

# CSCI 1515 Applied Cryptography

## This Lecture:

- Sigma Protocol and Examples (Continued)
- Proving AND/OR Statements
- Non-Interactive Zero-Knowledge (NIZK) Proof
- Fiat-Shamir Heuristic
- Putting it Together: Anonymous Online Voting
- ElGamal Encryption: Homomorphism and Threshold Decryption

# Zero-Knowledge Proof of Knowledge

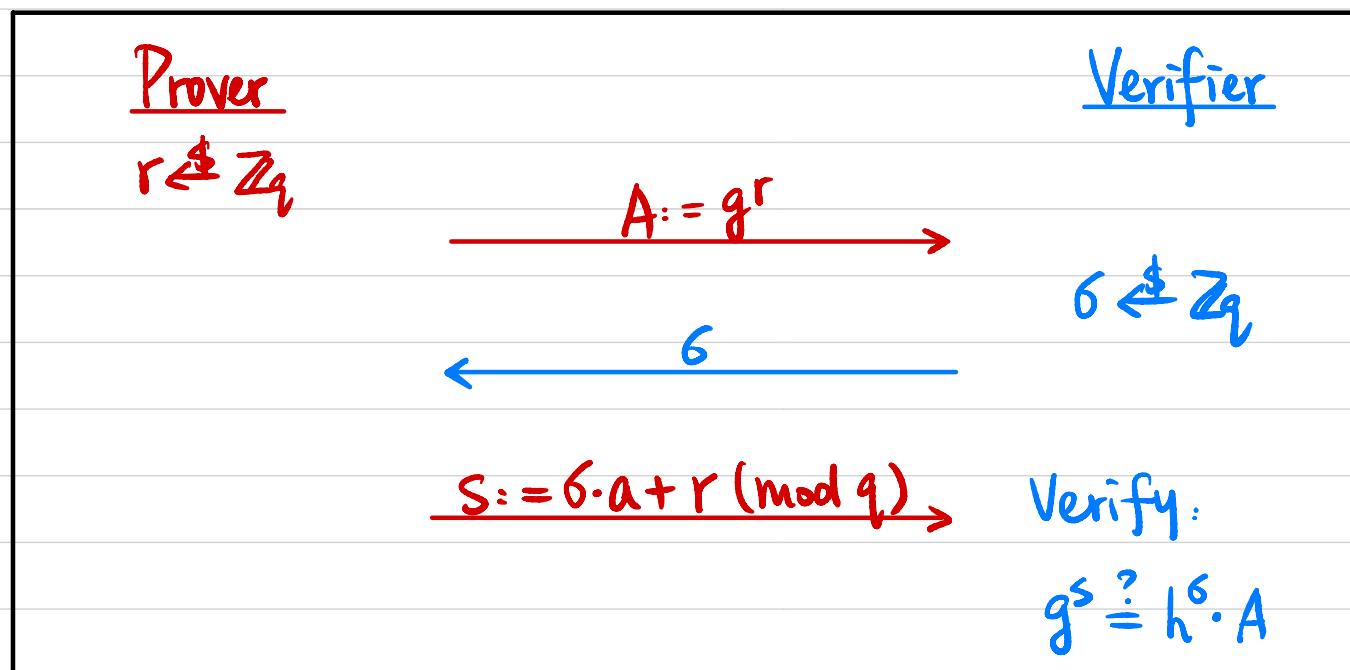
- **Completeness:**  $\forall (x, w) \in R_L, \Pr[P(x, w) \leftrightarrow V(x) \text{ outputs } 1] = 1.$
- **Soundness:**  $\forall x \notin L, \forall P^*, \Pr[P^*(x) \leftrightarrow V(x) \text{ outputs } 1] \approx 0.$
- **Proof of Knowledge:**  $\exists \text{PPT } E \text{ s.t. } \forall P^*, \forall x,$   
 $\Pr[E^{P^*(\cdot)}(x) \text{ outputs } w \text{ s.t. } (x, w) \in R_L] \approx \Pr[P^* \leftrightarrow V(x) \text{ outputs } 1].$
- **Honest-Verifier Zero-Knowledge:**  $\exists \text{PPT } S \text{ s.t. } \forall (x, w) \in R_L,$   
 $\text{View}_{V^*}[P(x, w) \leftrightarrow V(x)] \approx S(x)$
- **Zero-Knowledge:**  $\forall \text{PPT } V^*, \exists \text{PPT } S \text{ s.t. } \forall (x, w) \in R_L,$   
 $\text{Output}_{V^*}[P(x, w) \leftrightarrow V^*(x)] \approx S(x)$

## Example 1: Schnorr's Identification Protocol

Input: Cyclic group  $G$  of order  $q$ , generator  $g$ ,  $h = g^a$

Witness:  $a$

$$R = \{(h = g^a, a)\}$$



Completeness?

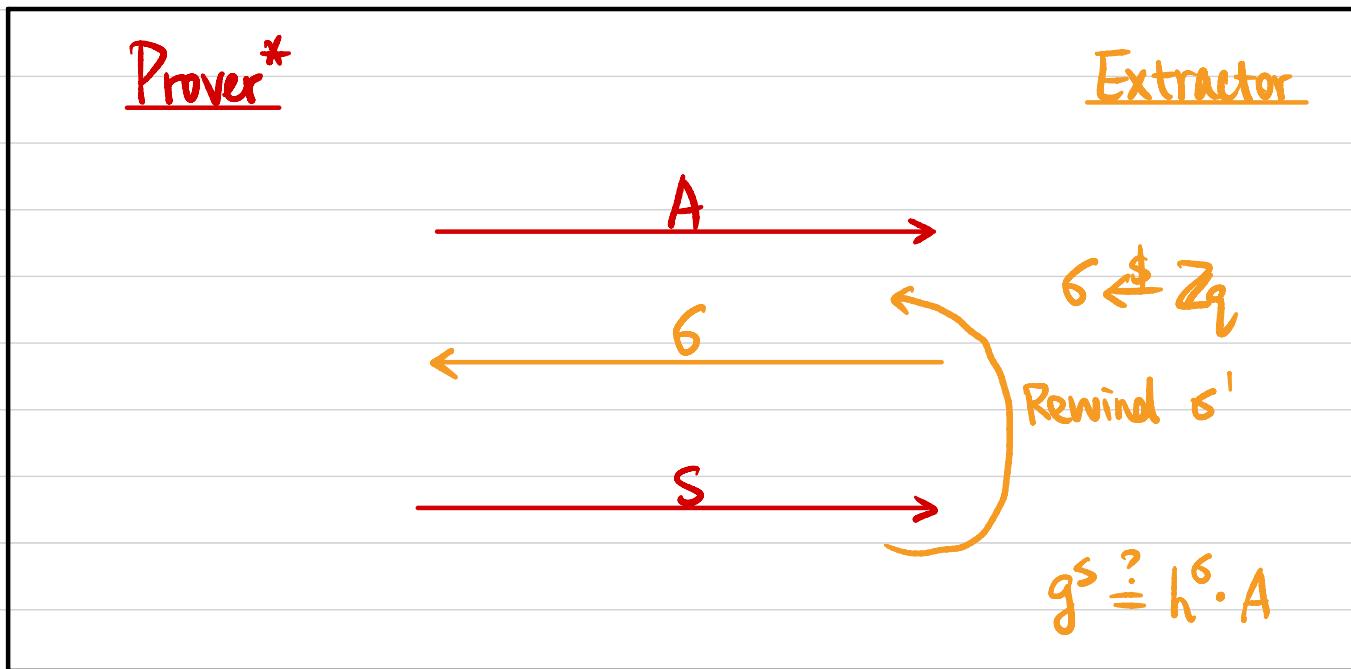
$$g^s = g^{b \cdot a + r}$$

$$h^b \cdot A = (g^a)^b \cdot g^r = g^{b \cdot a + r}$$

⇒ Verifier always outputs 1

# Proof of Knowledge?

Extract a s.t.  $h = g^a$ ?



