

# CSCI 1510

## This Lecture:

- Somewhat Homomorphic Encryption from LWE (GSW)
- Bootstrapping SWHE to FHE
- Program Obfuscation

## FHE Constructions

Step 1: Somewhat Homomorphic Encryption (SWHE) from LWE (GSW)

Step 2: Bootstrapping

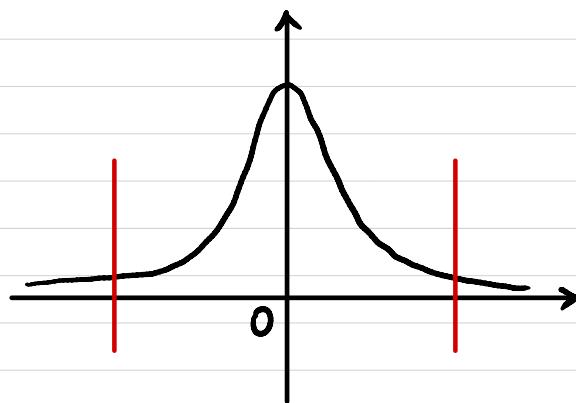
## Post-Quantum Assumption: Learning With Errors (LWE)

$n$ : security parameter

$$q \sim 2^{n^t}$$

$$m = \Omega(n \log q)$$

$\chi$ : distribution over  $\mathbb{Z}_q$   
(concentrated on "small integers")



$$\Pr[|e| > \alpha \cdot q \mid e \leftarrow \chi] \leq \text{negl}(n)$$

$\uparrow$   
 $\alpha \ll 1$

Def We say the decisional LWE<sub>n,m,q,x</sub> problem is (quantum) hard if  $\forall$  (quantum) PPT A,  
 $\exists$  negligible function  $\varepsilon(\cdot)$  s.t.

$$\Pr \left[ \begin{array}{l} A \in \mathbb{Z}_q^{m \times n} \\ s \in \mathbb{Z}_q^n \\ e \in \chi^m \end{array} : A(A, [As + e \bmod q]) = 1 \right]$$

$$- \Pr \left[ \begin{array}{l} A \in \mathbb{Z}_q^{m \times n} \\ b' \in \mathbb{Z}_q^m \end{array} : A(A, b') = 1 \right] \leq \varepsilon(n)$$

$$\begin{array}{c} \boxed{A} \\ mxn \end{array} \times \begin{array}{c} \boxed{s} \\ nx1 \end{array} + \begin{array}{c} \boxed{e} \\ mx1 \end{array} = \begin{array}{c} \boxed{b} \\ mx1 \end{array}$$

$$\begin{array}{c} \boxed{A} \\ mxn \end{array}$$

$$\begin{array}{c} \boxed{b'} \\ mx1 \end{array}$$

# Regev Encryption from LWE

$$A \leftarrow \mathbb{Z}_q^{m \times n} \quad s \leftarrow \mathbb{Z}_q^n \quad e \leftarrow \mathcal{X}^m$$

$$\begin{array}{c|c|c|c|c} & A & \times & \begin{matrix} s \\ \hline n \times 1 \end{matrix} & + & \begin{matrix} e \\ \hline m \times 1 \end{matrix} & = & \begin{matrix} b \\ \hline m \times 1 \end{matrix} \end{array}$$

$$pk = (A, b)$$

$$sk = s$$

$$\text{Enc}_{pk}(\mu) : \mu \in \{0, 1\}$$

sample a random  $S \subseteq [m]$

$$c = \left( \sum_{i \in S} A_i, \left( \sum_{i \in S} b_i \right) + \mu \cdot \lfloor \frac{q}{2} \rfloor \right)$$

↑  
i-th row of A

$$\text{Dec}_{sk}(c) : c = \begin{matrix} c_1 & | & c_2 \end{matrix}$$

$$c_2 - \langle c_1, s \rangle = \mu \cdot \lfloor \frac{q}{2} \rfloor + \sum_{i \in S} e_i$$

