

CSCI 1515 Applied Cryptography

This Lecture:

- SWHE over Integers (Continued)
- SWHE from LWE (GSW)
- SWHE from RLWE (BFV)

Fully Homomorphic Encryption (FHE)

All poly-sized
Boolean circuits

Def A (public-key) homomorphic encryption scheme

$\Pi = (\text{KeyGen}, \text{Enc}, \text{Dec}, \text{Eval})$ w.r.t. function family F :

- $(pk, sk) \leftarrow \text{KeyGen}(1^\lambda)$
- $ct \leftarrow \text{Enc}_{pk}(m) \quad m \in \{0, 1\}$
- $m \leftarrow \text{Dec}_{sk}(ct)$
- $ct_f \leftarrow \text{Eval}(f, ct_1, \dots, ct_n) \quad f: \{0, 1\}^n \rightarrow \{0, 1\}$

• **Correctness:** $ct_i \leftarrow \text{Enc}_{pk}(m_i) \quad \forall i \in [n],$

$\forall f \in F, \quad ct_f \leftarrow \text{Eval}(f, ct_1, \dots, ct_n)$

$\text{Dec}_{sk}(ct_f) = f(m_1, \dots, m_n)$

• **(CPA) Security:** $(pk, \text{Enc}_{pk}(m_0)) \stackrel{c}{\approx} (pk, \text{Enc}_{pk}(m_1)).$

• **Compactness:** $|ct_f| \leq \text{fixed poly}(\lambda)$

Independent of circuit size of f .

FHE Constructions

Step 1: Somewhat Homomorphic Encryption (SWHE)

- over Integers
- from LWE (GSW)
- from RLWE (BFV)

Step 2: Bootstrapping

SWHE over Integers

Attempt 1 (Secret-key)

- secret key: odd number p

- $\text{Enc}(m)$: $m \in \{0, 1\}$

Sample a random q .

Output $ct = p \cdot q + m$

Encryption of 0 is a multiple of p .

- $\text{Dec}(ct)$: $ct \bmod p$

- Eval ADD: $ct \leftarrow ct_1 + ct_2$

Eval MULT: $ct \leftarrow ct_1 \cdot ct_2$

(CPA) Security?

$$\text{GCD}(p \cdot q_1, p \cdot q_2, \dots) = p$$

SWHE over Integers

Attempt 2 (Secret-key)

- secret key: odd number p

- Enc(m): $m \in \{0, 1\}$

Sample a random q . Sample a random $e \ll p$

Output $ct = p \cdot q + m + ze$

Encryption of 0 is small and even modulo p .

- Dec(ct): $[ct \bmod p] \bmod 2$

- Eval ADD: $ct \leftarrow ct_1 + ct_2$

Eval MULT: $ct \leftarrow ct_1 \cdot ct_2$

• Approximate GCD Problem:

Given poly-many $\{x_i = p \cdot q_i + s_i\}$, output p .

Example parameters: $p \sim 2^{O(\lambda^2)}$, $q_i \sim 2^{O(\lambda^5)}$, $s_i \sim 2^{O(\lambda)}$

Best known attacks require 2^λ time.

SWHE over Integers

Attempt 3 (public-key)

- secret key: odd number p

public key: "encryptions of 0"

$$\{x_i = p \cdot q_i + z e_i\}_{i \in [\lambda]}$$

- Enc(m): $m \in \{0, 1\}$

Sample a random $e \leftarrow p$

Output $ct = (\text{random subset sum of } x_i\text{'s}) + m + ze$

Encryption of 0 is small and even modulo p .

- Dec(ct): $[ct \bmod p] \bmod 2$

- Eval ADD: $ct \leftarrow ct_1 + ct_2$

Eval MULT: $ct \leftarrow ct_1 \cdot ct_2$

How homomorphic is it?