$$s \Rightarrow s \text{ s.t. } g^s = h^s \cdot A$$

$$\Rightarrow g^{s-s'} = h^{s-s'}$$

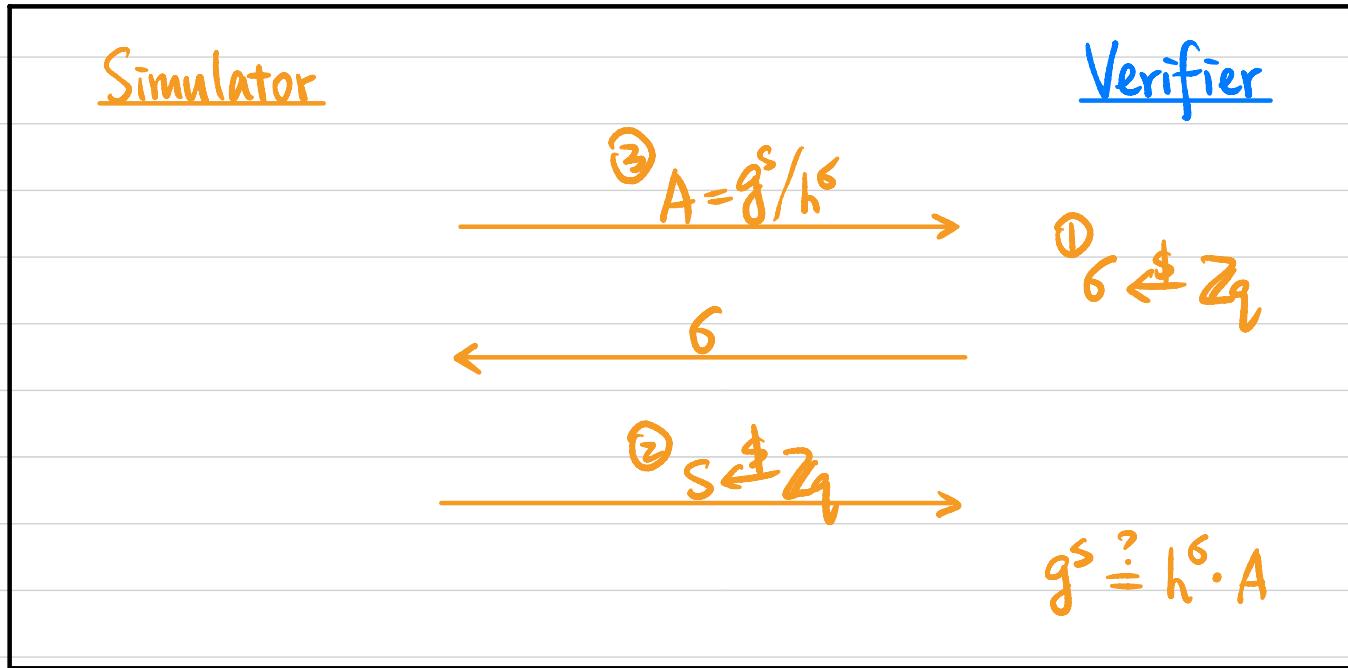
$$s' \Rightarrow s' \text{ s.t. } g^{s'} = h^{s'} \cdot A$$

$$g^{(s-s')(s-s')^{-1}} = h$$

$$a = (s-s')(s-s')^{-1} \pmod{q}$$

# Honest Verifier Zero Knowledge (HVZK)

$$\forall (x, w) \in R_L, \text{ View}_V [P(x, w) \longleftrightarrow V(x)] \simeq S(x)$$

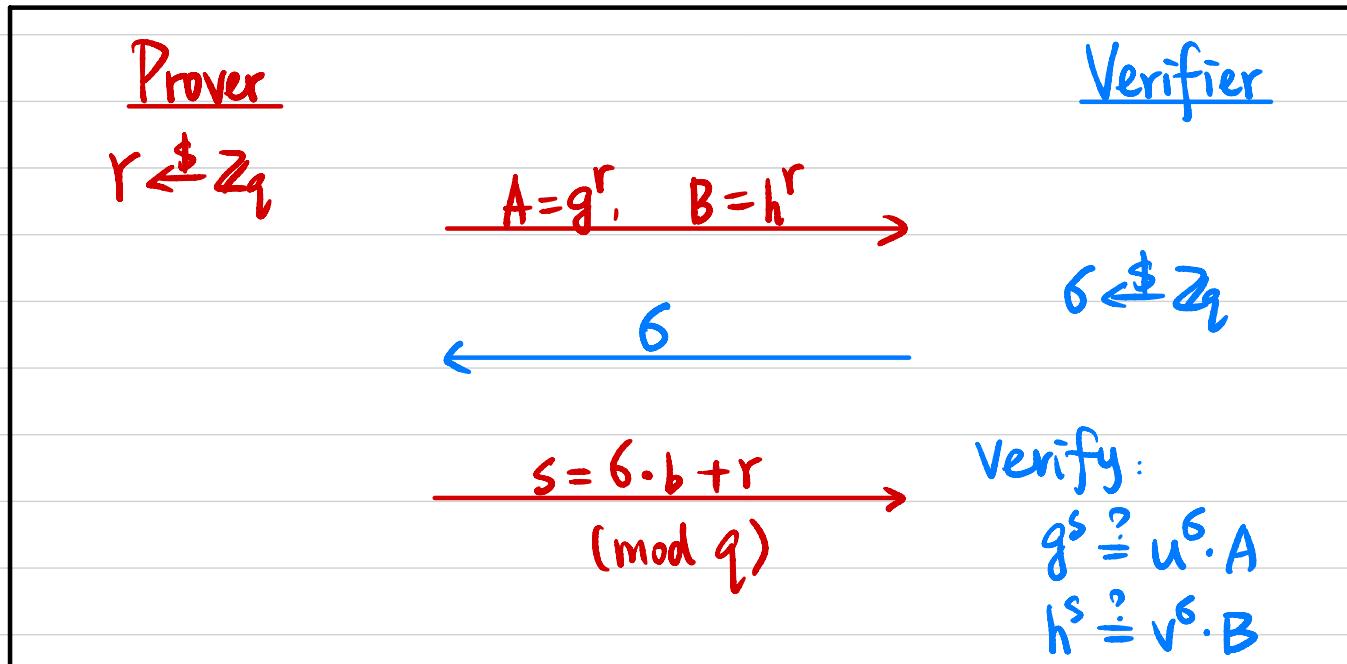


## Example 2: Chaum-Pedersen Protocol for DH Tuple

Input: Cyclic group  $G$  of order  $q$ , generator  $g$ ,  $h, u, v$   
 $\overset{||}{g^a} \quad \overset{||}{g^b} \quad \overset{||}{g^{ab}}$

Witness:  $b$

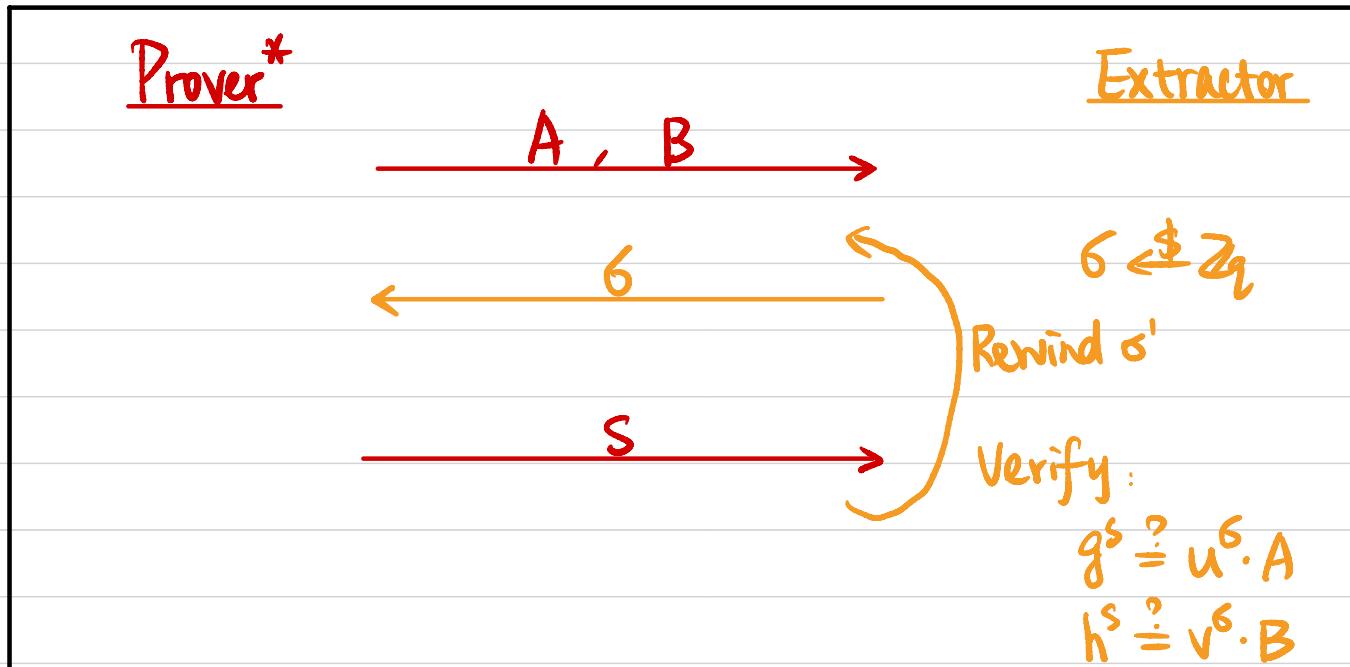
Statement:  $\exists b \in \mathbb{Z}_q$  s.t.  $u = g^b \wedge v = h^b$



Completeness?  $g^s = g^{b \cdot r}$        $h^s = h^{b \cdot r} \Rightarrow$  Verifier always outputs 1  
 $u^s \cdot A = (g^b)^s \cdot g^r = g^{b \cdot r}$        $v^s \cdot B = (h^b)^s \cdot h^r = h^{b \cdot r}$

# Proof of Knowledge?

Extract  $b$  s.t.  $U = g^b \wedge V = h^b$  ?



$$\sigma \Rightarrow s \text{ s.t. } g^s = u^\sigma \cdot A, \quad h^s = v^\sigma \cdot B$$

$$\sigma' \Rightarrow s' \text{ s.t. } g^{s'} = u^{\sigma'} \cdot A, \quad h^{s'} = v^{\sigma'} \cdot B$$

