

# CSCI 1510

## This Lecture:

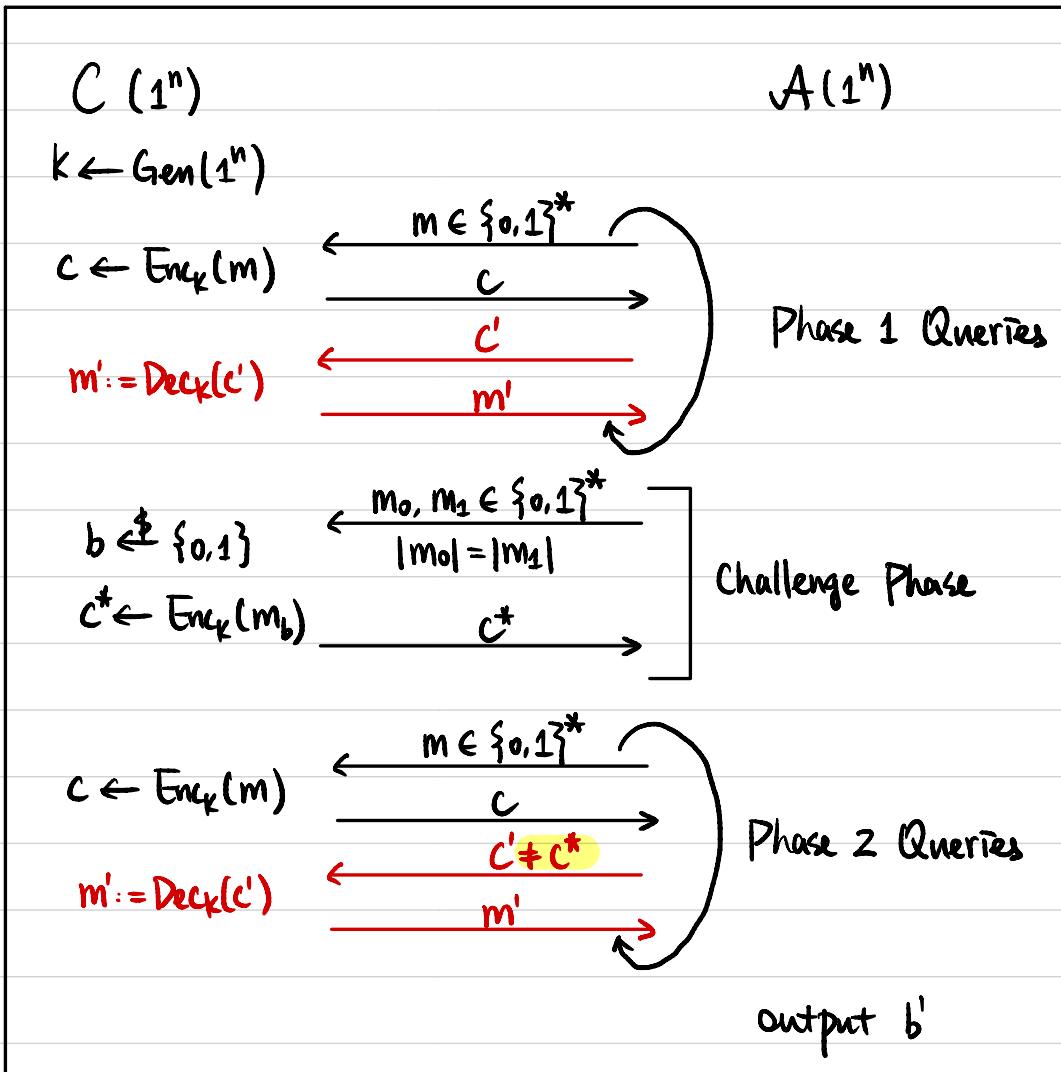
- Generic Constructions of Authenticated Encryption (continued)
- Collision-Resistant Hash Function
- Birthday Attacks
- Merkle-Damgård Transform

## Chosen Ciphertext Attack (CCA) Security

Def A symmetric-key encryption scheme  $(\text{Gen}, \text{Enc}, \text{Dec})$  is **secure**

