

CSCI 1515 Applied Cryptography

This Lecture:

- SWHE over Integers (Continued)
- SWHE from LWE (GSW)

Fully Homomorphic Encryption (FHE)

All poly-sized
Boolean circuits

Def A (public-key) homomorphic encryption scheme

$\Pi = (\text{KeyGen}, \text{Enc}, \text{Dec}, \text{Eval})$ w.r.t. function family F :

- $(pk, sk) \leftarrow \text{KeyGen}(1^\lambda)$
- $ct \leftarrow \text{Enc}_{pk}(m) \quad m \in \{0, 1\}$
- $m \leftarrow \text{Dec}_{sk}(ct)$
- $ct_f \leftarrow \text{Eval}(f, ct_1, \dots, ct_n) \quad f: \{0, 1\}^n \rightarrow \{0, 1\}$

- **Correctness:** $ct_i \leftarrow \text{Enc}_{pk}(m_i) \quad \forall i \in [n]$,
 $\forall f \in F, \quad ct_f \leftarrow \text{Eval}(f, ct_1, \dots, ct_n)$
 $\text{Dec}_{sk}(ct_f) = f(m_1, \dots, m_n)$

- **(CPA) Security:** $(pk, \text{Enc}_{pk}(m_0)) \stackrel{c}{\approx} (pk, \text{Enc}_{pk}(m_1))$.

- **Compactness:** $|ct_f| \leq \text{fixed poly}(\lambda)$
Independent of circuit size of f .

FHE Constructions

Step 1: Somewhat Homomorphic Encryption (SWHE)

- over Integers
- from LWE (GSW)
- from RLWE (BFV)

Step 2: Bootstrapping

SWHE over Integers

Attempt 1 (Secret-key)

- secret key: odd number p

- $\text{Enc}(m)$: $m \in \{0, 1\}$

Sample a random q .

Output $ct = p \cdot q + m$

Encryption of 0 is a multiple of p .

- $\text{Dec}(ct)$: $ct \bmod p$

- Eval ADD: $ct \leftarrow ct_1 + ct_2$

Eval MULT: $ct \leftarrow ct_1 \cdot ct_2$

(CPA) Security?

$$\text{GCD}(p \cdot q_1, p \cdot q_2, \dots) = p$$

SWHE over Integers

Attempt 2 (Secret-key)

- secret key: odd number p

- Enc(m): $m \in \{0, 1\}$

Sample a random q . Sample a random $e \ll p$ ^{noise}

Output $ct = p \cdot q + m + ze$

Encryption of 0 is small and even modulo p .

$$ct_1 = p \cdot q_1 + m_1 + ze_1$$

$$ct_2 = p \cdot q_2 + m_2 + ze_2$$

- Dec(ct): $[ct \bmod p] \bmod 2$

- Eval ADD: $ct \leftarrow ct_1 + ct_2$

$$ct_1 + ct_2 = p(q_1 + q_2) + (m_1 + m_2) + (ze_1 + ze_2)$$

$$O(e_1 + e_2)$$

Eval MULT: $ct \leftarrow ct_1 \cdot ct_2$

$$ct_1 \cdot ct_2 = \cancel{pq_1(pq_2 + m_2 + ze_2)} + \cancel{m_1 \cdot pq_2} + m_1 \cdot m_2 + m_1 \cdot ze_2 + \cancel{ze_1 pq_2} + \cancel{ze_1 m_2} + 4e_1 e_2$$

$$O(e_1 \cdot e_2)$$

• Approximate GCD Problem:

Given poly-many $\{x_i = p \cdot q_i + s_i\}$, output p .

Example parameters: $p \sim 2^{O(\lambda^2)}$, $q_i \sim 2^{O(\lambda^5)}$, $s_i \sim 2^{O(\lambda)}$

$\Downarrow$
#MULT: $O(\lambda)$

Best known attacks require 2^λ time.

SWHE over Integers

Attempt 3 (public-key)

- secret key: odd number p

public key: "encryptions of 0" 

$$\{x_i = p \cdot q_i + z e_i\}_{i \in [\lambda]}$$

- Enc(m): $m \in \{0, 1\}$

Sample a random $e \leftarrow p$

Output $ct = (\text{random subset sum of } x_i\text{'s}) + m + ze$

Encryption of 0 is small and even modulo p .

- Dec(ct): $[ct \bmod p] \bmod 2$

- Eval ADD: $ct \leftarrow ct_1 + ct_2$

Eval MULT: $ct \leftarrow ct_1 \cdot ct_2$

How homomorphic is it?

