

CSCI 1515 Applied Cryptography

This Lecture:

- SWHE from LWE (GSW, Continued)
- SWHE from RLWE (BFV)
- Private Information Retrieval (PIR)

FHE Constructions

Step 1: Somewhat Homomorphic Encryption (SWHE)

- over Integers
- from LWE (GSW)
- from RLWE (BFV)

Step 2: Bootstrapping

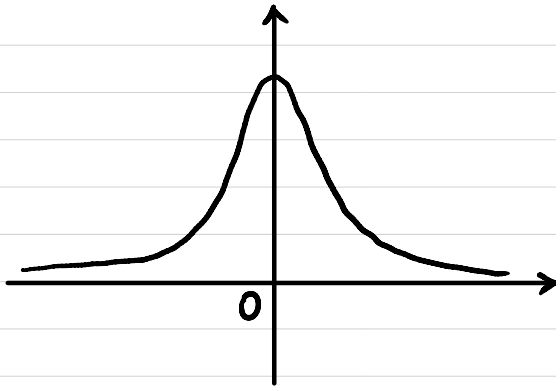
Learning With Errors (LWE) Assumption

$n \sim$ security parameter

$$q \sim 2^{n^\epsilon}$$

$$m = \Theta(n \log q)$$

χ : distribution over $\mathbb{Z}_q$
(concentrated on "small integers")



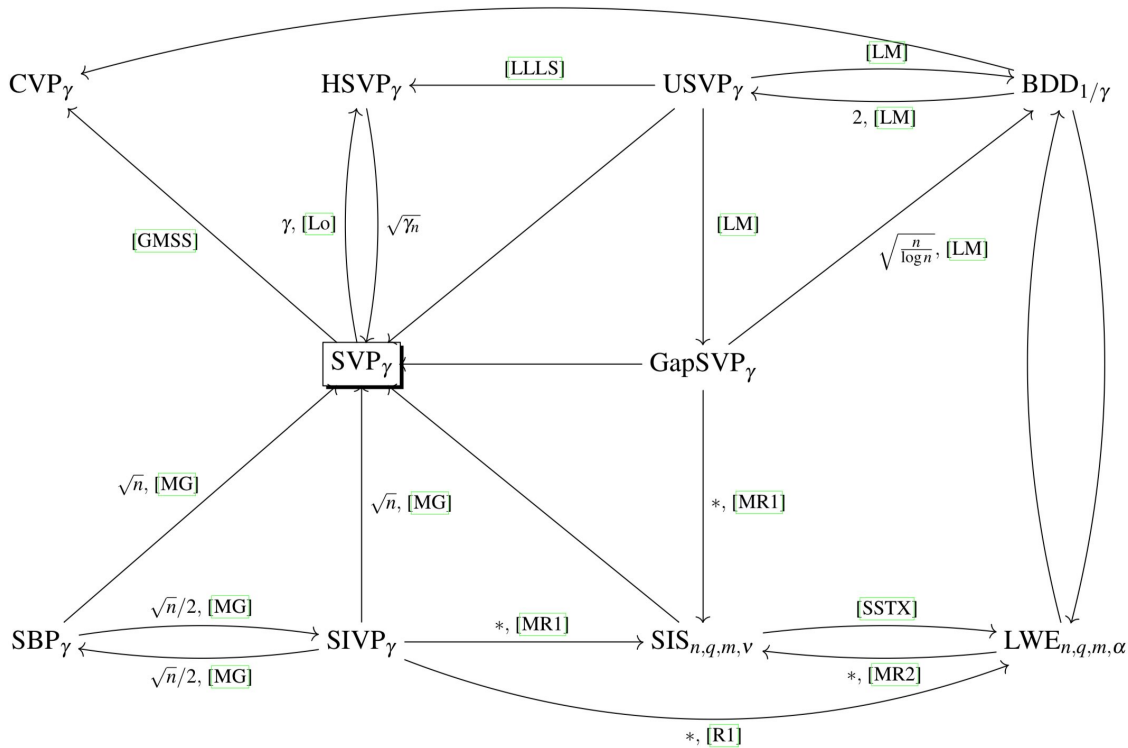
$$\Pr[|e| > \underset{\substack{\uparrow \\ \alpha \ll 1}}{\alpha \cdot q} \mid e \leftarrow \chi] \leq \text{negl}(n)$$