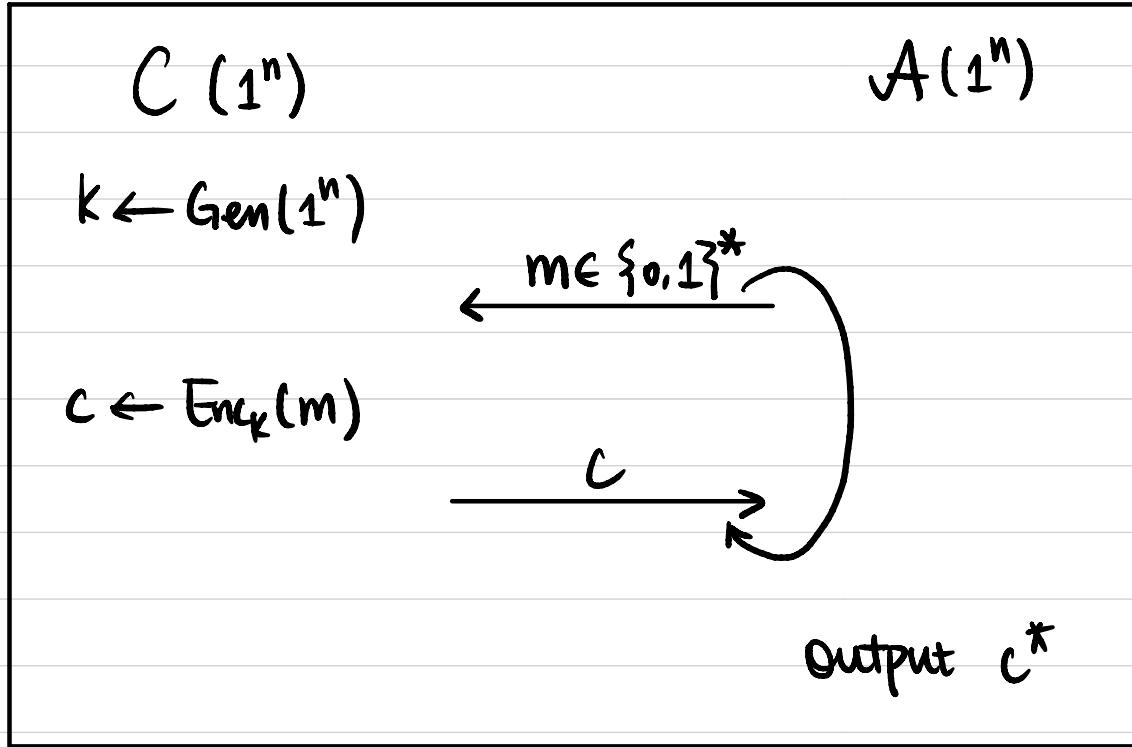
against chosen ciphertext attacks, or **CCA-secure**, if  $\forall \text{PPT } A$ ,

$\exists$  negligible function  $\varepsilon(\cdot)$  s.t.  $\Pr[b = b'] \leq \frac{1}{2} + \varepsilon(n)$



## Unforgeability

Def A symmetric-key encryption scheme  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  is **unforgeable** if  $\forall \text{PPT } A, \exists \text{negligible function } \varepsilon(\cdot) \text{ s.t. } \Pr[\text{EncForge}_{A, \Pi} = 1] \leq \varepsilon(n)$ .



$$\begin{aligned} Q &:= \{m \mid m \text{ queried by } A\} \\ m^* &:= \text{Dec}_k(c^*) \end{aligned}$$

$\text{EncForge}_{A, \Pi} = 1$  ( $A$  succeeds) if

- ①  $m^* \notin Q$ , and
- ②  $m^* \neq \perp$

Def A symmetric-key encryption scheme is **authenticated encryption** if it is **CCA-secure** and **unforgeable**.

## Generic Constructions

Let  $\Pi^E = (\text{Gen}^E, \text{Enc}^E, \text{Dec}^E)$  be a CPA-secure encryption scheme.

Let  $\Pi^M = (\text{Gen}^M, \text{Mac}^M, \text{Vrfy}^M)$  be a strongly secure MAC scheme.

How to construct an authenticated encryption scheme?