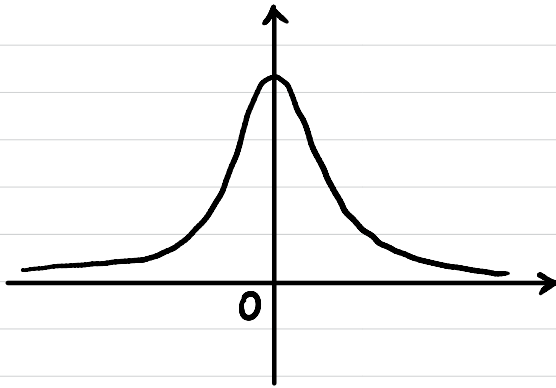
Learning With Errors (LWE) Assumption

$n \sim$ security parameter

$$q \sim 2^{n^\epsilon}$$

$$m = \Theta(n \log q)$$

χ : distribution over $\mathbb{Z}_q$
(concentrated on "small integers")



$$\Pr[|e| > \underset{\substack{\uparrow \\ \alpha \ll 1}}{\alpha \cdot q} \mid e \leftarrow \chi] \leq \text{negl}(n)$$

LWE $[n, m, q, \chi]$:

$$A \leftarrow \mathbb{Z}_q^{m \times n} \quad s \leftarrow \mathbb{Z}_q^n \quad e \leftarrow \chi^m$$

$$\begin{array}{c} \boxed{A} \\ m \times n \end{array} \times \begin{array}{c} \boxed{s} \\ n \times 1 \end{array} + \begin{array}{c} \boxed{e} \\ m \times 1 \end{array} = \begin{array}{c} \boxed{b} \\ m \times 1 \end{array}$$

$$(A, b = As + e) \stackrel{c}{\approx} (A, b' \leftarrow \mathbb{Z}_q^m)$$

Learning With Errors (LWE) Assumption

worst-case hardness

$\xRightarrow{\text{reduce}}$

(Lattice-based crypto)

average-case hardness

shortest vector problem in lattices

LWE

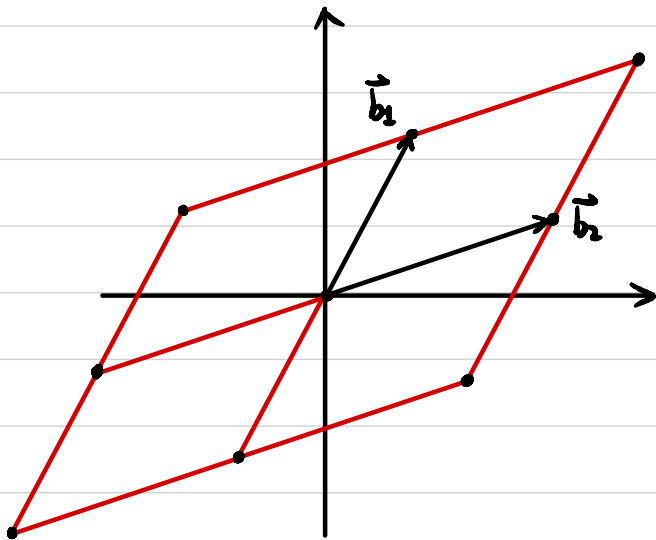
post-quantum secure

Given a lattice of dimension n :

Basis $B = \{ \vec{b}_1, \vec{b}_2, \dots, \vec{b}_n \}$, linearly independent

Lattice $L(B) := \{ \sum_{i=1}^n \alpha_i \vec{b}_i \mid \alpha_i \in \mathbb{Z} \}$

Find the shortest vector in L .



Regen Encryption from LWE

$$A \leftarrow \mathbb{Z}_q^{m \times n} \quad s \leftarrow \mathbb{Z}_q^n \quad e \leftarrow \mathcal{X}^m$$

$$\begin{array}{|c|} \hline A \\ \hline \end{array}_{m \times n} \times \begin{array}{|c|} \hline s \\ \hline \end{array}_{n \times 1} + \begin{array}{|c|} \hline e \\ \hline \end{array}_{m \times 1} = \begin{array}{|c|} \hline b \\ \hline \end{array}_{m \times 1}$$

$$pk = (A, b)$$

$$sk = s$$

$$Enc_{pk}(\mu): \mu \in \{0, 1\}$$

sample a random $s \in [m]$

$$c = \left(\sum_{i \in S} A_i, \left(\sum_{i \in S} b_i \right) + \mu \cdot \left\lfloor \frac{q}{2} \right\rfloor \right)$$

