strongly (as discussed in the main paper).

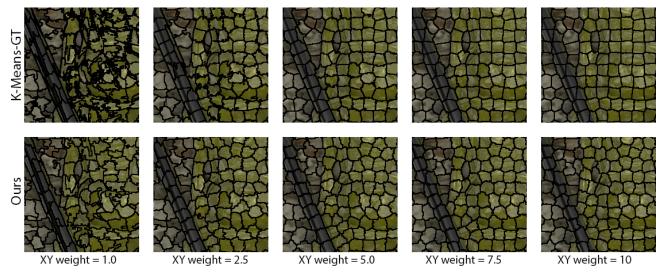


(b) A larger CIELAB color weight generates less compact superpixels.

Figure 6: An evaluation of the effect of feature weight on clustering, as a demonstration of the trade-off between boundary following and spatial/depth regularity. The superpixel size is fixed at 20.

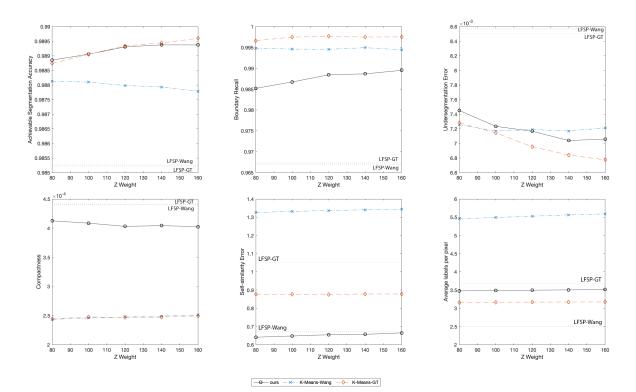


(a) Increasing the spatial feature weight improves compactness, while degrading performance on traditional 2D superpixel metrics. Performance on light field specific metrics is slightly improved. This improvement may be attributed to the smaller perimeters of more compact superpixels: fewer edge pixels implies fewer sub-pixel projection errors at superpixel boundaries.

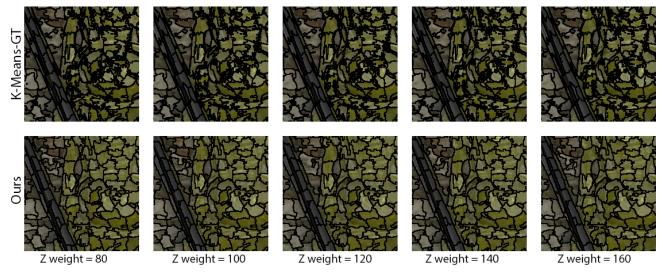


(b) A larger spatial weight generates more compact superpixels, at the expense of boundary accuracy.

Figure 7: An evaluation of the XY spatial feature weight on clustering. The superpixel size is fixed at 20.



(a) When using ground-truth disaprity for the k-means baseline, we observe that increasing the weight of the disparity feature improves performance on traditional metrics, while degrading performance on light field specific metrics by a very small amount. Our results follow a similar trend. However, the results for the k-means baseline with Wang et al.'s disparity are more unpredictable. This shows that the k-means baseline is sensitive to errors in disparity estimation.



(b) A larger CIELAB color weight generates less compact superpixels.

Figure 8: An evaluation of the disparity feature weight on clustering. The superpixel size is fixed at 20.

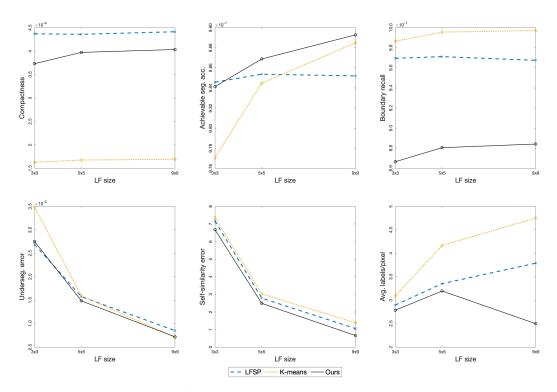


Figure 9: Performance on large disparity light fields. As the number of views decreases and the baseline increases, the performance trends found at  $9 \times 9$  views tend to be maintained.