- ① Encrypt-and-Authenticate
- ② Authenticate-then-Encrypt
- ③ Encrypt-then-Authenticate

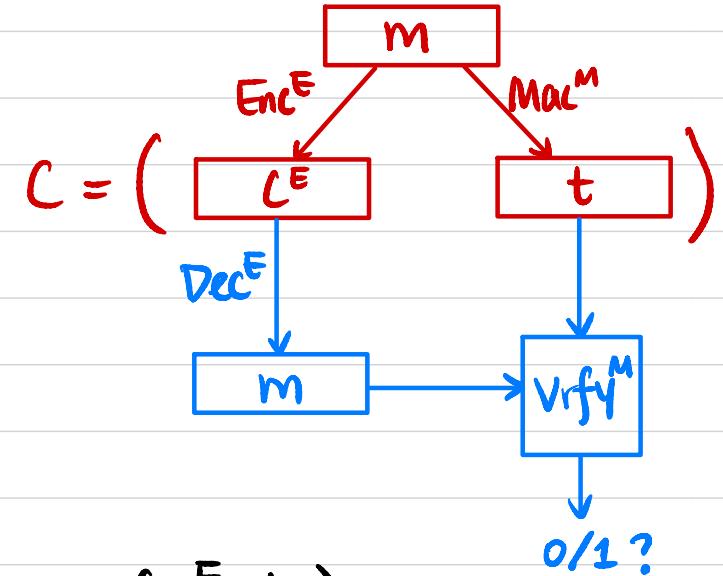
## Encrypt-and-Authenticate

Gen( $1^n$ ):

$$k^E \leftarrow \text{Gen}^E(1^n)$$

$$k^M \leftarrow \text{Gen}^M(1^n)$$

Output  $k = (k^E, k^M)$



Enc $_k(m)$ :

$$c^E \leftarrow \text{Enc}^E(k^E, m)$$

$$t \leftarrow \text{Mac}^M(k^M, m)$$

Output  $C = (c^E, t)$

Dec $_k(C)$ :  $C = (c^E, t)$

$$m := \text{Dec}^E(k^E, c^E)$$

$$b := \text{Vrfy}^M(k^M, (m, t))$$

If  $b=1$ , output  $m$

Otherwise output  $\perp$

Q<sub>1</sub>: Is it CPA-secure? No!

Q<sub>2</sub>: Is it CCA-secure? No!

Q<sub>3</sub>: Is it unforgeable? Yes!

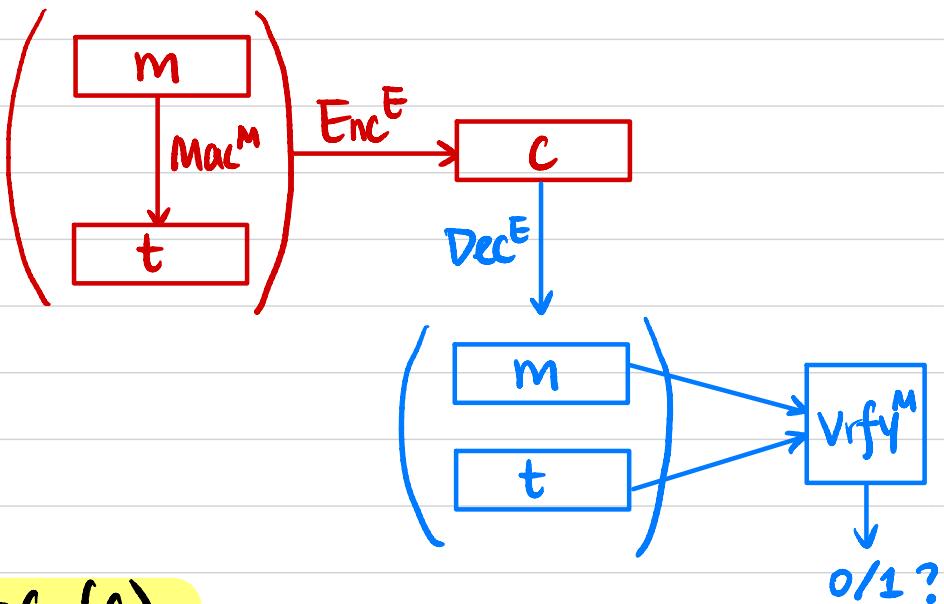
## Authenticate-then-Encrypt

Gen( $1^n$ ):

$$k^E \leftarrow \text{Gen}^E(1^n)$$

$$k^M \leftarrow \text{Gen}^M(1^n)$$

$$\text{Output } k = (k^E, k^M)$$



Enc<sub>k</sub>(m):

$$t \leftarrow \text{Mac}^M(k^M, m)$$

$$c \leftarrow \text{Enc}^E(k^E, m || t)$$

Output c

Dec<sub>k</sub>(c):

$$m || t := \text{Dec}^E(k^E, c)$$

$$b := \text{Vrfy}^M(k^M, (m, t))$$

If  $b=1$ , output m

Otherwise output ⊥

Q1: Is it CPA-secure? (exercise)

Q2: Is it CCA-secure? No!