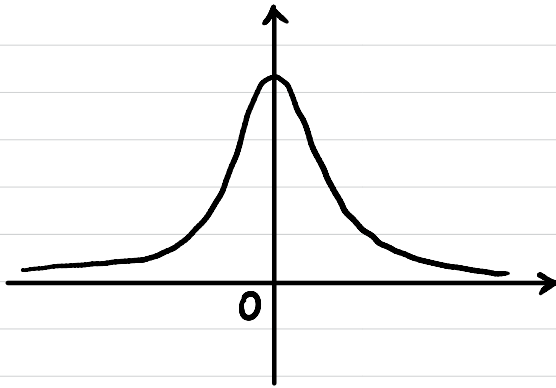
Learning With Errors (LWE) Assumption

$n \sim$ security parameter

$$q \sim 2^{n^\epsilon}$$

$$m = \Theta(n \log q)$$

χ : distribution over $\mathbb{Z}_q$
(concentrated on "small integers")



$$\Pr[|e| > \underset{\substack{\uparrow \\ \alpha \ll 1}}{\alpha \cdot q} \mid e \leftarrow \chi] \leq \text{negl}(n)$$

LWE $[n, m, q, \chi]$:

$$A \leftarrow \mathbb{Z}_q^{m \times n} \quad s \leftarrow \mathbb{Z}_q^n \quad e \leftarrow \chi^m$$

$$\begin{array}{c} \boxed{A} \\ m \times n \end{array} \times \begin{array}{c} \boxed{s} \\ n \times 1 \end{array} + \begin{array}{c} \boxed{e} \\ m \times 1 \end{array} = \begin{array}{c} \boxed{b} \\ m \times 1 \end{array}$$

$$(A, b = As + e) \stackrel{c}{\approx} (A, b' \leftarrow \mathbb{Z}_q^m)$$

Learning With Errors (LWE) Assumption

worst-case hardness

$\xRightarrow{\text{reduce}}$

(Lattice-based crypto)

average-case hardness

shortest vector problem in lattices

LWE

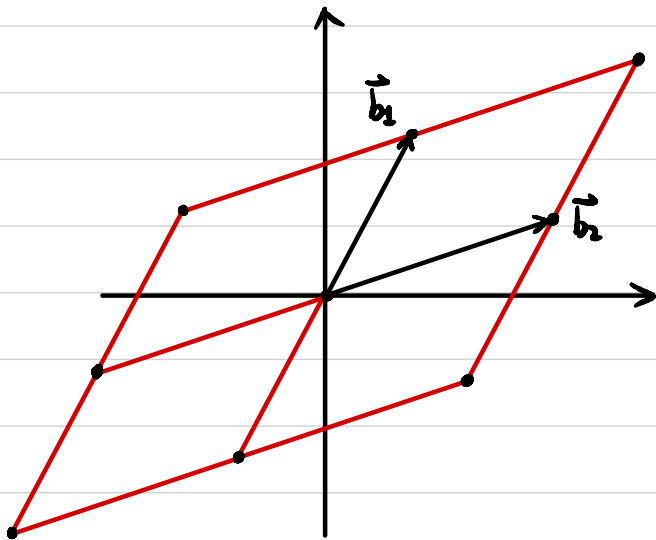
post-quantum secure

Given a lattice of dimension n :

Basis $B = \{ \vec{b}_1, \vec{b}_2, \dots, \vec{b}_n \}$, linearly independent

Lattice $L(B) := \{ \sum_{i=1}^n \alpha_i \vec{b}_i \mid \alpha_i \in \mathbb{Z} \}$

Find the shortest vector in L .



Regen Encryption from LWE

$$A \leftarrow \mathbb{Z}_q^{m \times n} \quad s \leftarrow \mathbb{Z}_q^n \quad e \leftarrow \mathcal{X}^m$$

$$\begin{array}{|c|} \hline A \\ \hline \end{array}_{m \times n} \times \begin{array}{|c|} \hline s \\ \hline \end{array}_{n \times 1} + \begin{array}{|c|} \hline e \\ \hline \end{array}_{m \times 1} = \begin{array}{|c|} \hline b \\ \hline \end{array}_{m \times 1}$$

$$pk = (A, b)$$

$$sk = s$$

$$Enc_{pk}(\mu): \mu \in \{0, 1\}$$

sample a random $S \subseteq [m]$

$$c = \left(\sum_{i \in S} A_i, \left(\sum_{i \in S} b_i \right) + \mu \cdot \left\lfloor \frac{q}{2} \right\rfloor \right)$$