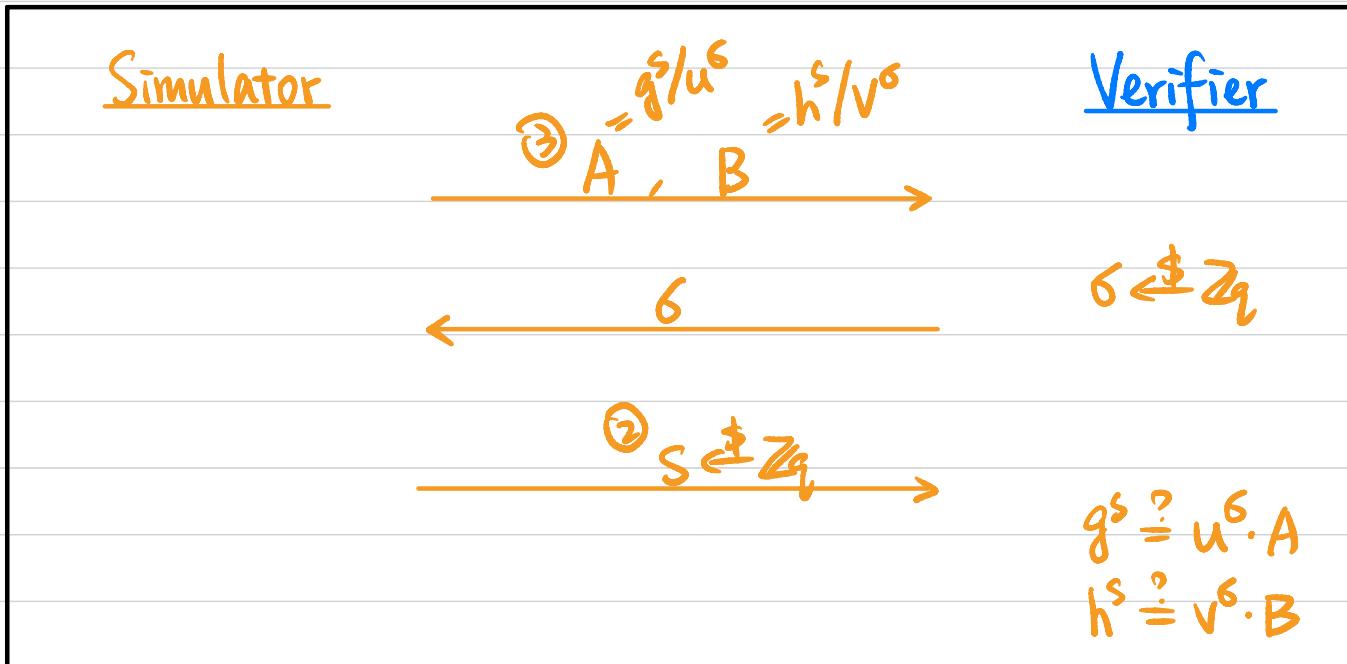
$$g^{s-s'} = u^{\sigma-\sigma'}, \quad h^{s-s'} = v^{\sigma-\sigma'}$$

$$g^{(s-s')(s-\sigma')^{-1}} = u, \quad h^{(s-s')(s-\sigma')^{-1}} = v$$

$$b = (s-s')(s-\sigma')^{-1} \pmod{q}$$

# Honest Verifier Zero Knowledge ?

$$\forall (x, w) \in R_L, \text{View}_V [P(x, w) \leftrightarrow V(x)] \simeq S(x)$$

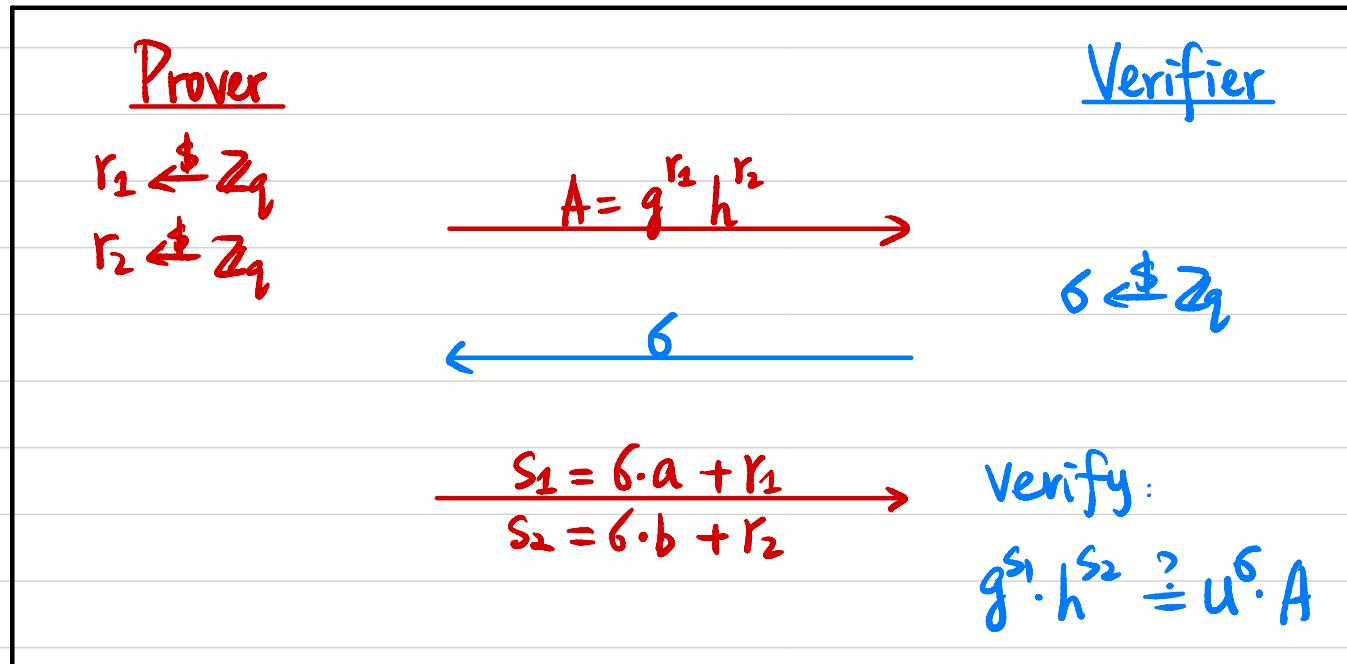


### Example 3: Okamoto's Protocol for Representation

Input: Cyclic group  $G$  of order  $q$ , generator  $g$ ,  $h$ ,  $u = g^a h^b$

Witness:  $(a, b)$

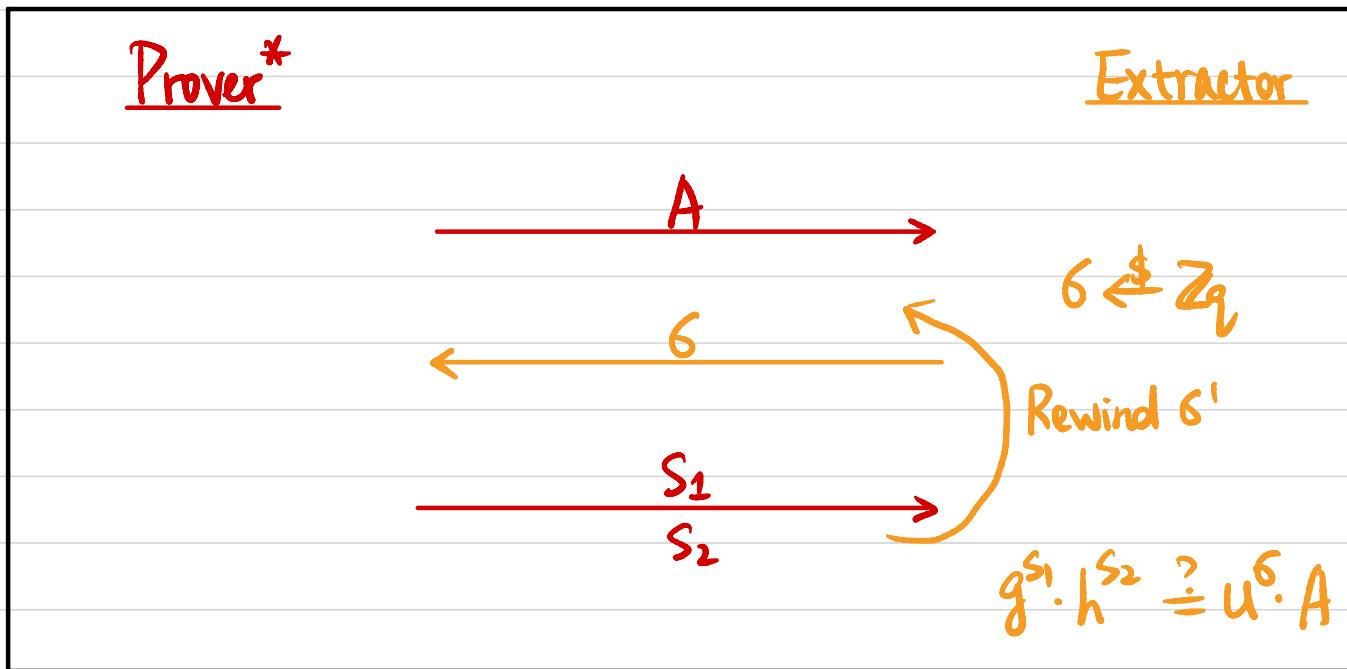
$$R = \{ (u = g^a h^b, (a, b)) \}$$



Completeness?  $g^{s_1} \cdot h^{s_2} = g^{s \cdot a + r_1} \cdot h^{s \cdot b + r_2} \Rightarrow$  Verifier always outputs 1  
 $u^s \cdot A = (g^a h^b)^s \cdot g^{r_1} h^{r_2} = g^{s a + r_1} \cdot h^{s b + r_2}$

# Proof of Knowledge?

Extract (a,b) s.t.  $u = g^a h^b$  ?