↑  
small noise

$$\begin{array}{c|c|c|c|c} & B & \times & \begin{matrix} t \\ \hline 1 \end{matrix} & + & \begin{matrix} s \\ \hline n \times 1 \end{matrix} & = & \begin{matrix} e \\ \hline m \times 1 \end{matrix} \end{array}$$

$$pk = B_{m \times n}$$

$$sk = t_{n \times 1}$$

$$\text{Enc}_{pk}(\mu) : \mu \in \{0, 1\}$$

sample  $r \leftarrow \{0, 1\}^m$

$$\begin{array}{c|c|c|c|c} r & \times & B & + & \begin{matrix} 0 & | & \mu \cdot \lfloor \frac{q}{2} \rfloor \\ \hline 1 \times m & & m \times n & & \end{matrix} \end{array}$$

$$c = r^T \cdot B + (0, \dots, 0, \mu \cdot \lfloor \frac{q}{2} \rfloor)$$

$$\text{Dec}_{sk}(c) : \langle c, t \rangle = \mu \cdot \lfloor \frac{q}{2} \rfloor + \text{small noise}$$

# Regev Encryption from LWE

## Homomorphism:

$$C_1 = \text{Enc}(\mu_1) \quad \langle C_1, t \rangle = \text{"small"} + \mu_1 \cdot \lfloor \frac{q}{2} \rfloor$$

$$C_2 = \text{Enc}(\mu_2) \quad \langle C_2, t \rangle = \text{"small"} + \mu_2 \cdot \lfloor \frac{q}{2} \rfloor$$

## Additive Homomorphism?

$$C = C_1 + C_2$$

$$\langle C, t \rangle = \text{"small"} + (\mu_1 + \mu_2) \cdot \lfloor \frac{q}{2} \rfloor$$

## Multiplicative Homomorphism?

## SWHE from LWE (GSW)

### Attempt 1 (secret-key)

$$SK = t_{n \times 1} \quad \begin{matrix} s \\ \hline 1 \end{matrix}_{n \times 1}$$

$\text{Enc}_{SK}(\mu) : \mu \in \{0, 1\}$

Sample  $c_0 \in \mathbb{Z}_q^{n \times n}$  st.  $c_0 \cdot \vec{t} = \text{small}$

$$\begin{matrix} c_0 \\ \hline n \times n \end{matrix} \times \begin{matrix} t \\ \hline n \times 1 \end{matrix} = \begin{matrix} e \\ \hline n \times 1 \end{matrix}$$

$$c = c_0 + \mu \cdot I$$

$n \times n$       identity matrix

$\text{Dec}_{SK}(c) : c \cdot \vec{t} = ?$

CPA Security?

# SWHE from LWE (GSW)

## Attempt 1 (Secret-key)

Without Error:  $C \cdot \vec{t} = \mu \cdot \vec{t}$

Homomorphism:

$$C_1 \cdot \vec{t} = \mu_1 \cdot \vec{t}$$
$$C_2 \cdot \vec{t} = \mu_2 \cdot \vec{t}$$

With Error:  $C \cdot \vec{t} = \mu \cdot \vec{t} + \vec{e}$

Homomorphism:

$$C_1 \cdot \vec{t} = \mu_1 \cdot \vec{t} + \vec{e}_1$$
$$C_2 \cdot \vec{t} = \mu_2 \cdot \vec{t} + \vec{e}_2$$

Additive Homomorphism?

$$C_1 + C_2 ?$$

Additive Homomorphism?

$$C_1 + C_2 ?$$

Multiplicative Homomorphism?

$$C_1 \cdot C_2 ?$$

Multiplicative Homomorphism?

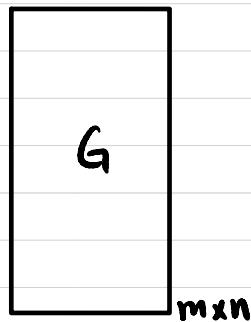
$$C_1 \cdot C_2 ?$$

# SWHE from LWE (GSW)

## Attempt 2 (secret-key)

### Flattening Gadget:

Gadget matrix  $G \in \mathbb{Z}_q^{m \times n}$



$$G^{-1} \xrightarrow{\text{G}^{-1}(c)} \begin{matrix} G^{-1}(c) \\ \text{mxm} \end{matrix} \times \begin{matrix} G \\ \text{mxn} \end{matrix} = \begin{matrix} c \\ \text{mxn} \end{matrix}$$

Diagram illustrating the flattening gadget. A curved arrow labeled  $G^{-1}$  points from the right side of the equation to the first term  $G^{-1}(c)$ . Another curved arrow points from the left side of the equation to the second term  $G$ . The result is a column vector  $c$  of size  $m \times n$ .