↑
i-th row of A

$$Dec_{sk}(c): c = \begin{array}{|c|c|} \hline c_1 & c_2 \\ \hline \end{array}$$

$$c_2 - \langle c_1, s \rangle = ?$$

$$\begin{array}{|c|} \hline B \\ \hline \end{array}_{m \times n} \times \begin{array}{|c|} \hline t \\ \hline \end{array}_{n \times 1} = \begin{array}{|c|} \hline e \\ \hline \end{array}_{m \times 1}$$

$$pk = B_{m \times n}$$

$$sk = t_{n \times 1}$$

$B \cdot t = \text{Small}$

$$Enc_{pk}(\mu): \mu \in \{0, 1\}$$

sample $r \leftarrow \{0, 1\}^m$

$$\begin{array}{|c|} \hline r \\ \hline \end{array}_{1 \times m} \begin{array}{|c|} \hline B \\ \hline \end{array}_{m \times n}$$

$$c = r \cdot B + (0, \dots, 0, \mu \cdot \left\lfloor \frac{q}{2} \right\rfloor)$$

$$Dec_{sk}(c): \langle c, t \rangle = ?$$

Regen Encryption from LWE

$$\begin{array}{|c|} \hline \text{B} \\ \hline \text{A} \\ \hline \end{array} \begin{array}{|c|} \hline \text{t} \\ \hline \end{array} \begin{array}{|c|} \hline \text{s} \\ \hline \end{array} \begin{array}{|c|} \hline \text{1} \\ \hline \end{array} \begin{array}{|c|} \hline \text{e} \\ \hline \end{array}$$

$m \times n \quad n \times 1 \quad m \times 1$

$$pk = B_{m \times n}$$

$$sk = t_{n \times 1}$$

$$B \cdot t = \text{small}$$

$$\text{Enc}_{pk}(\mu): \mu \in \{0, 1\}$$

$$\text{sample } r \leftarrow \{0, 1\}^m$$

$$\begin{array}{|c|} \hline r \\ \hline \end{array} \begin{array}{|c|} \hline B \\ \hline \end{array}$$

$1 \times m \quad m \times n$

$$c = r \cdot B + (0, \dots, 0, \mu \cdot \lfloor \frac{q}{2} \rfloor)$$

$$\text{Dec}_{sk}(c): \langle c, t \rangle = ?$$

Homomorphism:

$$c_1 = \text{Enc}(\mu_1) \quad \langle c_1, t \rangle = \text{"small"} + \mu_1 \cdot \lfloor \frac{q}{2} \rfloor$$

$$c_2 = \text{Enc}(\mu_2) \quad \langle c_2, t \rangle = \text{"small"} + \mu_2 \cdot \lfloor \frac{q}{2} \rfloor$$

Additive Homomorphism?

Multiplicative Homomorphism?

SWHE from LWE (GSW)

Attempt 1 (secret-key)

$$SK = t_{n \times 1} \begin{bmatrix} s \\ 1 \end{bmatrix}_{n \times 1}$$

$$Enc_{sk}(\mu): \mu \in \{0, 1\}$$

Sample $C_0 \in \mathbb{Z}_q^{n \times n}$ st. $C_0 \cdot \vec{t} = \text{small}$ How?

$$\begin{bmatrix} C_0 \end{bmatrix}_{n \times n} \times \begin{bmatrix} t \end{bmatrix}_{n \times 1} = \begin{bmatrix} e \end{bmatrix}_{n \times 1}$$

$$C = C_0 + \mu \cdot I$$

↑ $n \times n$ ↑ identity matrix

$$Dec_{sk}(c): C \cdot \vec{t} = ?$$

SWHE from LWE (GSW)

Attempt 1 (secret-key)

Without Error: $C \cdot \vec{t} = \mu \cdot \vec{t}$

Homomorphism: $C_1 \cdot \vec{t} = \mu_1 \cdot \vec{t}$
 $C_2 \cdot \vec{t} = \mu_2 \cdot \vec{t}$

Additive Homomorphism?