LWE $[n, m, q, \chi]$:

$$A \leftarrow \mathbb{Z}_q^{m \times n} \quad s \leftarrow \mathbb{Z}_q^n \quad e \leftarrow \chi^m$$

$$\begin{array}{c} \boxed{A} \\ m \times n \end{array} \times \begin{array}{c} \boxed{s} \\ n \times 1 \end{array} + \begin{array}{c} \boxed{e} \\ m \times 1 \end{array} = \begin{array}{c} \boxed{b} \\ m \times 1 \end{array}$$

$$(A, b = As + e) \stackrel{c}{\approx} (A, b' \leftarrow \mathbb{Z}_q^m)$$

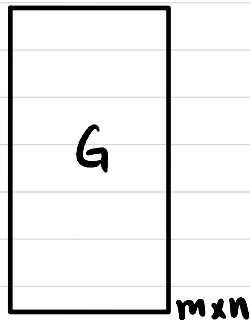


SWHE from LWE (GSW)

Attempt 2 (secret-key)

Flattering Gadget:

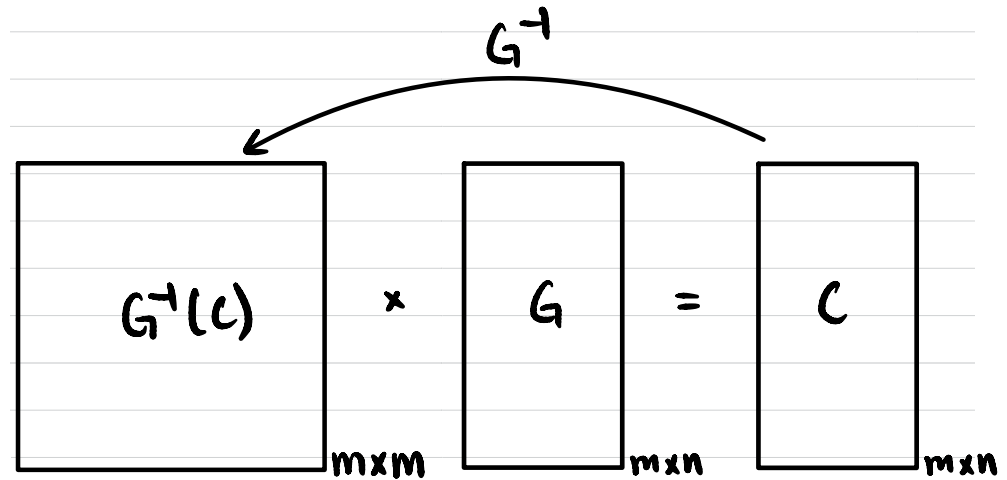
Gadget matrix $G \in \mathbb{Z}_q^{m \times n}$



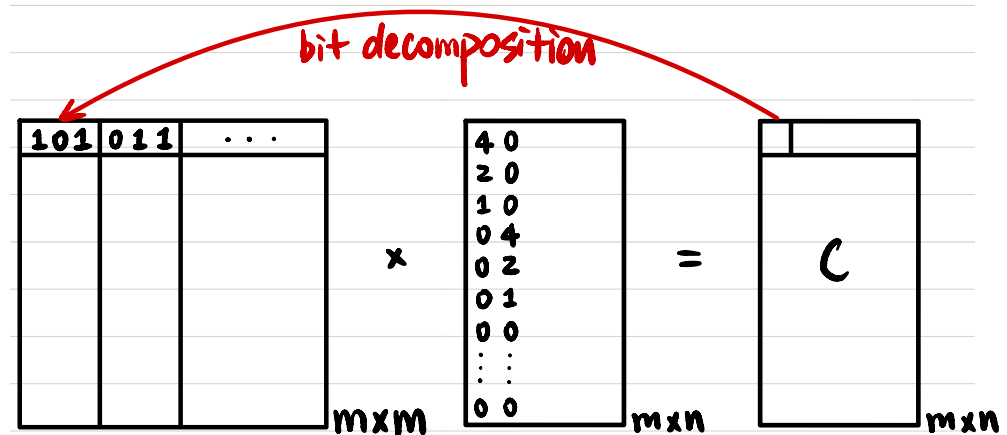
Inverse transformation

$$G^{-1}: \mathbb{Z}_q^{m \times n} \rightarrow \mathbb{Z}_q^{m \times m}$$

$$\forall C \in \mathbb{Z}_q^{m \times n}, \quad G^{-1}(C) = \text{small}$$
$$G^{-1}(C) \cdot G = C$$



↑
small



$$m = n \cdot \log q$$

SWHE from LWE (GSW)