### Inverse transformation

$$G^{-1}: \mathbb{Z}_q^{m \times n} \rightarrow \mathbb{Z}_q^{m \times m}$$

$$\forall c \in \mathbb{Z}_q^{m \times n}, \quad G^{-1}(c) = \text{small}$$

$$G^{-1}(c) \cdot G = c$$

$$\begin{matrix} 1 & 0 & 1 & 0 & 1 & 1 & \dots \\ \hline \end{matrix}_{\text{mxm}} \times \begin{matrix} 4 & 0 \\ 2 & 0 \\ 1 & 0 \\ 0 & 4 \\ 0 & 2 \\ 0 & 1 \\ 0 & 0 \\ \vdots & \vdots \\ 0 & 0 \end{matrix}_{\text{mxn}} = \begin{matrix} c \\ \text{mxn} \end{matrix}$$

Diagram illustrating the inverse transformation. A red arrow labeled "small" points from the first term  $G^{-1}(c)$  to the first row of the matrix. A red curved arrow labeled "bit decomposition" points from the second term  $G$  to the second matrix. The result is a column vector  $c$  of size  $m \times n$ .

# SWHE from LWE (GSW)

## Attempt 2 (secret-key)

$$SK = t_{n \times 1}$$

$$\begin{matrix} s \\ 1 \end{matrix}_{n \times 1}$$

$$\text{Enc}_{SK}(\mu) : \mu \in \{0, 1\}$$

Sample  $c_0 \in \mathbb{Z}_q^{m \times n}$  st.  $c_0 \cdot \vec{t} = \text{small}$

$$\begin{matrix} c_0 \\ \hline m \times n \end{matrix} \times \begin{matrix} t \\ \hline n \times 1 \end{matrix} = \begin{matrix} e \\ \hline m \times 1 \end{matrix}$$

$$C = c_0 + \mu \cdot G$$

↑  
gadget matrix

$$\text{Dec}_{SK}(c) : C \cdot \vec{t} = ?$$

CPA Security ?

Homomorphism:  $c_1 \cdot \vec{t} = ?$

$c_2 \cdot \vec{t} = ?$

Additive Homomorphism?

$$c_1 + c_2 ?$$

Multiplicative Homomorphism?

$$G^1(c_1) \cdot c_2 ?$$

How homomorphic is it?

#MULT ?

## SWHE from LWE (GSW)

### Attempt 3 (public-key)

$$\text{SK} = \vec{t}_{n \times 1} \quad \begin{array}{|c|} \hline s \\ \hline 1_{n \times 1} \\ \hline \end{array}$$

public key: "encryptions of 0"

$$\left\{ \vec{c}_i^i \in \mathbb{Z}_q^{m \times n} \mid \vec{c}_i^i \cdot \vec{t} = \text{small} \right\}_{i \in [n]}$$

$$\text{Enc}_{\text{SK}}(\mu) : \mu \in \{0, 1\}$$

$$C = (\text{random subset sum of } \vec{c}_i^i \text{'s}) + \mu \cdot G$$

$\uparrow$   
gadget matrix

## Step 2: Bootstrapping

$c_{t_1} \ c_{t_2} \ \dots \ c_{t_k}$

$\downarrow f$

$c_{t_f} \leftarrow$  too much noise !

$\downarrow \text{Dec}$

$y$

$\downarrow \text{Enc}$

$c_{t_y} \leftarrow$  fresh noise !