$$s \Rightarrow s_1, s_2 \text{ s.t. } g^{s_1} \cdot h^{s_2} = u^b \cdot A$$

$$s' \Rightarrow s'_1, s'_2 \text{ s.t. } g^{s'_1} \cdot h^{s'_2} = u^{b'} \cdot A$$

$$\downarrow$$

$$g^{s_1 - s'_1} \cdot h^{s_2 - s'_2} = u^{s - s'}$$

$$\downarrow$$

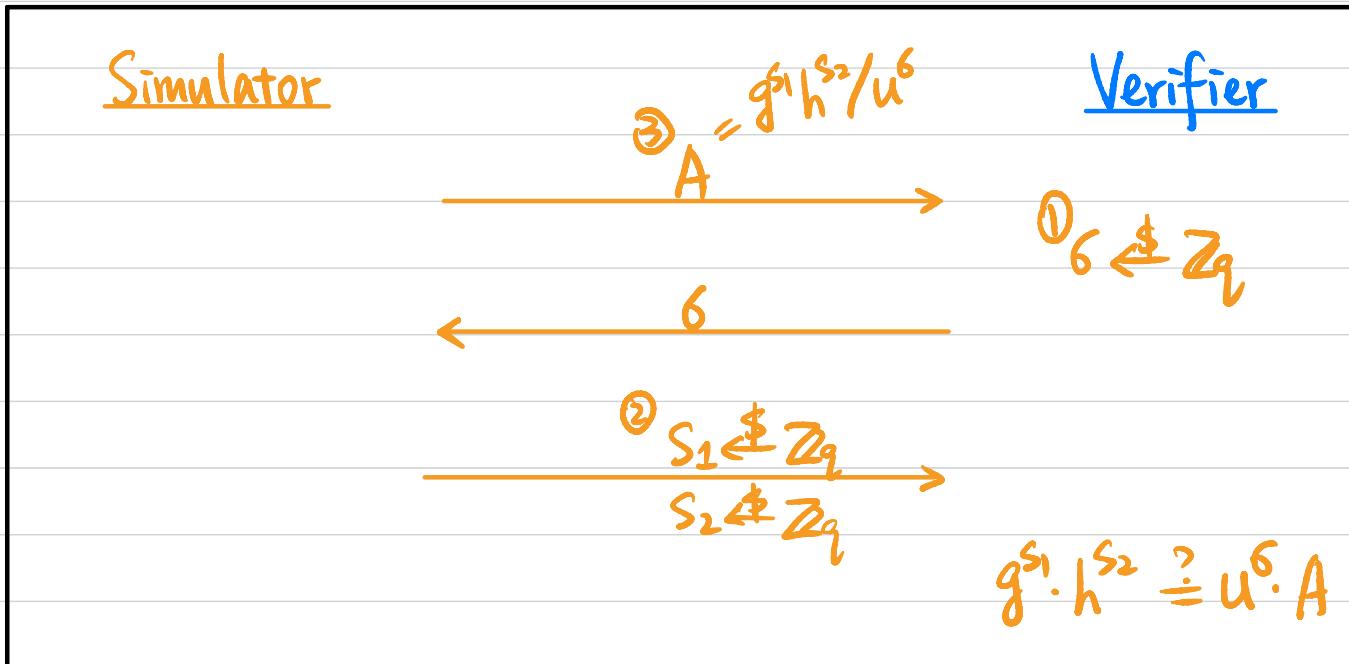
$$g^{(s_1 - s'_1)(s - s')^{-1}} \cdot h^{(s_2 - s'_2)(s - s')^{-1}} = u$$

$$\downarrow$$

$$a = (s_1 - s'_1)(s - s')^{-1}, \quad b = (s_2 - s'_2)(s - s')^{-1} \pmod{q}$$

# Honest Verifier Zero Knowledge ?

$$\forall (x, w) \in R_L, \text{View}_V[P(x, w) \leftrightarrow V(x)] \simeq S(x)$$



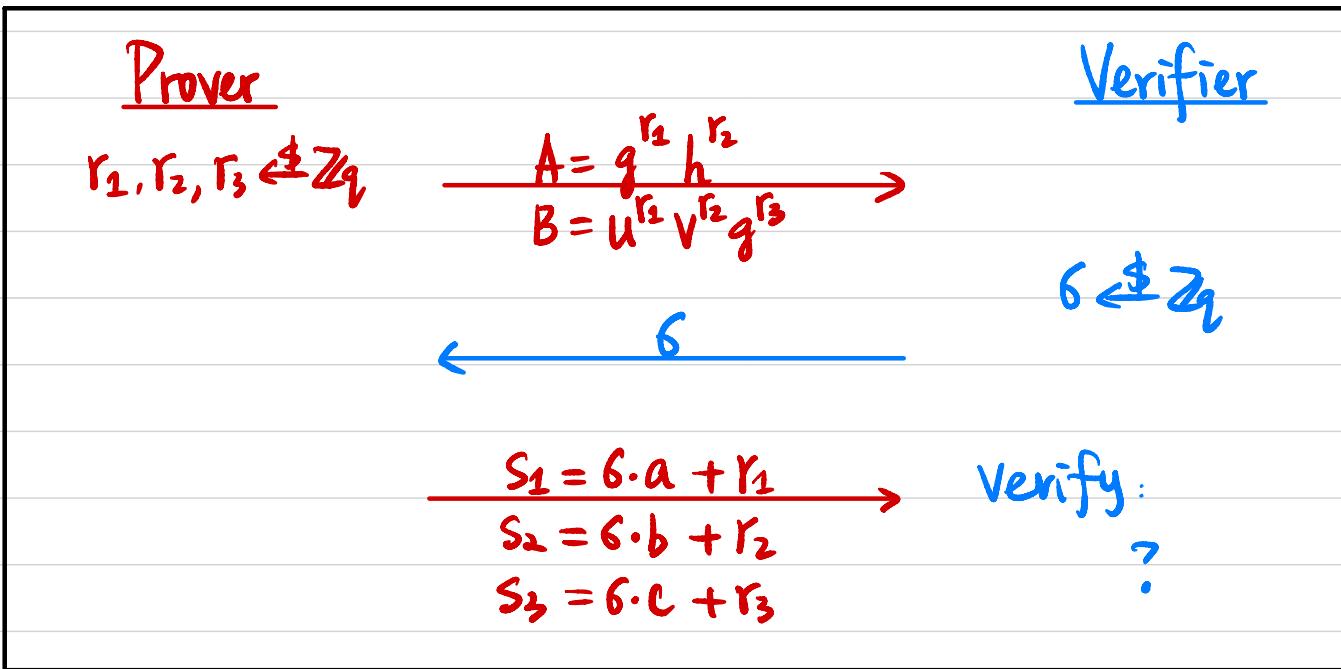
## Example 4: Arbitrary Linear Equations

Input: Cyclic group  $G$  of order  $q$ , generator  $g, h, u, v$

Witness:  $(a, b, c)$

$$u = g^a h^b$$

$$h = u^a v^b g^c$$



Completeness?

PoK?

HVZK?

## Proving AND/OR Statements ?

Statements:  $x_1, x_2$

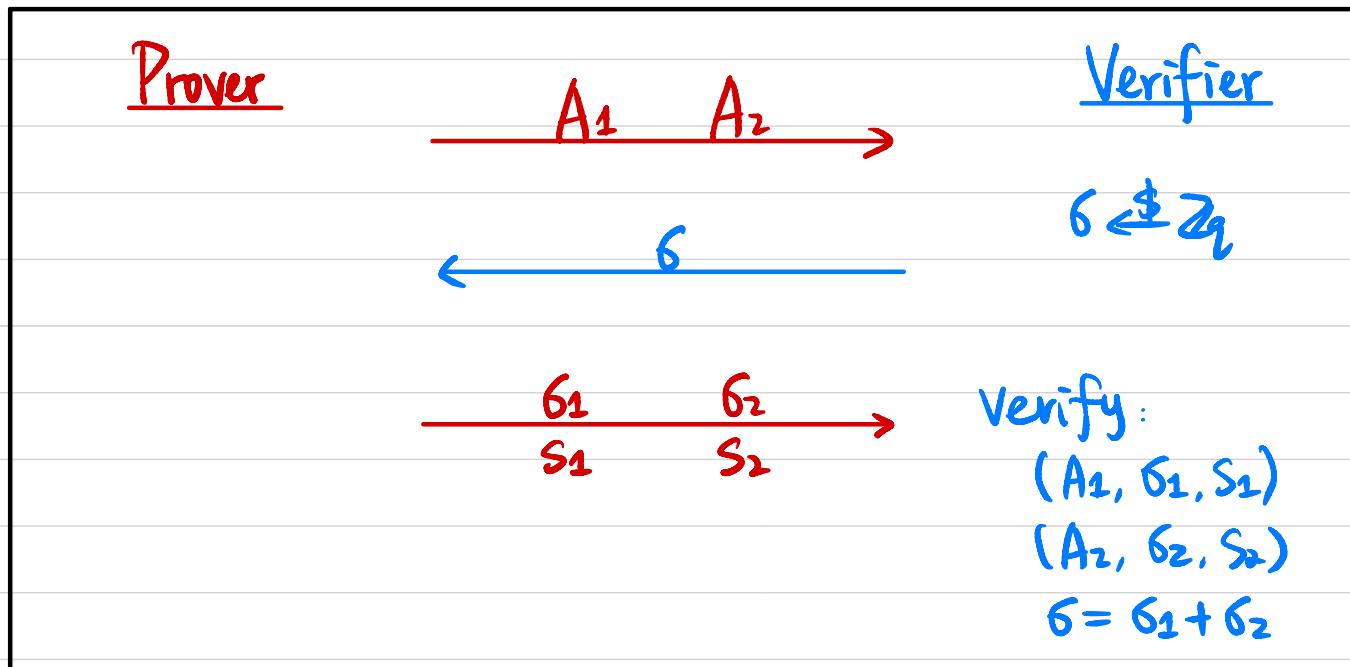
Witnesses:  $w_1, w_2$

AND:  $R_{\text{AND}} = \left\{ \left( (x_1, x_2), (w_1, w_2) \right) : \right.$   
 $\left. (x_1, w_1) \in R_{L_1} \text{ AND } (x_2, w_2) \in R_{L_2} \right\}$