Q3: Is it unforgeable? (exercise)

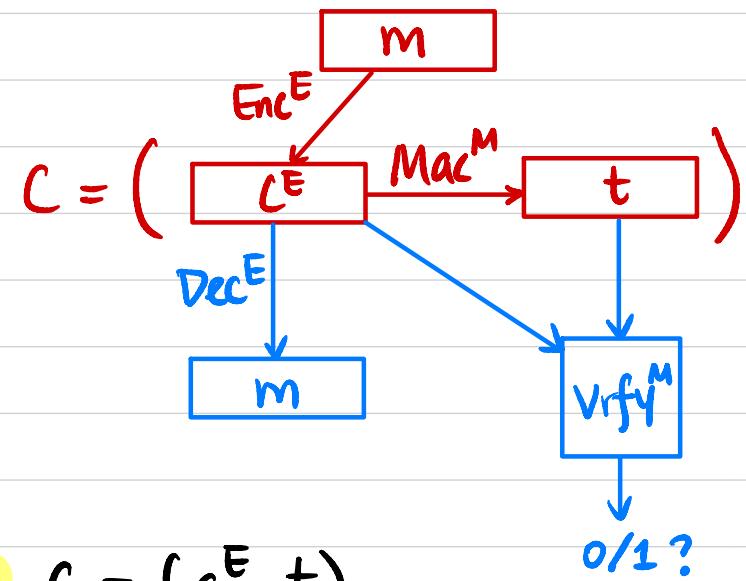
## Encrypt-then-Authenticate

Gen( $1^n$ ):

$$k^E \leftarrow \text{Gen}^E(1^n)$$

$$k^M \leftarrow \text{Gen}^M(1^n)$$

Output  $k = (k^E, k^M)$



Enc $_k(m)$ :

