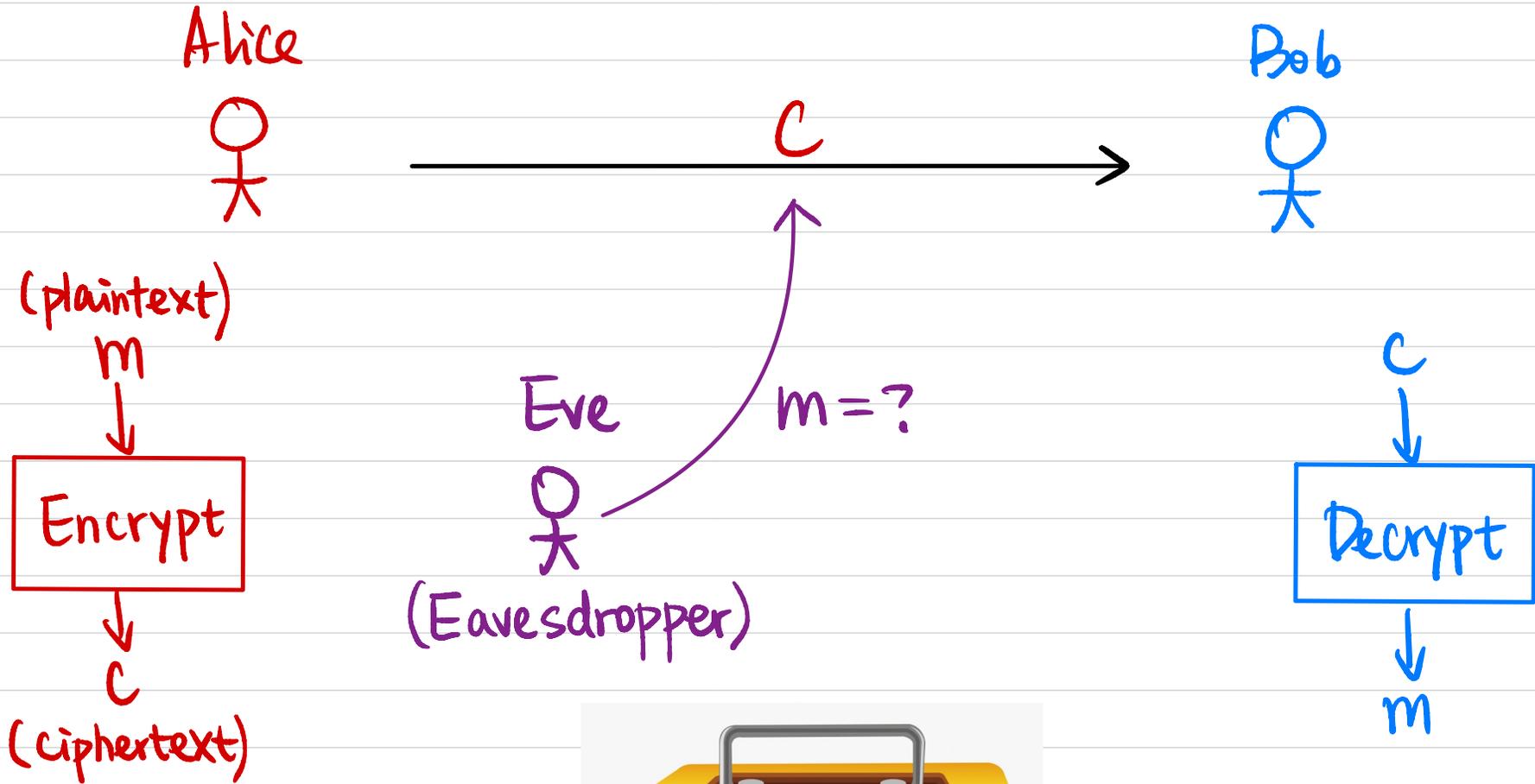


CSCI 1510

This Lecture:

- Syntax of Symmetric-Key Encryption
- Kerckhoff's Principle
- Definition of Perfect Security
- One-Time Pad
- Limitations of Perfect Security

Message Secrecy



(plaintext)
 m
↓
Encrypt
↓
 c
(ciphertext)