OR:  $R_{\text{OR}} = \left\{ \left( (x_1, x_2), (w_1, w_2) \right) : \right.$   
 $\left. (x_1, w_1) \in R_{L_1} \text{ OR } (x_2, w_2) \in R_{L_2} \right\}$

## Proving OR Statement

$$R_{OR} = \{ (x_1, x_2), (w_1, w_2) : (x_1, w_1) \in R_{L_1} \text{ OR } (x_2, w_2) \in R_{L_2} \}$$

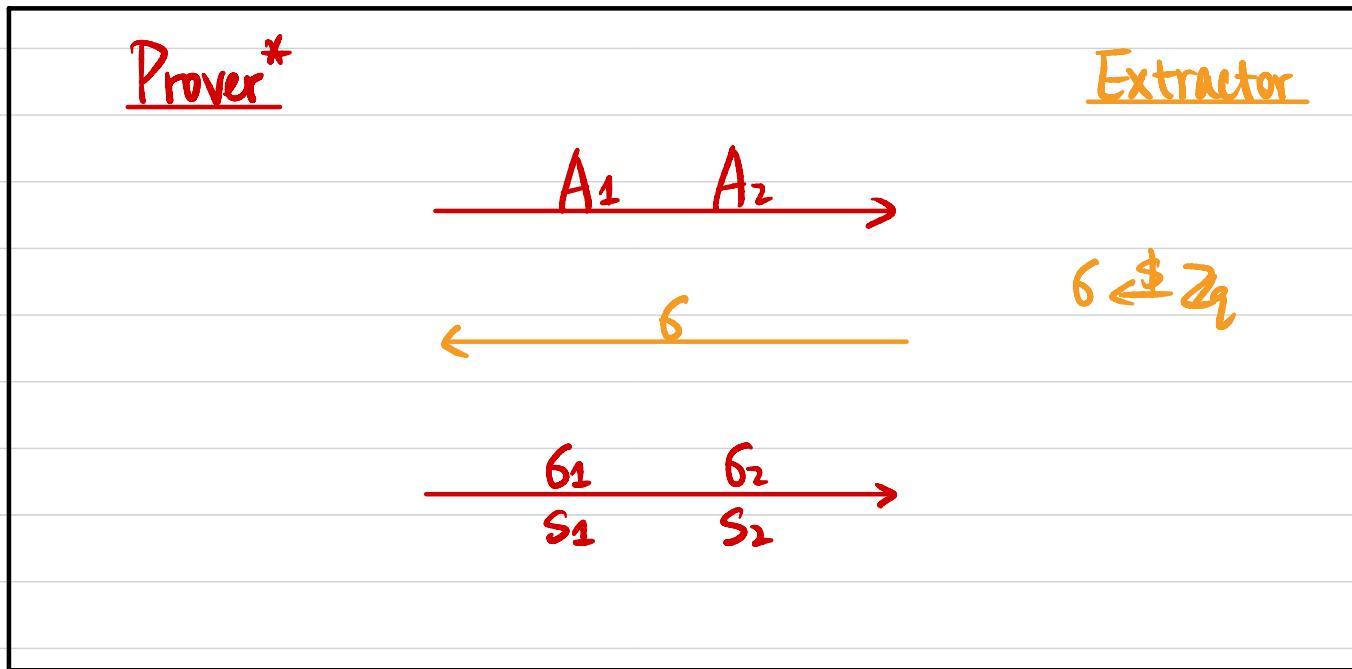


Say Prover only has  $w_1$ , how to generate response?

Completeness?

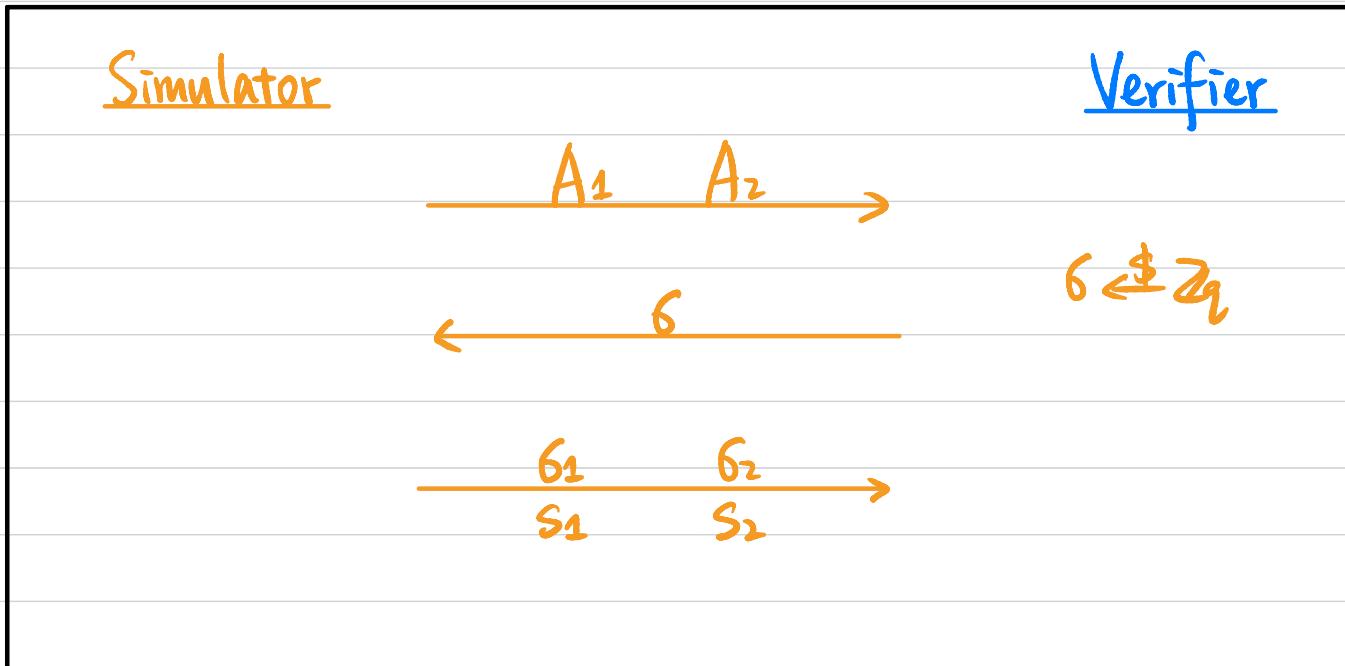
## Proof of Knowledge?

Extract  $(w_1, w_2)$  s.t.  $(x_1, w_1) \in R_{L_1}$  OR  $(x_2, w_2) \in R_{L_2}$  ?

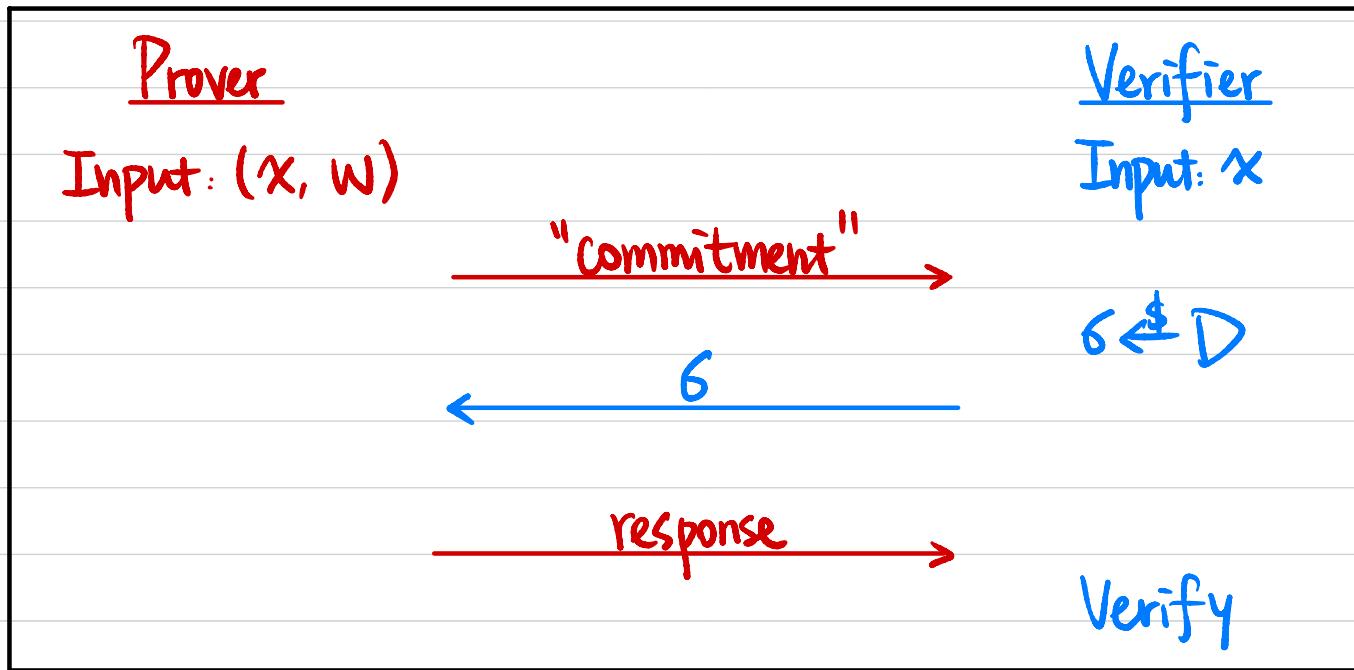


# Honest Verifier Zero Knowledge ?

$$\forall (x, w) \in R_L, \text{View}_V[P(x, w) \leftrightarrow V(x)] \simeq S(x)$$