$$c^E \leftarrow \text{Enc}^E(k^E, m)$$

$$t \leftarrow \text{Mac}^M(k^M, c^E)$$

Output  $c = (c^E, t)$

Dec $_k(c)$ :  $c = (c^E, t)$

$$m := \text{Dec}^E(k^E, c^E)$$

$$b := \text{Vrfy}^M(k^M, (c^E, t))$$

If  $b=1$ , output  $m$

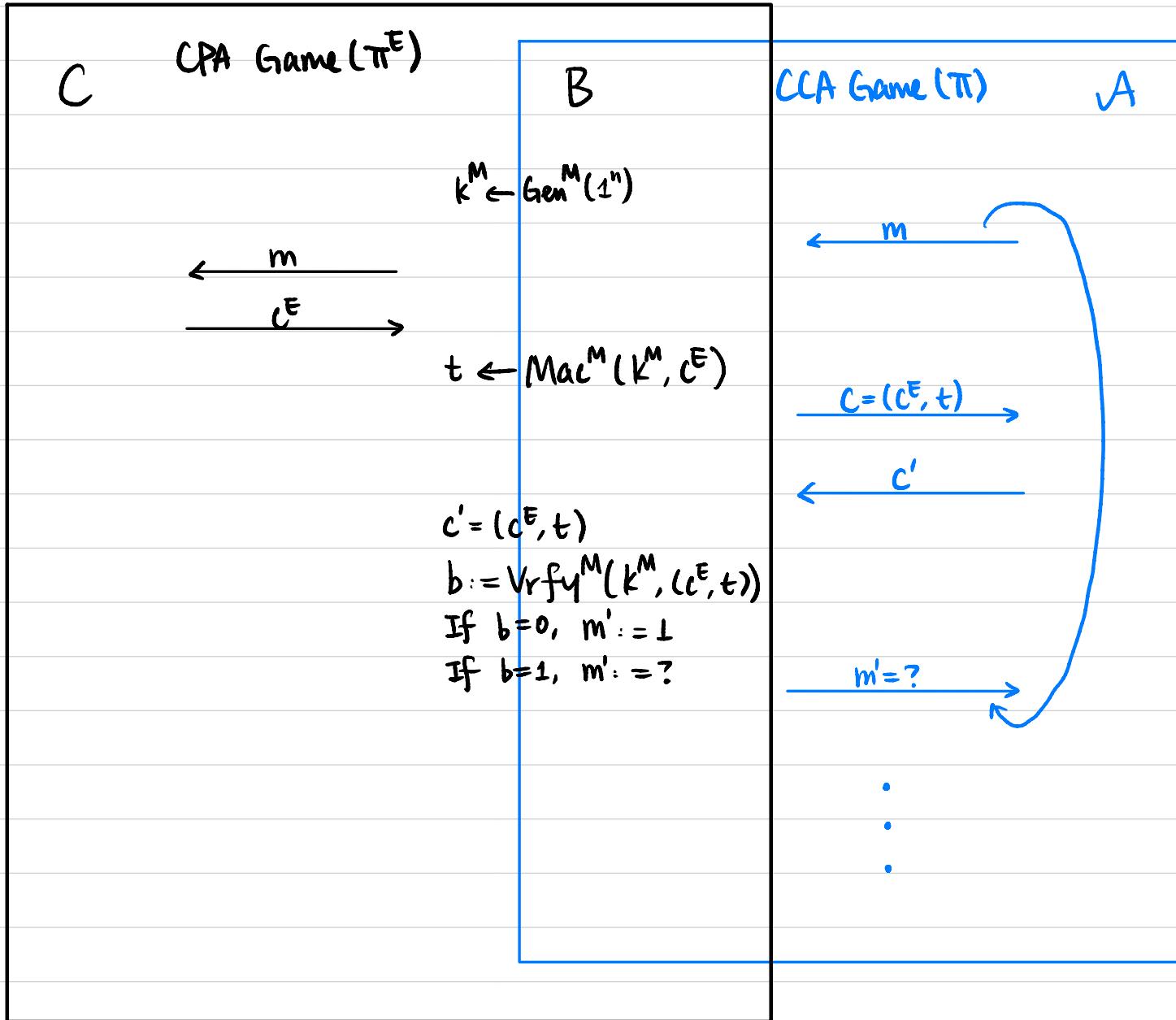
Otherwise output  $\perp$

Q<sub>1</sub>: Is it CPA-secure? (exercise)

Q<sub>2</sub>: Is it CCA-secure?

Q<sub>3</sub>: Is it unforgeable? (exercise)

**First Attempt:** Assume  $\exists$  PPT  $A$  that breaks the CCA-security of  $\Pi$   
 We construct PPT  $B$  to break the CPA-security of  $\Pi^E$ .



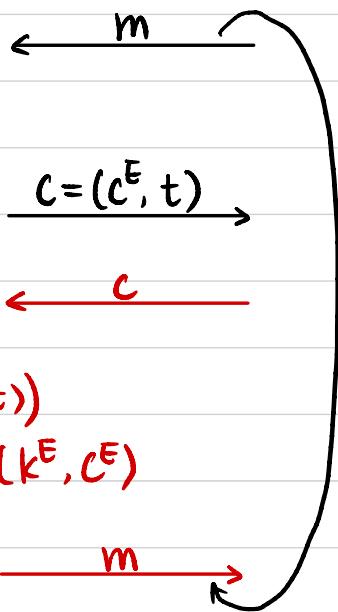
$C(1^n)$ 

$K^E \leftarrow \text{Gen}^E(1^n)$

$K^M \leftarrow \text{Gen}^M(1^n)$

$C^E \leftarrow \text{Enc}^E(K^E, m)$

$t \leftarrow \text{Mac}^M(K^M, C^E)$

 $H_0$  $A(1^n)$ 

$c = (c^E, t)$

$\tilde{b} := \text{Vrfy}^M(K^M, (C^E, t))$

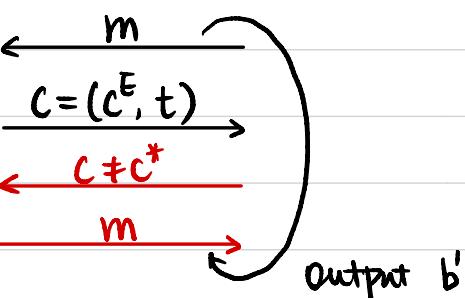
$\text{If } \tilde{b}=1, m := \text{Dec}^E(K^E, C^E)$