Attempt 2 (secret-key)

$$SK = t_{n \times 1} \begin{bmatrix} s \\ 1 \end{bmatrix}_{n \times 1}$$

$$Enc_{sk}(\mu): \mu \in \{0, 1\}$$

Sample $C_0 \in \mathbb{Z}_q^{m \times n}$ st. $C_0 \cdot \vec{t} = \text{small}$

$$\begin{array}{ccc} \boxed{C_0}_{m \times n} & \times & \boxed{t}_{n \times 1} = \boxed{e}_{m \times 1} \end{array}$$

$$C = C_0 + \mu \cdot G$$

↑
gadget matrix

$$Dec_{sk}(c): C \cdot \vec{t} = (C_0 + \mu \cdot G) \cdot \vec{t} \\ = \vec{e} + \mu \cdot (G \cdot \vec{t})$$

$$\text{Homomorphism: } \begin{aligned} C_1 \cdot \vec{t} &= \mu_1 \cdot (G \cdot \vec{t}) + \vec{e}_1 \\ C_2 \cdot \vec{t} &= \mu_2 \cdot (G \cdot \vec{t}) + \vec{e}_2 \end{aligned}$$

Additive Homomorphism?

$$C = C_1 + C_2 \Rightarrow C \cdot \vec{t} = (\mu_1 + \mu_2) \cdot (G \cdot \vec{t}) + (\vec{e}_1 + \vec{e}_2)$$

Multiplicative Homomorphism?

$$C = G^T(C_1) \cdot C_2$$

$$\begin{aligned} C \cdot \vec{t} &= G^T(C_1) \cdot C_2 \cdot \vec{t} \\ &= G^T(C_1) \cdot (\mu_2 \cdot (G \cdot \vec{t}) + \vec{e}_2) \\ &= \mu_2 \cdot G^T(C_1) \cdot G \cdot \vec{t} + G^T(C_1) \cdot \vec{e}_2 \\ &= \mu_2 \cdot C_1 \cdot \vec{t} + G^T(C_1) \cdot \vec{e}_2 \\ &= \mu_2 \cdot (\mu_1 \cdot (G \cdot \vec{t}) + \vec{e}_1) + G^T(C_1) \cdot \vec{e}_2 \\ &= \mu_2 \cdot \mu_1 \cdot (G \cdot \vec{t}) + \mu_2 \cdot \vec{e}_1 + G^T(C_1) \cdot \vec{e}_2 \end{aligned}$$

How homomorphic is it?

$$\#MULT \sim \log_m q$$

Ring LWE (RLWE) Assumption

Polynomial ring $R = \mathbb{Z}[x] / (x^m + 1)$

$m = 2^k$
polynomials with integer coefficients modulo $(x^m + 1)$

$$R_q = \mathbb{Z}_q[x] / (x^m + 1)$$

polynomials with integer coefficients modulo q and $(x^m + 1)$

χ : "noise" distribution over R

$$a \leftarrow R_q \quad s \leftarrow R_q \text{ (or } s \leftarrow \chi) \quad e \leftarrow \chi$$

$$(a, [a \cdot s + e]_q) \stackrel{c}{\approx} (a, b \leftarrow R_q)$$

③ " $\cdot$ " is distributive w.r.t " $+$ ":

$$- \forall a, b, c \in R, a \cdot (b + c) = a \cdot b + a \cdot c$$

$$- \forall a, b, c \in R, (a + b) \cdot c = a \cdot c + b \cdot c$$

Def A ring is a set R with two binary operations $+$, $\cdot$ satisfying:

① R is an abelian group under " $+$ ":

$$- \forall a, b \in R, a + b \in R$$

$$- \forall a, b, c \in R, (a + b) + c = a + (b + c)$$

$$- \forall a, b \in R, a + b = b + a$$

$$- \exists 0 \in R \text{ s.t. } \forall a \in R, a + 0 = a$$

$$- \forall a \in R, \exists -a \in R \text{ s.t. } a + (-a) = 0.$$

② R is a monoid under " $\cdot$ ":

$$- \forall a, b \in R, a \cdot b \in R$$

$$- \forall a, b, c \in R, (a \cdot b) \cdot c = a \cdot (b \cdot c)$$

$$- \exists 1 \in R \text{ s.t. } \forall a \in R, a \cdot 1 = 1 \cdot a = a.$$

SWHE from RLWE (BFV)