$\uparrow$
i-th row of A

$$Dec_{sk}(c):$$

$$c = \begin{array}{|c|c|} \hline c_1 & c_2 \\ \hline \end{array}$$

$$c_2 - \langle c_1, s \rangle = \mu \cdot \left\lfloor \frac{q}{2} \right\rfloor + \sum_{i \in S} e_i$$

small noise

$$\begin{array}{|c|} \hline B \\ \hline \end{array}_{m \times n} \times \begin{array}{|c|} \hline t \\ \hline \end{array}_{n \times 1} = \begin{array}{|c|} \hline e \\ \hline \end{array}_{m \times 1}$$

$$pk = B_{m \times n}$$

$$sk = t_{n \times 1}$$

$B \cdot t = \text{Small}$

$$Enc_{pk}(\mu): \mu \in \{0, 1\}$$

sample $r \leftarrow \mathbb{Z}_{0,1}^m$

$$\begin{array}{|c|} \hline r \\ \hline \end{array}_{1 \times m} \begin{array}{|c|} \hline B \\ \hline \end{array}_{m \times n}$$

$$c = r \cdot B + (0, \dots, 0, \mu \cdot \left\lfloor \frac{q}{2} \right\rfloor)$$

$$Dec_{sk}(c): \langle c, t \rangle = \mu \cdot \left\lfloor \frac{q}{2} \right\rfloor + \text{small noise}$$

Regen Encryption from LWE

$$\begin{array}{|c|} \hline \text{B} \\ \hline \text{A} \\ \hline \end{array} \begin{array}{|c|} \hline \text{t} \\ \hline \end{array} \begin{array}{|c|} \hline \text{s} \\ \hline \end{array} \begin{array}{|c|} \hline \text{1} \\ \hline \end{array} \begin{array}{|c|} \hline \text{e} \\ \hline \end{array}$$

$m \times n \quad n \times 1 \quad m \times 1$

$$pk = B_{m \times n}$$

$$sk = t_{n \times 1}$$

$$B \cdot t = \text{Small}$$

$$\text{Enc}_{pk}(\mu): \mu \in \{0, 1\}$$

$$\text{sample } r \leftarrow \{0, 1\}^m$$

$$\begin{array}{|c|} \hline r \\ \hline \end{array} \begin{array}{|c|} \hline B \\ \hline \end{array}$$

$1 \times m \quad m \times n$

$$C = r \cdot B + (0, \dots, 0, \mu \cdot \lfloor \frac{q}{2} \rfloor)$$

$$\text{Dec}_{sk}(C): \langle C, t \rangle = \mu \cdot \lfloor \frac{q}{2} \rfloor + \text{Small noise}$$

Homomorphism:

$$C_1 = \text{Enc}(\mu_1) \quad \langle C_1, t \rangle = \text{"Small"} + \mu_1 \cdot \lfloor \frac{q}{2} \rfloor$$

$$C_2 = \text{Enc}(\mu_2) \quad \langle C_2, t \rangle = \text{"Small"} + \mu_2 \cdot \lfloor \frac{q}{2} \rfloor$$

Additive Homomorphism?

$$C = C_1 + C_2$$

$$\langle C, t \rangle = \text{"Small"} + (\mu_1 + \mu_2) \cdot \lfloor \frac{q}{2} \rfloor$$

Multiplicative Homomorphism?

SWHE from LWE (GSW)

Attempt 1 (secret-key)

$$SK = t_{n \times 1} \begin{bmatrix} s \\ 1 \end{bmatrix}_{n \times 1}$$

$$Enc_{SK}(\mu): \mu \in \{0, 1\}$$

Sample $C_0 \in \mathbb{Z}_q^{n \times n}$ st. $C_0 \cdot \vec{t} = \text{small}$ How?

$$\begin{bmatrix} C_0 \end{bmatrix}_{n \times n} \times \begin{bmatrix} t \end{bmatrix}_{n \times 1} = \begin{bmatrix} e \end{bmatrix}_{n \times 1}$$

$$C = C_0 + \mu \cdot I$$

↑ $n \times n$ ↑ identity matrix

$$Dec_{SK}(c): C \cdot \vec{t} = (C_0 + \mu \cdot I) \cdot \vec{t} = \vec{e} + \mu \cdot \vec{t}$$

SWHE from LWE (GSW)

Attempt 1 (secret-key)