$\text{Otherwise } m := \perp$

$b \notin \{0, 1\}$

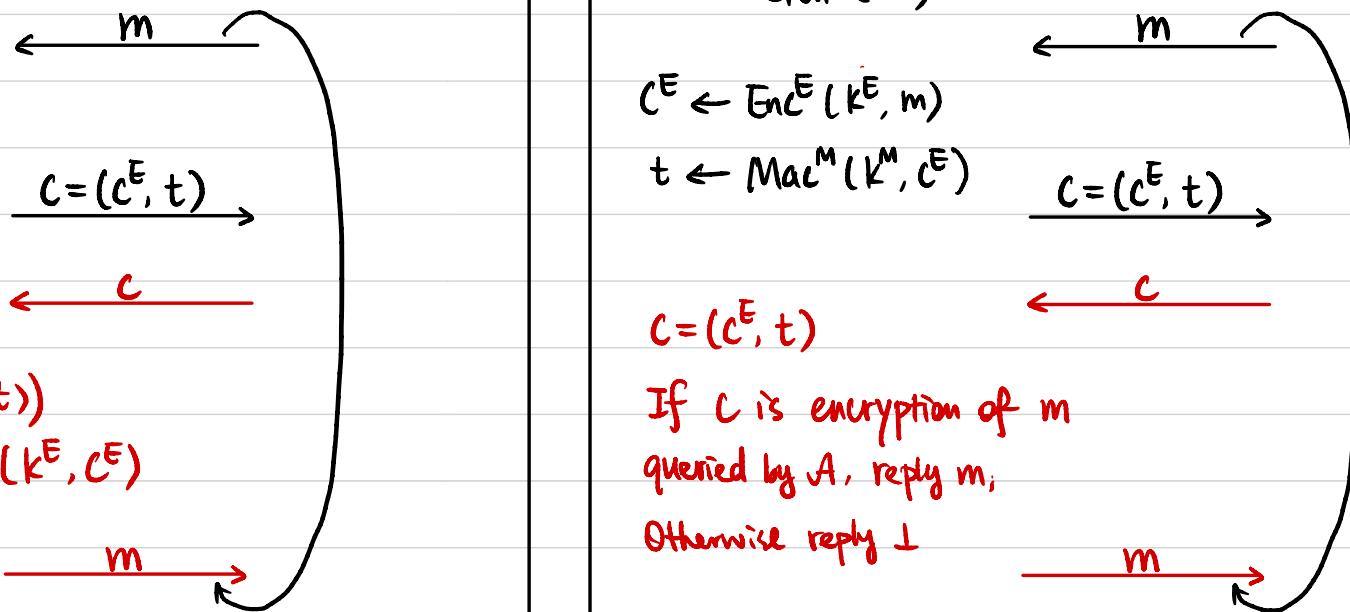
$C^{E*} \leftarrow \text{Enc}^E(K^E, m_b)$

$t^* \leftarrow \text{Mac}^M(K^M, C^{E*})$

 $C(1^n)$  $H_1$  $A(1^n)$ 

$K^E \leftarrow \text{Gen}^E(1^n)$

$K^M \leftarrow \text{Gen}^M(1^n)$



$c = (c^E, t)$

If  $c$  is encryption of  $m$

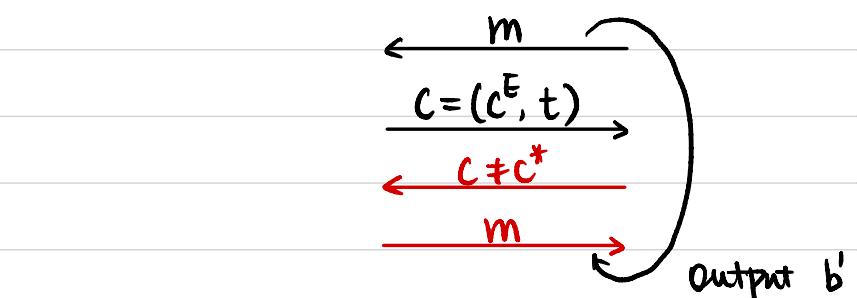
queried by  $A$ , reply  $m$ ,

Otherwise reply  $\perp$

$b \notin \{0, 1\}$

$C^{E*} \leftarrow \text{Enc}^E(K^E, m_b)$

$t^* \leftarrow \text{Mac}^M(K^M, C^{E*})$



Lemma 1  $\forall$  PPT  $A$ ,  $|\Pr[A \text{ outputs } 1 \text{ in } \mathcal{H}_0] - \Pr[A \text{ outputs } 1 \text{ in } \mathcal{H}_1]| \leq \text{negl}(n)$ .

Proof Assume not, then  $\exists$  PPT  $A$  that distinguishes  $\mathcal{H}_0$  &  $\mathcal{H}_1$  with non-negligible probability  $\epsilon(n)$ .

We construct a PPT  $B$  to break the strong security of  $\Pi^M$ .

Lemma 2  $\forall \text{PPT } A, |\Pr[b=b' \text{ in } H_1]| \leq \text{negl}(n)$ .

Proof Assume not, then  $\exists \text{PPT } A$  s.t.  $|\Pr[b=b' \text{ in } H_1]| \geq \text{non-negl}(n)$ .