Plaintext space $R_t = \mathbb{Z}_t[x] / (x^k + 1)$

Ciphertext space $R_q \times R_q$

$$\Delta := \left\lfloor \frac{q}{t} \right\rfloor \quad t \ll q$$

$$a \leftarrow_{\neq} R_q \quad s \leftarrow \mathcal{X} \quad e \leftarrow \mathcal{X}$$

$$pk = ([-(a \cdot s + e)]_q, a)$$

$$sk = s$$

$$\text{Enc}_{pk}(m): m \in R_t$$

Sample $u, e_1, e_2 \leftarrow \mathcal{X}$

$$c = ([pk_0 \cdot u + e_1 + \Delta \cdot m]_q, [pk_1 \cdot u + e_2]_q)$$

$$\begin{aligned} \text{Dec}_{sk}(c): [c_0 + c_1 \cdot s]_q &= -(a \cdot s + e) \cdot u + e_1 + \Delta \cdot m + (a \cdot u + e_2) \cdot s \\ &= -e \cdot u + e_1 + \Delta \cdot m + e_2 \cdot s \\ &= [\Delta \cdot m + \text{small}]_q \end{aligned}$$

SWHE from RLWE (BFV)

$$[C(s)]_q = C_0 + C_1 \cdot s = \Delta \cdot m + e$$

Homomorphism: $[C^{(1)}(s)]_q = \Delta \cdot m_1 + e_1$

$$[C^{(2)}(s)]_q = \Delta \cdot m_2 + e_2$$

Additive Homomorphism?

$$[C^{(1)}(s) + C^{(2)}(s)]_q = [\Delta \cdot (m_1 + m_2) + e_1 + e_2]_q$$

Multiplicative Homomorphism?

$$C(s) = C^{(1)}(s) \cdot C^{(2)}(s)$$

$$= [(\Delta \cdot m_1 + e_1 + \alpha_1 \cdot q) \cdot (\Delta \cdot m_2 + e_2 + \alpha_2 \cdot q)] / \frac{q}{t} \quad \Delta = \lfloor \frac{q}{t} \rfloor$$

$$= \Delta^2 \cdot m_1 m_2 + \Delta m_1 e_2 + \Delta m_1 \cdot \alpha_2 q + e_1 \cdot \Delta m_2 + e_1 e_2 + e_1 \alpha_2 q + \alpha_1 q \Delta m_2 + \alpha_1 q e_2 + \alpha_1 \alpha_2 q^2$$

WANT: $\Delta \cdot m_1 m_2 + \text{small}$

SWHE from RLWE (BFV)

$$[C(s)]_q = C_0 + C_1 \cdot s + C_2 \cdot s^2 = \Delta \cdot m + e$$

↓

$$[C'(s)]_q = C'_0 + C'_1 \cdot s = \Delta \cdot m + e$$

Relinearization:

Relinearization key: $rlk = ([-(a \cdot s + e + s^2)]_q, a)$

$$[rlk(s)]_q = -s^2 + \text{small}$$

$$rlk_i = ([-(a \cdot s + e + z^i \cdot s^2)]_q, a)$$

$$[rlk_i(s)]_q = -z^i \cdot s^2 + \text{small}$$

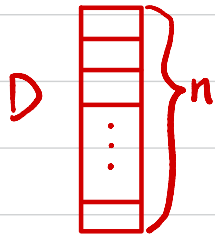
$$C(s) + C_2 \cdot rlk(s) = C_0 + C_1 \cdot s + \cancel{C_2 \cdot s^2} + \overset{\text{large}}{C_2 \cdot (-s^2 + \text{small})}$$

$$\uparrow \\ \sum rlk_i(s) \cdot C_2 T_i$$

↑
large

Private Information Retrieval (PIR)

Server



Client



WANT: $D[i]$

While hiding i against Server

Trivial Solution:

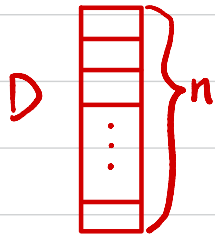


Communication complexity $O(n)$

Goal: Communication complexity $o(n)$

Private Information Retrieval (PIR)

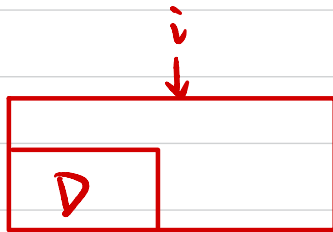
Server



FHE

$$ct' \leftarrow \text{Eval}(f, ct)$$

$$\uparrow$$
$$f_D(i) = D[i]$$



$D[i]$

Client



WANT: $D[i]$

While hiding i against Server

$\text{poly}(\lambda)$

$$ct \leftarrow \text{Enc}(i)$$



ct'

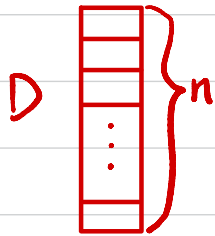
$\text{poly}(\lambda)$



$$D[i] = \text{Dec}(ct')$$

Private Information Retrieval (PIR)