Without Error: $C \cdot \vec{t} = \mu \cdot \vec{t}$

Homomorphism:

$$\begin{aligned} C_1 \cdot \vec{t} &= \mu_1 \cdot \vec{t} \\ C_2 \cdot \vec{t} &= \mu_2 \cdot \vec{t} \end{aligned}$$

Additive Homomorphism?

$$C = C_1 + C_2$$

$$C \cdot \vec{t} = (C_1 + C_2) \cdot \vec{t} = (\mu_1 + \mu_2) \cdot \vec{t}$$

Multiplicative Homomorphism?

$$C = C_1 \cdot C_2$$

$$\begin{aligned} C \cdot \vec{t} &= (C_1 \cdot C_2) \cdot \vec{t} \\ &= C_1 \cdot (C_2 \cdot \vec{t}) \\ &= C_1 \cdot \mu_2 \cdot \vec{t} \\ &= \mu_2 \cdot (C_1 \cdot \vec{t}) \\ &= \mu_2 \cdot \mu_1 \cdot \vec{t} \end{aligned}$$

With Error: $C \cdot \vec{t} = \mu \cdot \vec{t} + \vec{e}$

Homomorphism:

$$\begin{aligned} C_1 \cdot \vec{t} &= \mu_1 \cdot \vec{t} + \vec{e}_1 \\ C_2 \cdot \vec{t} &= \mu_2 \cdot \vec{t} + \vec{e}_2 \end{aligned}$$

Additive Homomorphism?

$$C = C_1 + C_2$$

$$C \cdot \vec{t} = (C_1 + C_2) \cdot \vec{t} = (\mu_1 + \mu_2) \cdot \vec{t} + (\vec{e}_1 + \vec{e}_2)$$

Multiplicative Homomorphism?

$$C = C_1 \cdot C_2$$

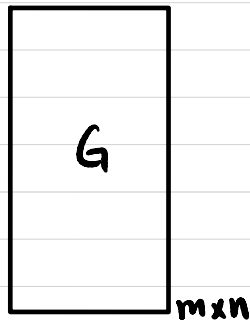
$$\begin{aligned} C \cdot \vec{t} &= (C_1 \cdot C_2) \cdot \vec{t} \\ &= C_1 \cdot (C_2 \cdot \vec{t}) \\ &= C_1 \cdot (\mu_2 \cdot \vec{t} + \vec{e}_2) \\ &= \mu_2 \cdot C_1 \cdot \vec{t} + C_1 \cdot \vec{e}_2 \\ &= \mu_2 \cdot (\mu_1 \cdot \vec{t} + \vec{e}_1) + C_1 \cdot \vec{e}_2 \\ &= \mu_2 \cdot \mu_1 \cdot \vec{t} + \mu_2 \cdot \vec{e}_1 + C_1 \cdot \vec{e}_2 \end{aligned}$$

SWHE from LWE (GSW)

Attempt 2 (secret-key)

Flattering Gadget:

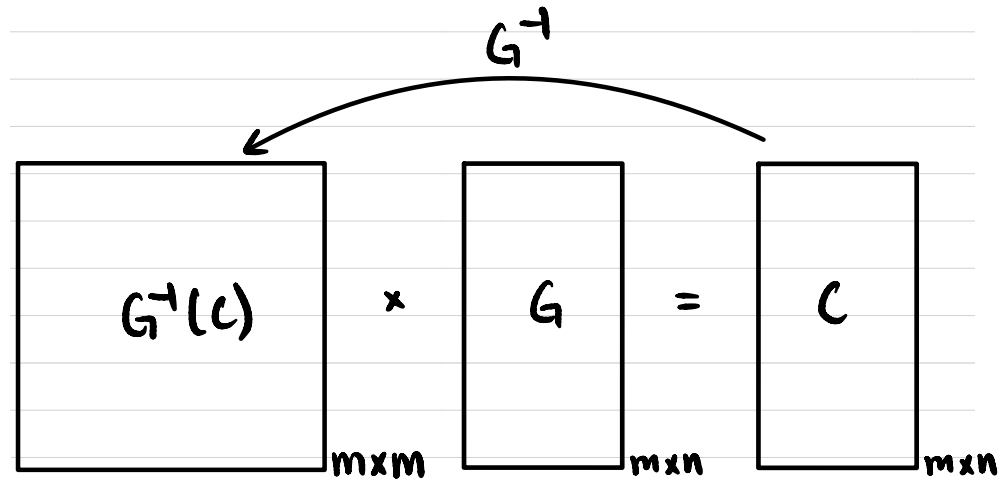
Gadget matrix $G \in \mathbb{Z}_q^{m \times n}$