We construct a PPT B to break the CPA-security of  $\pi^E$ .

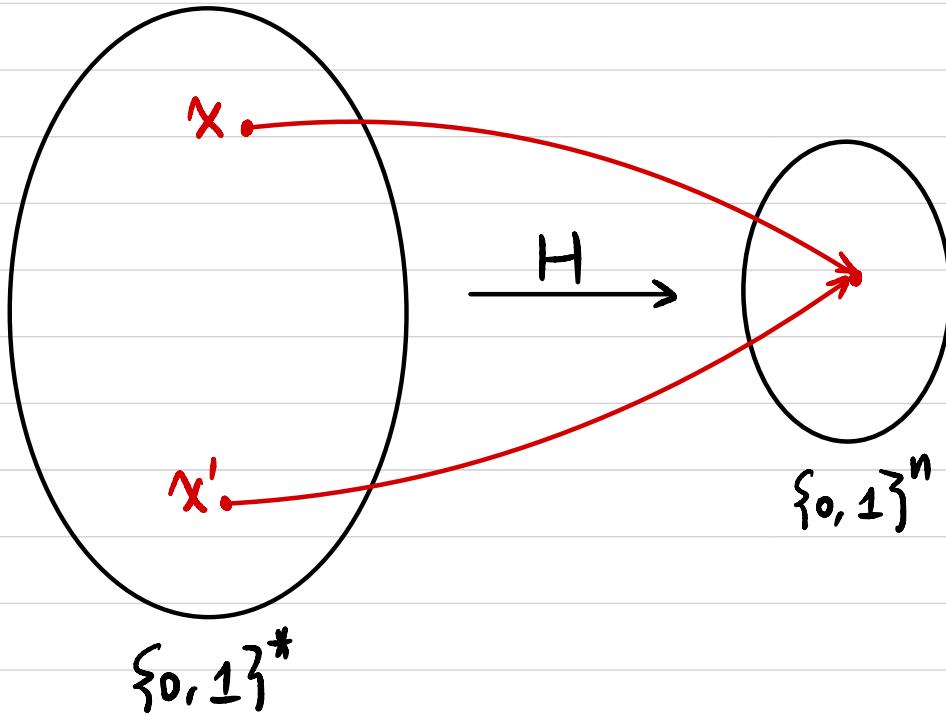
## Intuitions

Can we have an encryption scheme that is unforgeable but not CCA-secure?

Can we have an encryption scheme that is CCA-secure but not unforgeable?

# Cryptographic Hash Function

$$H: \{0,1\}^* \rightarrow \{0,1\}^n$$



## Collision-Resistant Hash Function (CRHF) :

It's computationally hard to find  $x, x' \in \{0,1\}^*$  s.t.

$$x \neq x', \quad H(x) = H(x') \quad (\text{collision})$$

## Collision-Resistant Hash Function (CRHF)

### • Syntax:

A hash function is defined by a pair of PPT algorithms (Gen, H):

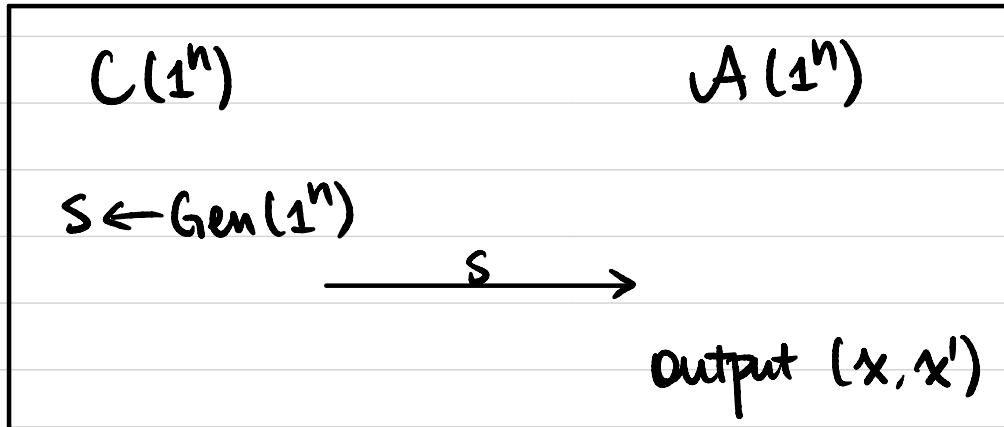
- Gen( $1^n$ ): output s

- H<sup>s</sup>(x):  $x \in \{0, 1\}^*$ , output  $h \in \{0, 1\}^{l(n)}$

### • Security

A hash function (Gen, H) is collision-resistant if

$\forall$  PPT A,  $\exists$  negligible function  $\varepsilon(\cdot)$  s.t.  $\Pr[x \neq x' \wedge H^s(x) = H^s(x')] \leq \varepsilon(n)$ .