Multiplicative Homomorphism?

With Error: $C \cdot \vec{t} = \mu \cdot \vec{t} + \vec{e}$

Homomorphism: $C_1 \cdot \vec{t} = \mu_1 \cdot \vec{t} + \vec{e}_1$
 $C_2 \cdot \vec{t} = \mu_2 \cdot \vec{t} + \vec{e}_2$

Additive Homomorphism?

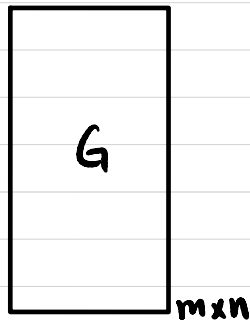
Multiplicative Homomorphism?

SWHE from LWE (GSW)

Attempt 2 (secret-key)

Flattering Gadget:

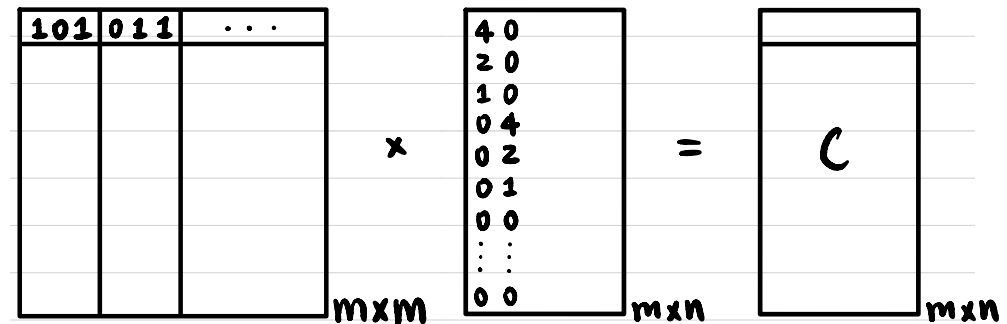
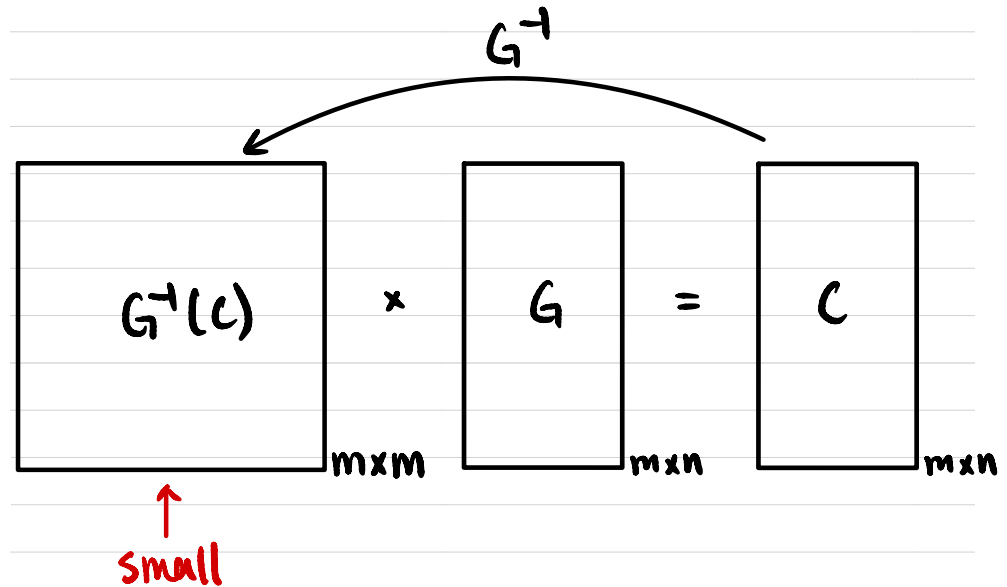
Gadget matrix $G \in \mathbb{Z}_q^{m \times n}$