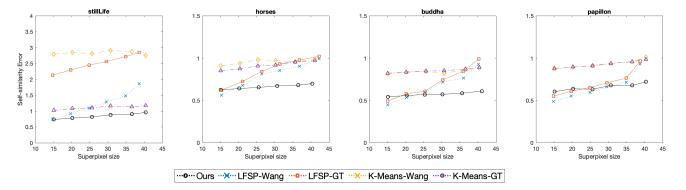


Figure 10: Self-similarity error measured over all light fields in the HCI dataset. This error provides a measure of the consistency of superpixel shape across views; smaller errors indicate greater consistency.

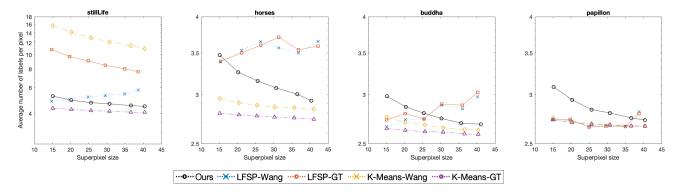


Figure 11: The average number of labels per pixel measured over all light fields in the HCI dataset. Smaller values indicate that a greater number of pixels have a consistent label across views.

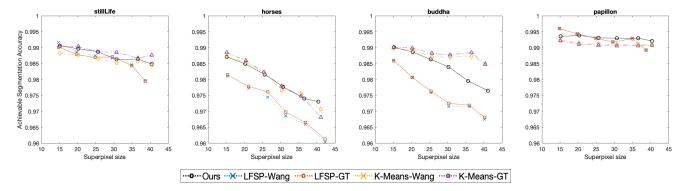


Figure 12: The achievable segmentation accuracy measured over all light field in the HCI dataset. The achievable segmentation accuracy describes how well the segments align with the ground truth labels. Hence, it provides a measure of the percentage of correctly labeled pixels. While ASA is not the same as object segmentation accuracy, it provides an upper bound on the accuracy of an object-level segmentation based on the current oversegmentation.

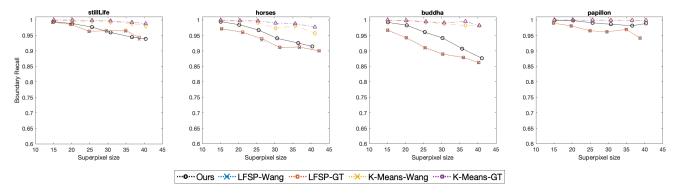


Figure 13: Boundary recall measured over all light fields in the HCI dataset. Boundary recall measures the fraction of ground truth edges which fall within a distance d of one or more super pixel boundary. Intuitively, the higher the boundary recall, the better the superpixels adhere to object boundaries.

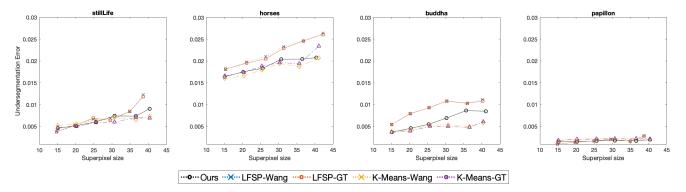


Figure 14: Undersegmentation Error measured over all light fields in the HCI dataset. Undersegmentation error measures the percentage of superpixels that extend over ground truth segment borders

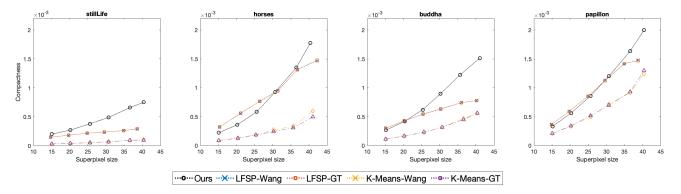


Figure 15: Compactness measured over all superpixels in the light fields of the HCI dataset. The compactness is related to the ratio of area to perimeter, and larger values signify smoother superpixel boundaries.