$x$

$\downarrow f$

$y$

$y = f(x)$

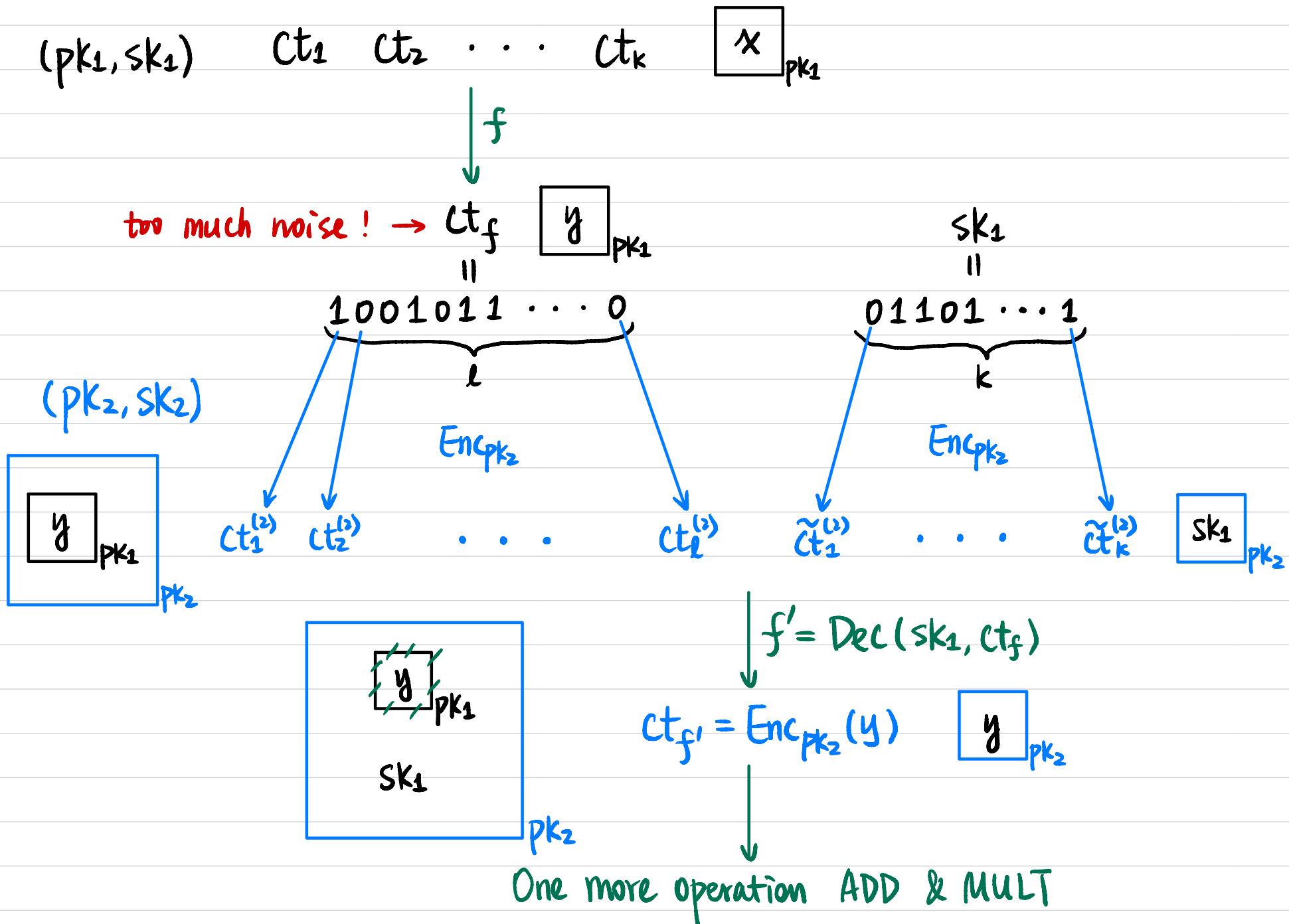
$\downarrow \text{Dec}$

$y$

$\downarrow \text{Enc}$

$y$

# Leveled FHE



## Step 2: Bootstrapping

Leveled FHE:  $\text{pk}_1, \text{pk}_2, \dots, \text{pk}_3, \dots, \text{pk}_n$   
 $\text{Enc}_{\text{pk}_2}(\text{sk}_1) \quad \text{Enc}_{\text{pk}_3}(\text{sk}_2) \quad \dots \quad \text{Enc}_{\text{pk}_n}(\text{sk}_{n-1})$