### • Why does it have to be a keyed function (theoretically)?

## How to find a collision?

$$H^s: \{0,1\}^* \rightarrow \{0,1\}^l$$

Try  $H^s(x_1), H^s(x_2), \dots, H^s(x_q)$

If  $H(x_i)$  outputs a random value,

What's the probability of finding a collision?

If  $q = 2^l + 1 \Rightarrow \text{prob.} = 1$

If  $q = 2 \Rightarrow \text{prob.} = ?$

If  $q = k \Rightarrow \text{prob.} = ?$

# Birthday Problem / Paradox

There are  $q$  students in a class.

Assume each student's birthday is a random  $y_i \leftarrow [365]$

What's the probability of a collision?

$$q=366 \Rightarrow \text{prob.} = 1$$

$$q=23 \Rightarrow \text{prob.} \approx 50\%$$

$$q=70 \Rightarrow \text{prob.} \approx 99.9\%$$

$$y_i \leftarrow [N]$$

$$q=N+1 \Rightarrow \text{prob.} = 1$$

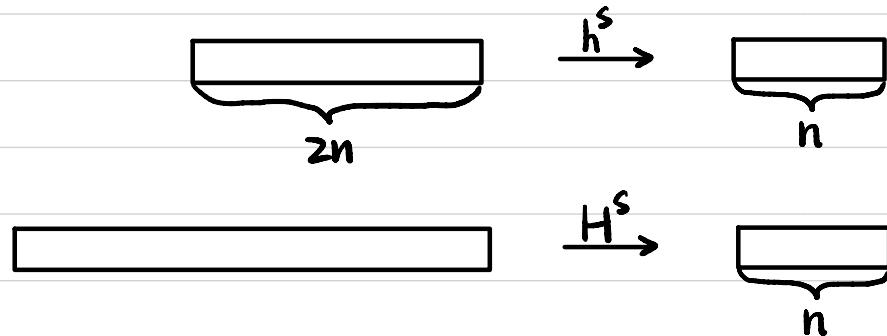
$$q=\sqrt{N} \Rightarrow \text{prob.} \approx 50\%$$

If security parameter  $n=128$ ,  $l=?$

## Domain Extension: Merkle-Damgård Transform

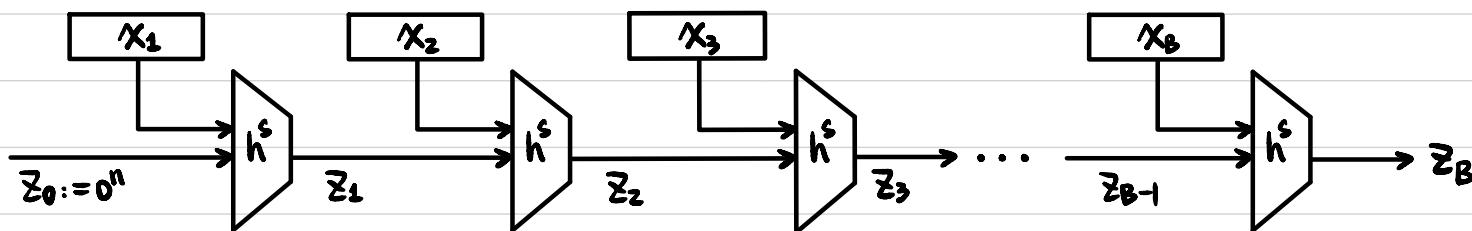
Given a CRHF (Gen, h) from  $\{0,1\}^{2n}$  to  $\{0,1\}^n$ .

Construct a CRHF (Gen, H) from  $\{0,1\}^*$  to  $\{0,1\}^n$ .



① Assume  $|x|$  is a multiple of  $n$

② Parse  $x = x_1 || x_2 || \dots || x_B$ ,  $x_i \in \{0,1\}^n \quad \forall i \in [B]$



$$z_0 := 0^n$$

$$z_i := h^s(z_{i-1} || x_i) \quad \forall i \in [B].$$

$$H^s(x) := z_B.$$

Is this a CRHF for arbitrary-length messages (multiple of  $n$ )?