# Sigma Protocols $\Sigma$



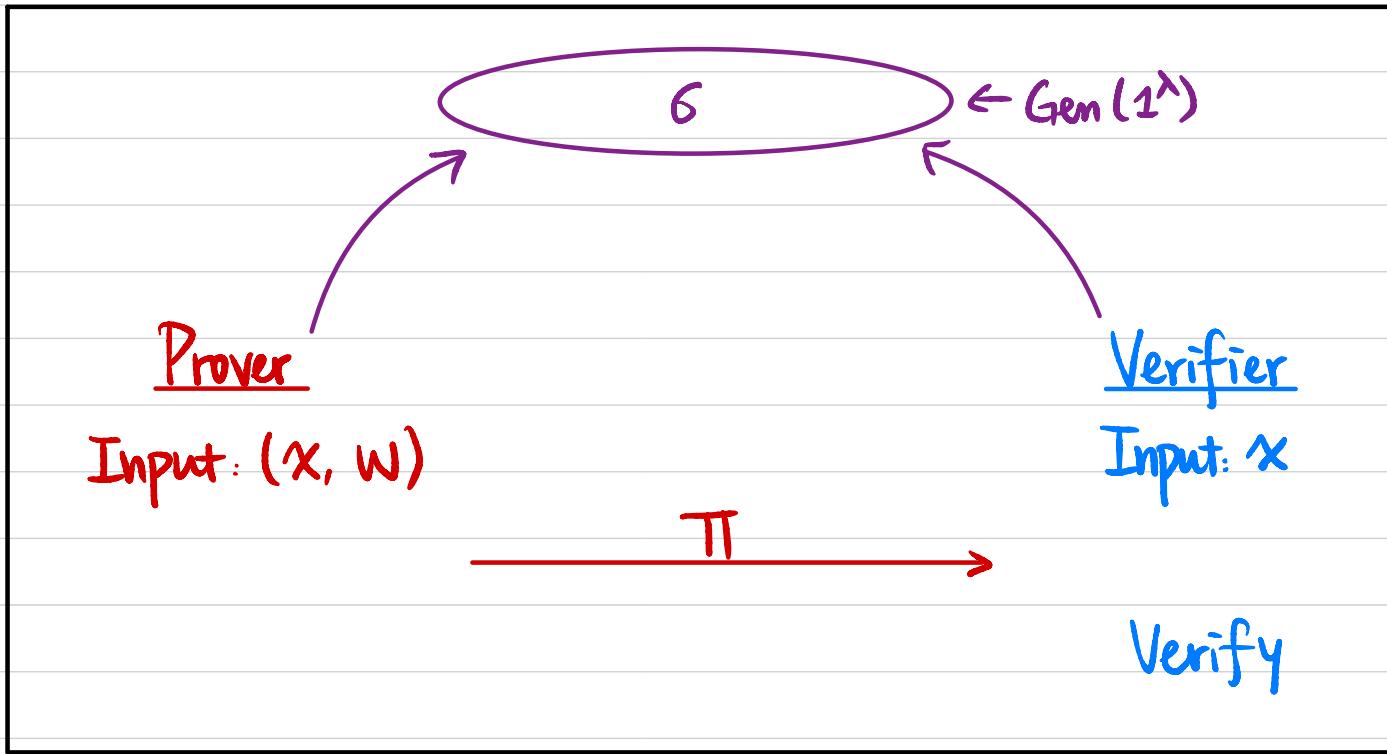
# Non-Interactive Zero-Knowledge (NIZK) Proof



- Completeness:  $\forall (x, w) \in R_L, \Pr [ P(x, w) \rightarrow V(x) \text{ outputs } 1 ] = 1$ .
- Soundness:  $\forall x \notin L, \forall P^*, \Pr [ P^*(x) \rightarrow V(x) \text{ outputs } 1 ] \approx 0$ .
- Zero-Knowledge:  $\forall \text{PPT } V^*, \exists \text{PPT } S \text{ s.t. } \forall (x, w) \in R_L,$   
 $\text{Output}_{V^*}[P(x, w) \rightarrow V^*(x)] \approx S(x)$

Is it possible?

# Model 1: Common Random String / Common Reference String (CRS)



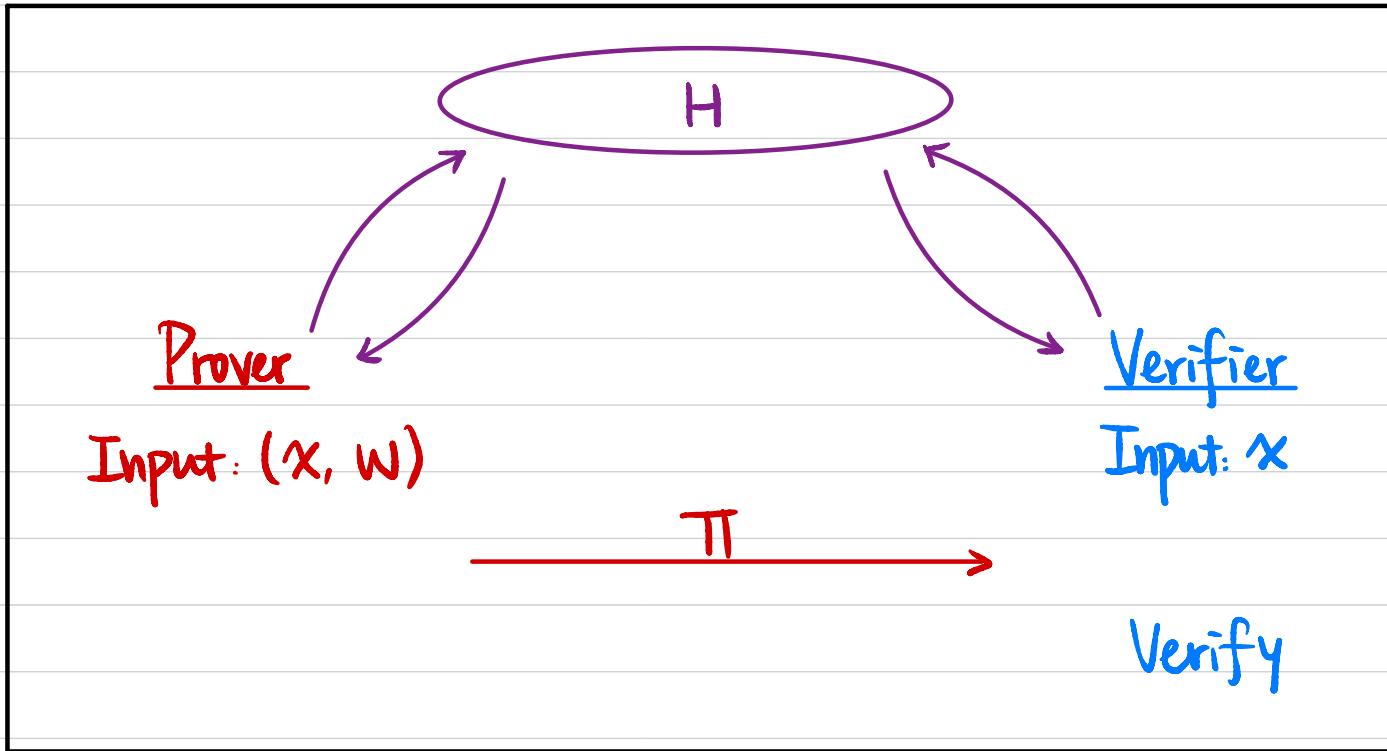
$S(x)$  generates both  $(\sigma, \pi)$

- **Zero-Knowledge:**  $\forall \text{PPT } V^*, \exists \text{PPT } S \text{ s.t. } \forall (x, w) \in R_L,$

$$\text{Output}_{V^*} \left[ \sigma \leftarrow \text{Gen}(1^\lambda), P(x, w, \sigma) \rightarrow V^*(x, \sigma) \right] \simeq S(x)$$

Alternatively:  $(\sigma \leftarrow \text{Gen}(1^\lambda), P(x, w, \sigma)) \simeq S(x)$