FHE:  $\text{pk}, \text{Enc}_{\text{pk}}(\text{sk})$

"circular secure" assumption

# Program Obfuscation

Alice



P (program)

Obfuscate



```
int E,L,O,R,G[42][m],h[2][42][m],g[3][8],c  
[42][42][2],f[42]; char d[42]; void v( int  
b,int a,int j){ printf("\33[%d;%df\33[4%d"  
"m ",a,b,j); } void u(){ int T,e; n(42)o  
e,m;if(h[0][T][e]-h[1][T][e]){ v(e+4+e,T+2  
,h[0][T][e]+1?h[0][T][e]:0); h[1][T][e]=h[  
0][T][e]; } fflush(stdout); } void q(int l  
,int k,int p){  
    int T,e,a; L=0  
    ; O=1; while(O  
    ){ n(4&&L){ e=  
    k+c[1] [T][0];  
    h[0][L-1+c[1][  
    T][1]][p?20-e:  
    ,
```

Bob



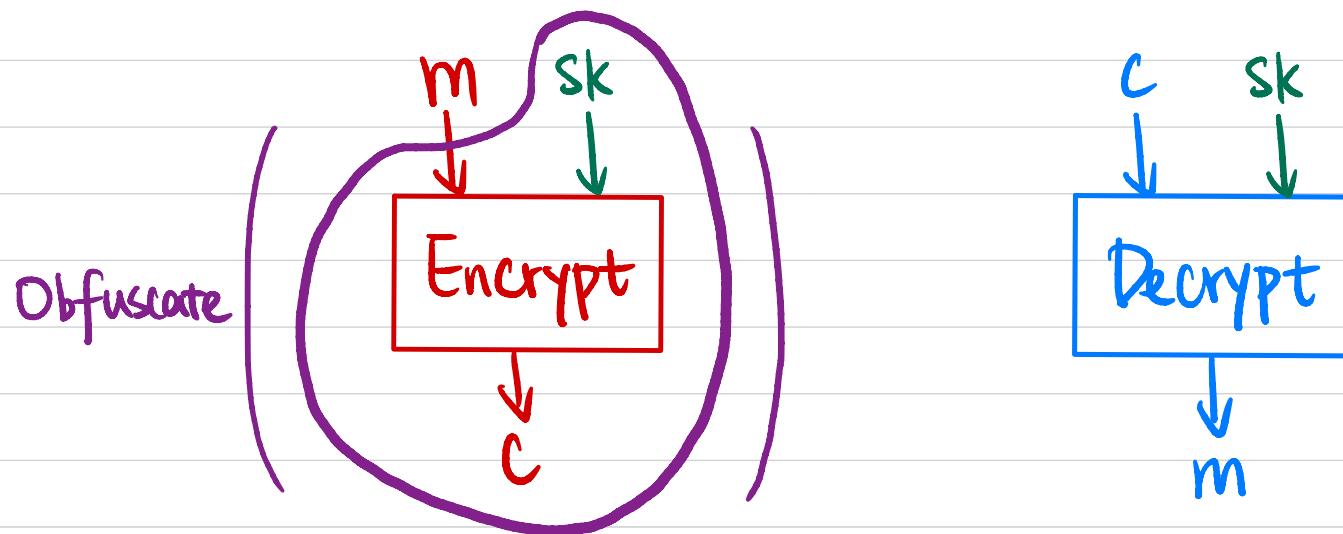
$\tilde{P}$

$\tilde{P}(x) \rightarrow y$

$P = ?$

Goal: Make the program "unintelligible" without affecting its functionality.