Server



Homomorphic
Scalar Mult

$$ct' \leftarrow \sum_{i=1}^n D[i] \cdot ct_i$$

Homomorphic Add

Client



$O(n)$

$$ct_1 \leftarrow \text{Enc}(0)$$

$\vdots$

$$ct_{i-1} \leftarrow \text{Enc}(0)$$

$$ct_i \leftarrow \text{Enc}(1)$$

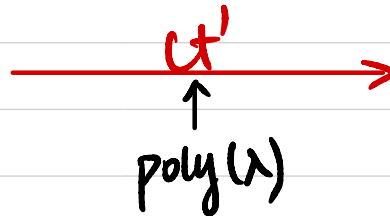
$$ct_{i+1} \leftarrow \text{Enc}(0)$$

$\vdots$

$$\leftarrow ct_n \leftarrow \text{Enc}(0)$$

WANT: $D[i]$

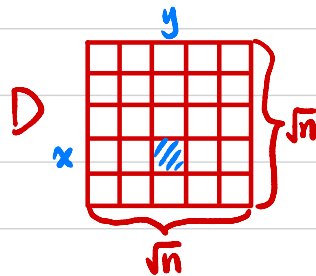
While hiding i against Server



$$D[i] = \text{Dec}(ct')$$

Private Information Retrieval (PIR)

Server

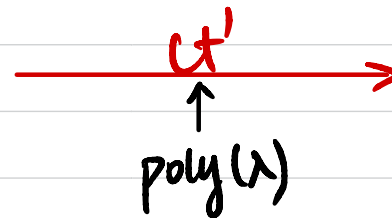


Homomorphic
Scalar Mult

$$ct' \leftarrow \sum_{i,j=1}^{\sqrt{n}} D[i,j] \cdot ct_i^{(1)} \cdot ct_j^{(2)}$$

Homomorphic
Add

Homomorphic
Mult



Client



WANT: $D[x,y]$

While hiding (x,y) against Server

$$2 \cdot \sqrt{n}$$

$ct_1^{(1)} \leftarrow \text{Enc}(0)$	$ct_1^{(2)} \leftarrow \text{Enc}(0)$
$\vdots$	$\vdots$
$ct_{x-1}^{(1)} \leftarrow \text{Enc}(0)$	$ct_{y-1}^{(2)} \leftarrow \text{Enc}(0)$
$ct_x^{(1)} \leftarrow \text{Enc}(1)$	$ct_y^{(2)} \leftarrow \text{Enc}(1)$
$ct_{x+1}^{(1)} \leftarrow \text{Enc}(0)$	$ct_{y+1}^{(2)} \leftarrow \text{Enc}(0)$
$\vdots$	$\vdots$
$ct_{\sqrt{n}}^{(1)} \leftarrow \text{Enc}(0)$	$ct_{\sqrt{n}}^{(2)} \leftarrow \text{Enc}(0)$

←

$$D[x,y] = \text{Dec}(ct')$$

Extend to dimension d ? Communication $d \cdot \sqrt{n}$

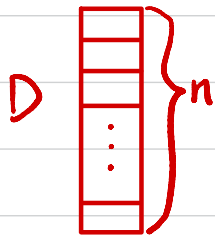
Homomorphic Mult = $(d-1) \cdot n$

Homomorphic Scalar Mult = n

Homomorphic Add = n

PIR from GSW

Server



$$ct_1 = \text{Enc}(b_1)$$

$$\downarrow \oplus \text{Enc}(1 \oplus b'_1)$$

$$\text{Enc}(b_1 \oplus b'_1)$$

Client



WANT: $D[i]$

$\forall i \in [n]:$

$$i = \overline{b'_1 b'_2 \dots b'_\ell}$$

Homomorphic
Scalar Mult

$$ct'_i \leftarrow D[i] \cdot \prod_{t=1}^{\ell} \text{Enc}(b_t \oplus b'_t)$$

Homomorphic
Mult

$$ct' \leftarrow \sum_{i=1}^n ct'_i$$

Homomorphic Add

$$ct_1 \leftarrow \text{Enc}(b_1)$$

$$\vdots$$

$$ct_\ell \leftarrow \text{Enc}(b_\ell)$$

While hiding i against Server

$$i = \underbrace{b_1 b_2 \dots b_\ell}_{\log n}$$

$$ct' \xrightarrow{\text{poly}(\lambda)}$$

$$D[i] = \text{Dec}(ct')$$