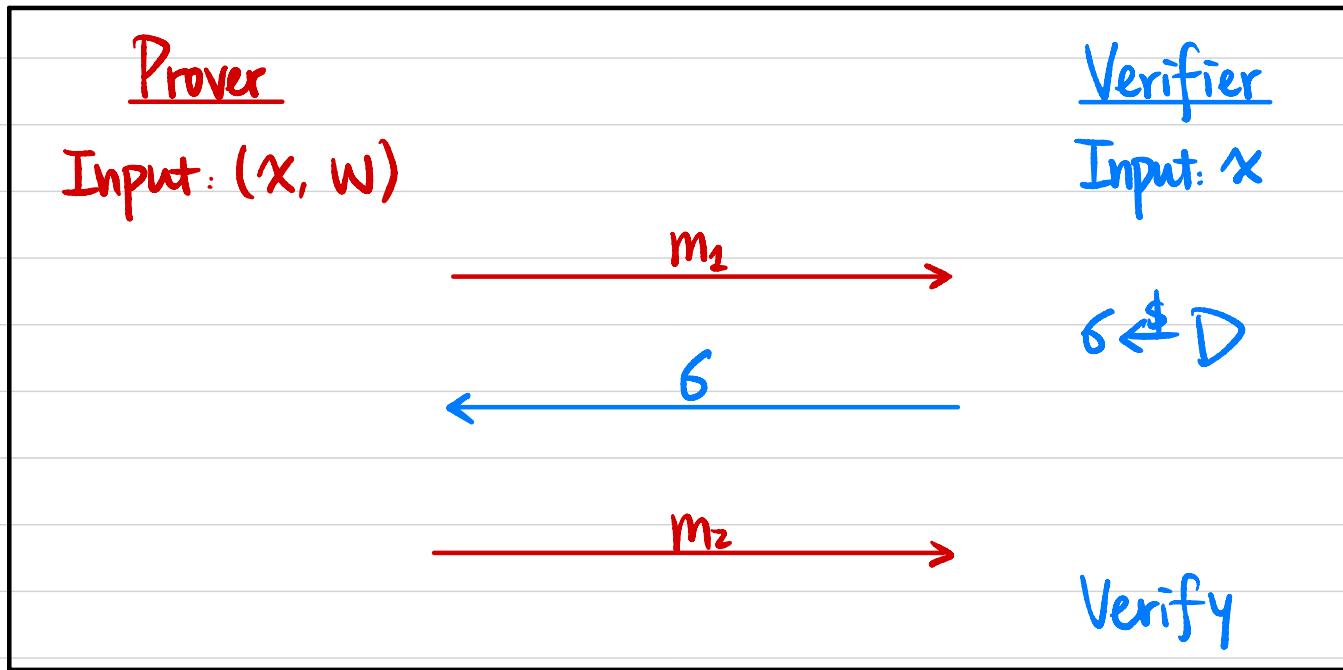
## Model 2: Random Oracle Model



$S$  controls input/output behavior of RO

## Fiat-Shamir Heuristic

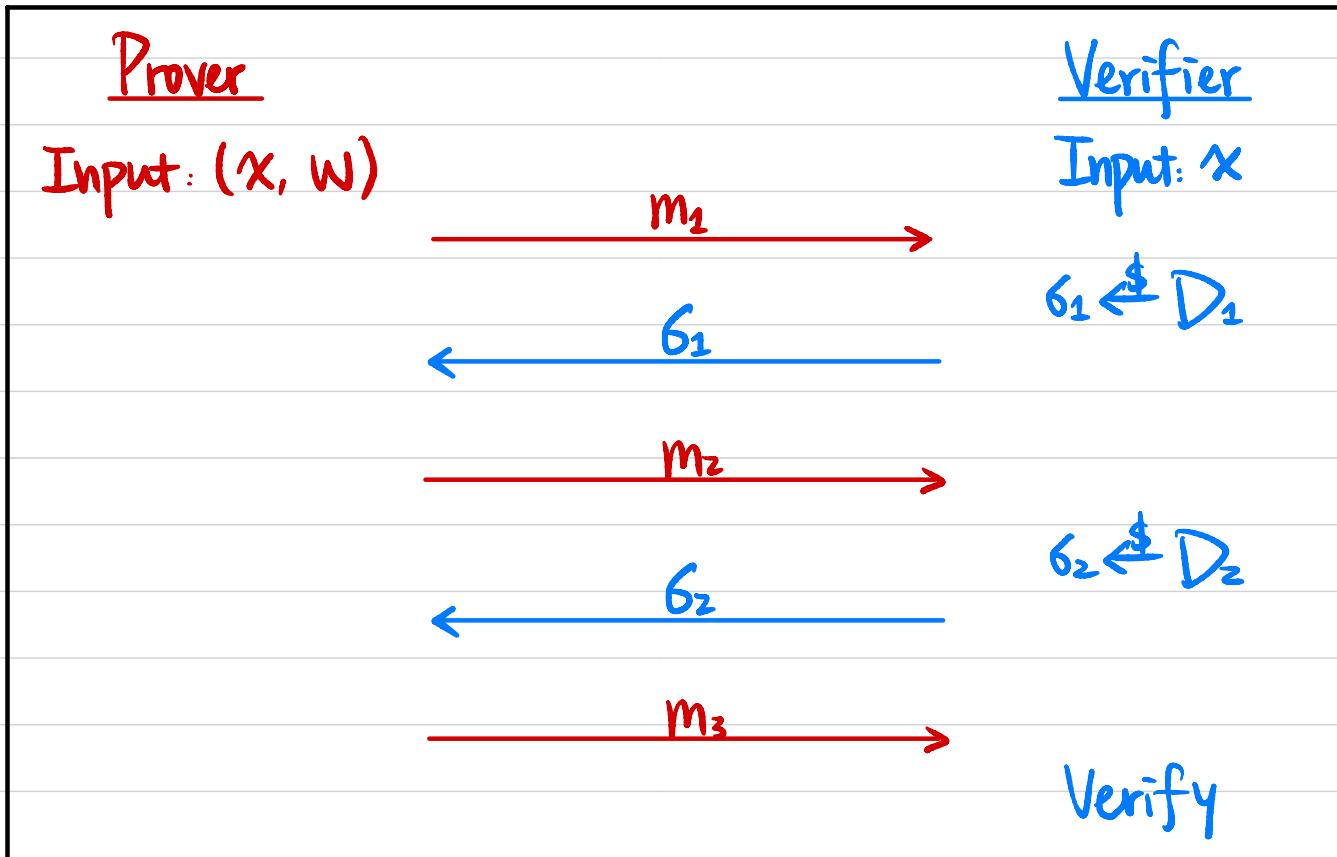
Sigma Protocol  $\Rightarrow$  NIZK in the RO model



$$\sigma := H(x \parallel m_1)$$

## Fiat-Shamir Heuristic

Public-Coin HVZK  $\Rightarrow$  NIZK in the RO model



$$b_1 := H(x \parallel m_1)$$

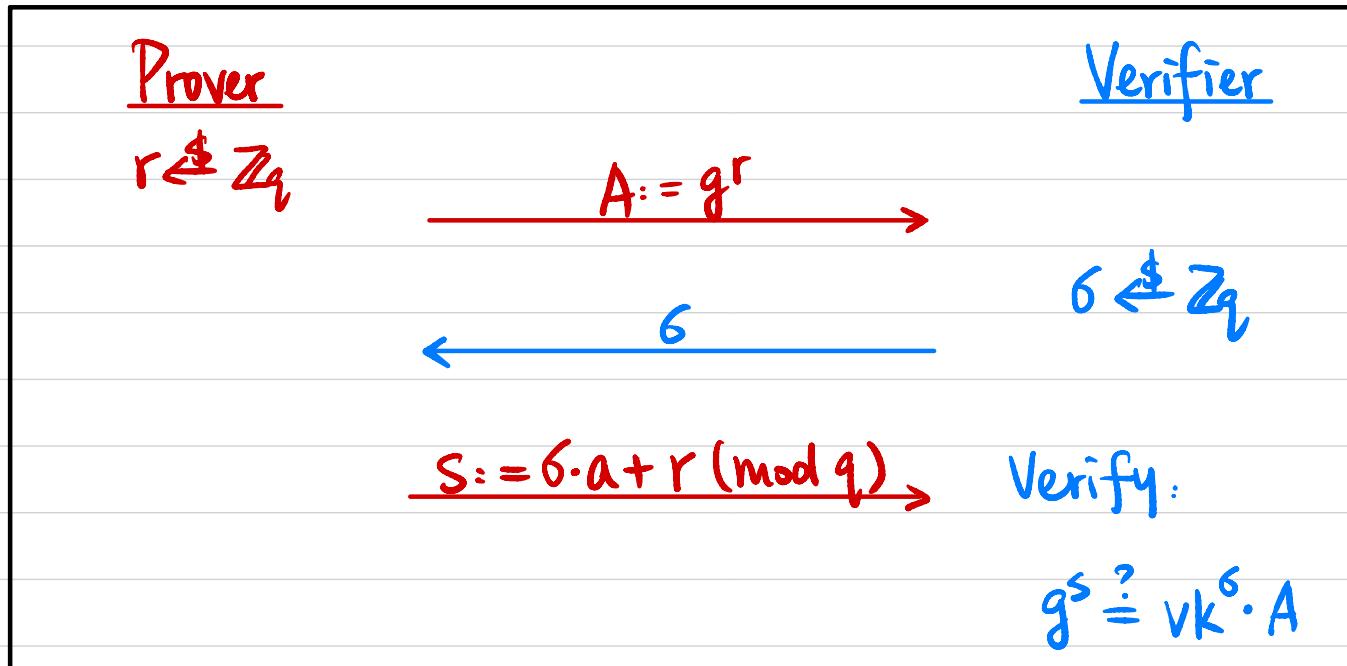
$$b_2 := H(x \parallel m_1 \parallel m_2)$$

## Fiat-Shamir Heuristic

Schnorr's Identification Protocol  $\Rightarrow$  Schnorr's Signature in the RO model

Cyclic group  $G$  of order  $q$ , generator  $g$

Public Verification key  $vk = g^a$ ; Secret signing Key  $sk = a$



To sign a message  $m$ :