# Symmetric-Key to Public-Key

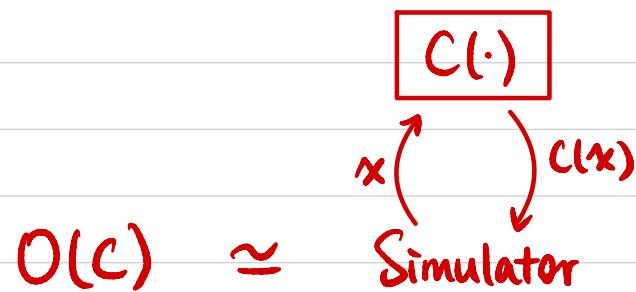


## Formal Definition: Virtual Black Box (VBB)

$$\text{Obfuscator } O: C \xrightarrow{O} O(C)$$

- **Functionality:**  $O(C)$  computes the same function as  $C$ .
- **Polynomial Slowdown:**  $|O(C)| \leq \text{poly}(n) \cdot |C|$
- **Security (Virtual Black Box):**

$$\forall \text{PPT } A, \exists \text{PPT } S, \text{ s.t. } \forall C, \quad A(O(C)) \stackrel{\epsilon}{\sim} S^{C(\cdot)}(1^{|C|}).$$



Thm VBB obfuscator for all poly-sized circuits is impossible to achieve.

# Formal Definition: Indistinguishability Obfuscation (iO)

Obfuscator  $O$ :  $C \xrightarrow{O} O(C)$

- **Functionality:**  $O(C)$  computes the same function as  $C$ .

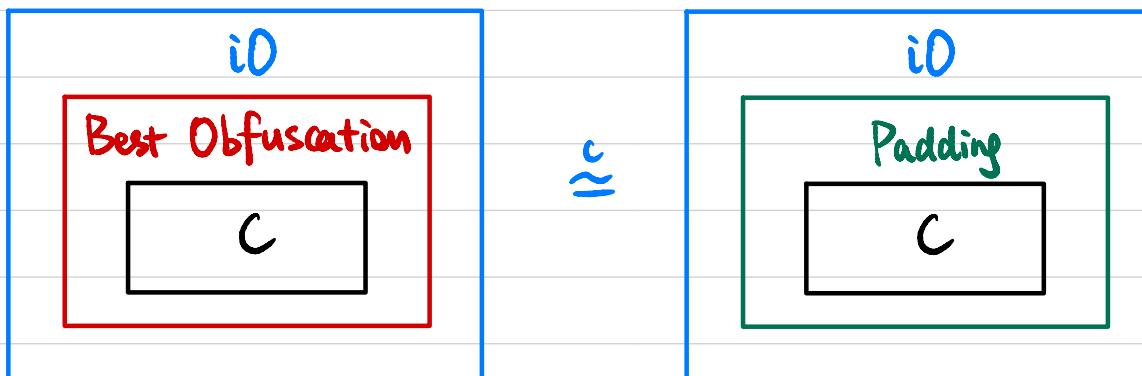
- **Polynomial Slowdown:**  $|O(C)| \leq \text{poly}(n) \cdot |C|$

- **Security (indistinguishability obfuscation):**

If  $C_0$  &  $C_1$  compute the same function and  $|C_0| = |C_1|$ .

then  $O(C_0) \stackrel{c}{\approx} O(C_1)$

- **Best Possible Obfuscation**



## Is it possible?

- 2001: Notion introduced
- 2013: First "candidate" construction from multilinear maps
- 2013-2020: Attack, fixes, new constructions from new assumptions
- 2020: New construction from well-founded assumptions

## PKE from iO

Let  $G: \{0,1\}^n \rightarrow \{0,1\}^{2n}$  be a length-doubling PRG.

- $\text{Gen}(1^n)$ :

$$\text{sk} \leftarrow \{0,1\}^n$$

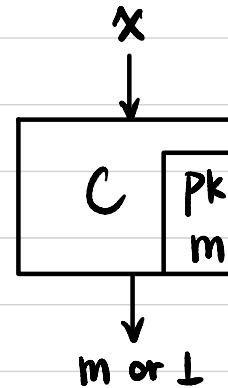
$$\text{pk} := G(\text{sk})$$

- $\text{Enc}_{\text{pk}}(m)$ :

$$C_{\text{pk},m}(x) := \begin{cases} m & \text{if } G(x) = \text{pk} \\ \perp & \text{otherwise} \end{cases}$$

Output  $C \leftarrow \text{iO}(C_{\text{pk},m})$

- $\text{Dec}_{\text{sk}}(C)$ : ?



Ilm If  $G$  is a PRG and  $\text{iO}(\cdot)$  is an indistinguishability obfuscator, then this PKE scheme is CPA-secure.