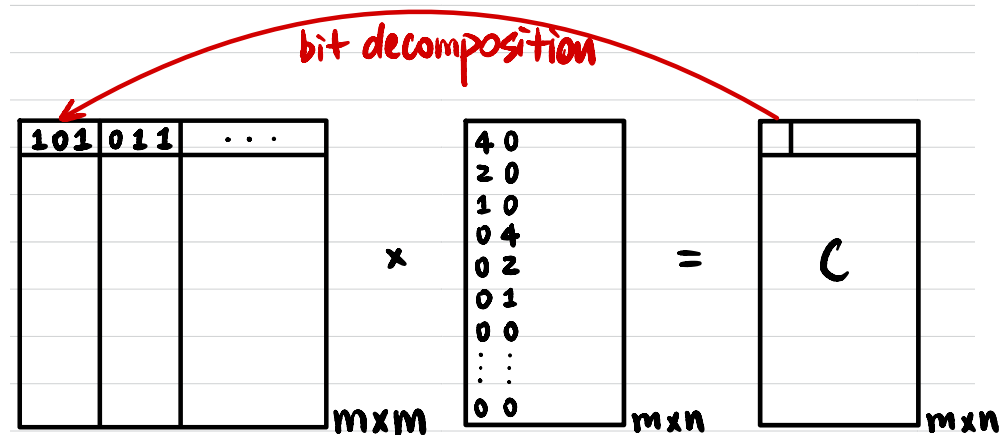
Inverse transformation

$$G^{-1}: \mathbb{Z}_q^{m \times n} \rightarrow \mathbb{Z}_q^{m \times m}$$

$$\forall C \in \mathbb{Z}_q^{m \times n}, \quad G^{-1}(C) = \text{small}$$
$$G^{-1}(C) \cdot G = C$$



↑
small



$$m = n \cdot \log q$$

SWHE from LWE (GSW)

Attempt 2 (secret-key)

$$SK = t_{n \times 1} \begin{bmatrix} s \\ 1 \end{bmatrix}_{n \times 1}$$

$$Enc_{sk}(\mu): \mu \in \{0, 1\}$$

Sample $C_0 \in \mathbb{Z}_q^{m \times n}$ st. $C_0 \cdot \vec{t} = \text{small}$

$$\begin{array}{ccc} \boxed{C_0}_{m \times n} & \times & \boxed{t}_{n \times 1} = \boxed{e}_{m \times 1} \end{array}$$

$$C = C_0 + \mu \cdot G$$

$\uparrow$
gadget matrix

$$Dec_{sk}(c): C \cdot \vec{t} = (C_0 + \mu \cdot G) \cdot \vec{t} \\ = \vec{e} + \mu \cdot (G \cdot \vec{t})$$

$$\text{Homomorphism: } \begin{aligned} C_1 \cdot \vec{t} &= \mu_1 \cdot (G \cdot \vec{t}) + \vec{e}_1 \\ C_2 \cdot \vec{t} &= \mu_2 \cdot (G \cdot \vec{t}) + \vec{e}_2 \end{aligned}$$

Additive Homomorphism?

$$C = C_1 + C_2 \Rightarrow C \cdot \vec{t} = (\mu_1 + \mu_2) \cdot (G \cdot \vec{t}) + (\vec{e}_1 + \vec{e}_2)$$

Multiplicative Homomorphism?

$$C = G^T(C_1) \cdot C_2$$

$$\begin{aligned} C \cdot \vec{t} &= G^T(C_1) \cdot C_2 \cdot \vec{t} \\ &= G^T(C_1) \cdot (\mu_2 \cdot (G \cdot \vec{t}) + \vec{e}_2) \\ &= \mu_2 \cdot G^T(C_1) \cdot G \cdot \vec{t} + G^T(C_1) \cdot \vec{e}_2 \\ &= \mu_2 \cdot C_1 \cdot \vec{t} + G^T(C_1) \cdot \vec{e}_2 \\ &= \mu_2 \cdot (\mu_1 \cdot (G \cdot \vec{t}) + \vec{e}_1) + G^T(C_1) \cdot \vec{e}_2 \\ &= \mu_2 \cdot \mu_1 \cdot (G \cdot \vec{t}) + \mu_2 \cdot \vec{e}_1 + G^T(C_1) \cdot \vec{e}_2 \end{aligned}$$

How homomorphic is it?

$$\#MULT \sim \log_m q$$