# Anonymous Online Voting

Voter 1  $\longrightarrow$   $\text{Enc}(v_1)$   $v_1 \in \{0, 1\}$

Voter 2  $\longrightarrow$   $\text{Enc}(v_2)$   $v_2 \in \{0, 1\}$

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Voter n  $\longrightarrow$   $\text{Enc}(v_n)$   $v_n \in \{0, 1\}$



$\text{Enc}(\sum v_i)$



Decrypt to  $\sum v_i$

# Additively Homomorphic Encryption

$$\begin{array}{ccc} \text{Enc}(m_1) & \xrightarrow{\quad} & \text{Enc}(m_1 + m_2) \\ \text{Enc}(m_2) & \xrightarrow{\quad} & \end{array}$$

Additively Homomorphic

$$\begin{array}{ccc} \text{Enc}(m_1) & \xrightarrow{\quad} & \text{Enc}(m_1 \cdot m_2) \\ \text{Enc}(m_2) & \xrightarrow{\quad} & \end{array}$$

Multiplicatively Homomorphic

ElGamal Encryption : Cyclic group  $G$  with generator  $g$ , public key  $pk$ .

$$\text{Enc}_{pk}(m_1) = (g^{r_1}, pk^{r_1} \cdot m_1)$$

$$\text{Enc}_{pk}(m_2) = (g^{r_2}, pk^{r_2} \cdot m_2)$$

Exponential ElGamal :

$$\text{Enc}_{pk}(m_1) = (g^{r_1}, pk^{r_1} \cdot g^{m_1})$$

$$\text{Enc}_{pk}(m_2) = (g^{r_2}, pk^{r_2} \cdot g^{m_2})$$

## Threshold Encryption

$$\begin{array}{l} P_1 : (pk_1, sk_1) \leftarrow \text{PartialGen}(1^\lambda) \rightarrow pk_1 \\ P_2 : (pk_2, sk_2) \leftarrow \text{PartialGen}(1^\lambda) \rightarrow pk_2 \\ \vdots \\ P_t : (pk_t, sk_t) \leftarrow \text{PartialGen}(1^\lambda) \rightarrow pk_t \end{array} \quad \left. \begin{array}{l} \\ \\ \\ \end{array} \right\} \Rightarrow pk$$

$ct \leftarrow \text{Enc}_{pk}(m)$

$$\begin{array}{l} P_1 : \alpha_1 \leftarrow \text{PartialDec}(sk_1, ct) \rightarrow \alpha_1 \\ P_2 : \alpha_2 \leftarrow \text{PartialDec}(sk_2, ct) \rightarrow \alpha_2 \\ \vdots \\ P_t : \alpha_t \leftarrow \text{PartialDec}(sk_t, ct) \rightarrow \alpha_t \end{array} \quad \left. \begin{array}{l} \\ \\ \\ \end{array} \right\} \Rightarrow m$$

## Threshold Encryption : ElGamal

$$\begin{aligned}
 P_1: \quad & \text{sk}_1 \leftarrow \mathbb{Z}_q \quad \text{pk}_1 = g^{\text{sk}_1} \quad \rightarrow \quad \text{pk}_1 \\
 P_2: \quad & \text{sk}_2 \leftarrow \mathbb{Z}_q \quad \text{pk}_2 = g^{\text{sk}_2} \quad \rightarrow \quad \text{pk}_2 \\
 & \vdots \\
 P_t: \quad & \text{sk}_t \leftarrow \mathbb{Z}_q \quad \text{pk}_t = g^{\text{sk}_t} \quad \rightarrow \quad \text{pk}_t
 \end{aligned}
 \quad \left. \begin{array}{l} \text{pk}_1 \\ \text{pk}_2 \\ \vdots \\ \text{pk}_t \end{array} \right\} \Rightarrow \text{pk} = \prod \text{pk}_i$$

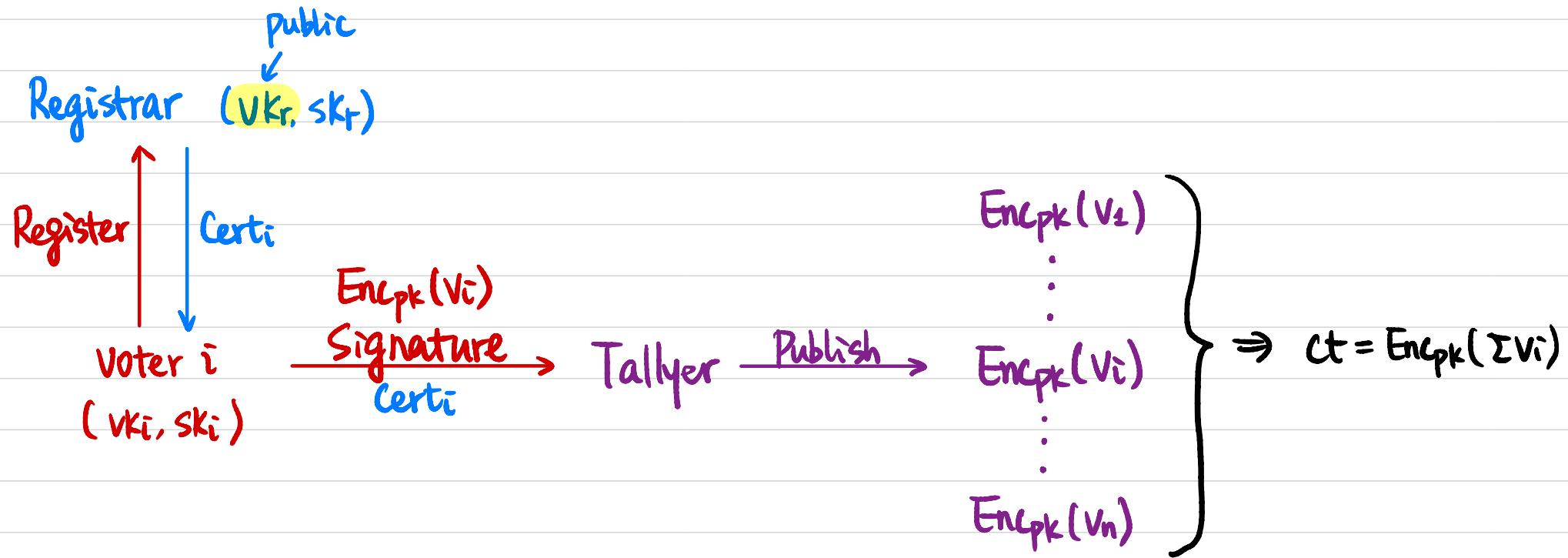
$\text{sk} = ?$

$$ct \leftarrow \text{Enc}_{\text{pk}}(m)$$

$$\begin{aligned}
 ct &= (c_1, c_2) \\
 &= (g^r, \text{pk}^r \cdot g^m)
 \end{aligned}$$

$$\begin{aligned}
 P_1: \quad & \alpha_1 = c_1^{\text{sk}_1} \quad \rightarrow \quad \alpha_1 \\
 P_2: \quad & \alpha_2 = c_1^{\text{sk}_2} \quad \rightarrow \quad \alpha_2 \\
 & \vdots \\
 P_t: \quad & \alpha_t = c_1^{\text{sk}_t} \quad \rightarrow \quad \alpha_t
 \end{aligned}
 \quad \left. \begin{array}{l} \alpha_1 \\ \alpha_2 \\ \vdots \\ \alpha_t \end{array} \right\} \Rightarrow m = ?$$

# Anonymous Online Voting



Arbiter 1 :  $(pk_1, sk_1) \xrightarrow{\text{Publish}} pk_1$

⋮

Arbiter  $t$  :  $(pk_t, sk_t) \xrightarrow{\text{Publish}} pk_t$

$\alpha_1 \leftarrow \text{Partial Dec}(sk_1, ct) \xrightarrow{\text{Publish}} \alpha_1$

⋮

$\alpha_t \leftarrow \text{Partial Dec}(sk_t, ct) \xrightarrow{\text{Publish}} \alpha_t$

## Correctness of Encryption

Given a cyclic group  $G$  of order  $q$  with generator  $g$ .

Public key  $\text{pk} \in G$ .

Ciphertext  $C = (C_1, C_2)$

ZKP for an OR statement:

$C$  is an encryption of 0 OR  $C$  is an encryption of 1.

Witness: randomness  $r$  used in encryption

$$R_{L_0} = \{ (\text{pk}, (C_1, C_2), r) : C_1 = g^r \wedge C_2 = \text{pk}^r \}$$

$$R_{L_1} = \{ (\text{pk}, (C_1, C_2), r) : C_1 = g^r \wedge C_2 = \text{pk}^r \cdot g \}$$

## Correctness of Partial Decryption

Given a cyclic group  $G$  of order  $q$  with generator  $g$ .

Partial public key  $pk_i \in G$ .

Ciphertext  $C = (c_1, c_2)$ .

Partial decryption  $\alpha_i$

Witness: partial secret key  $sk_i$

ZKP for partial decryption:

$$R_L = \{ ( (pk_i, c_1, \alpha_i), sk_i ) : pk_i = g^{sk_i} \wedge \alpha_i = c_1^{sk_i} \}$$

## Multiple Candidates ?

$k$  candidates

Voter 1  $\longrightarrow$   $\text{Enc}(v_1)$   $v_1 \in \{0, 1, \dots, k-1\}$

Voter 2  $\longrightarrow$   $\text{Enc}(v_2)$   $v_2 \in \{0, 1, \dots, k-1\}$

•

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Voter  $n$   $\longrightarrow$   $\text{Enc}(v_n)$   $v_n \in \{0, 1, \dots, k-1\}$



$\text{Enc}(\sum v_i)$



Decrypt to  $\sum v_i$