Inverse transformation

$$G^{-1}: \mathbb{Z}_q^{m \times n} \rightarrow \mathbb{Z}_q^{m \times m}$$

$$\forall C \in \mathbb{Z}_q^{m \times n}, \quad G^{-1}(C) = \text{small}$$
$$G^{-1}(C) \cdot G = C$$



$m = ?$

SWHE from LWE (GSW)

Attempt 2 (secret-key)

$$SK = t_{n \times 1} \begin{bmatrix} s \\ 1 \end{bmatrix}_{n \times 1}$$

$$Enc_{sk}(\mu): \mu \in \{0, 1\}$$

Sample $C_0 \in \mathbb{Z}_q^{n \times n}$ st. $C_0 \cdot \vec{t} = \text{small}$

$$\begin{bmatrix} C_0 \end{bmatrix}_{n \times n} \times \begin{bmatrix} t \end{bmatrix}_{n \times 1} = \begin{bmatrix} e \end{bmatrix}_{n \times 1}$$

$$C = C_0 + \mu \cdot G$$

↑
gadget matrix

$$Dec_{sk}(c): C \cdot \vec{t} = ?$$

$$\text{Homomorphism: } C_1 \cdot \vec{t} =$$

$$C_2 \cdot \vec{t} =$$

Additive Homomorphism?

Multiplicative Homomorphism?

How homomorphic is it?

Ring LWE (RLWE) Assumption

Polynomial ring $R = \mathbb{Z}[x] / (x^m + 1)$

$$m = 2^k$$

polynomials with integer coefficients modulo $(x^m + 1)$

$$R_q = \mathbb{Z}_q[x] / (x^m + 1)$$

polynomials with integer coefficients modulo q and $(x^m + 1)$

χ : "noise" distribution over R

$$a \leftarrow R_q \quad s \leftarrow R_q \text{ (or } s \leftarrow \chi) \quad e \leftarrow \chi$$

$$(a, [a \cdot s + e]_q) \stackrel{c}{\approx} (a, b \leftarrow R_q)$$

SWHE from RLWE (BFV)

Plaintext space $R_t = \mathbb{Z}_t[x] / (x^m + 1)$

Ciphertext space $R_q \times R_q$

$$\Delta := \left\lfloor \frac{q}{t} \right\rfloor$$

$a \leftarrow_{\$} R_q \quad s \leftarrow \mathcal{X} \quad e \leftarrow \mathcal{X}$

$$pk = ([-(a \cdot s + e)]_q, a)$$

$$sk = s$$

Enc_{pk}(m): $m \in R_t$

Sample $u, e_1, e_2 \leftarrow \mathcal{X}$

$$c = ([pk_0 \cdot u + e_1 + \Delta \cdot m]_q, [pk_1 \cdot u + e_2]_q)$$

Dec_{sk}(c): $[c_0 + c_1 \cdot s]_q = ?$

SWHE from RLWE (BFV)

$$[C(s)]_q = c_0 + c_1 \cdot s = \Delta \cdot m + e$$

Homomorphism: $[C^{(1)}(s)]_q = \Delta \cdot m_1 + e_1$

$$[C^{(2)}(s)]_q = \Delta \cdot m_2 + e_2$$

Additive Homomorphism?

Multiplicative Homomorphism?

SWHE from RLWE (BFV)

$$[C(s)]_q = c_0 + c_1 \cdot s + c_2 \cdot s^2 = \Delta \cdot m + e$$

↓

$$[c'(s)]_q = c'_0 + c'_1 \cdot s = \Delta \cdot m + e$$

Relinearization:

$$\text{Relinearization key: } \text{rlk} = ([-(a \cdot s + e + s^2)]_q, a)$$

$$[\text{rlk}(s)]_q = -s^2 + \text{small}$$

$$c(s) + c_3 \cdot \text{rlk}(s) ?$$