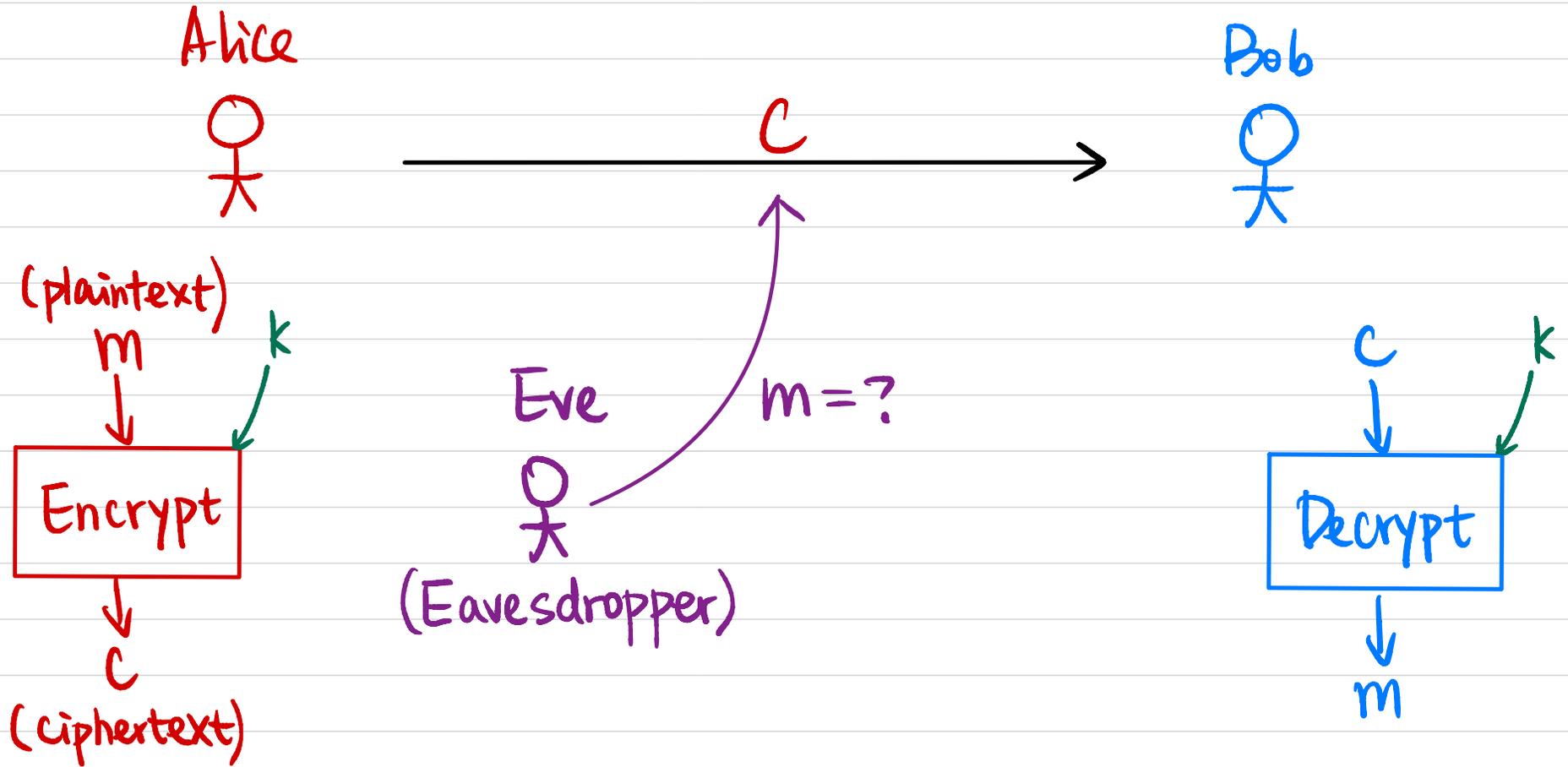
c
↓
Eve
(Eavesdropper)
 $m=?$

Bob
↓
Decrypt
↓
 m



Symmetric-Key Encryption

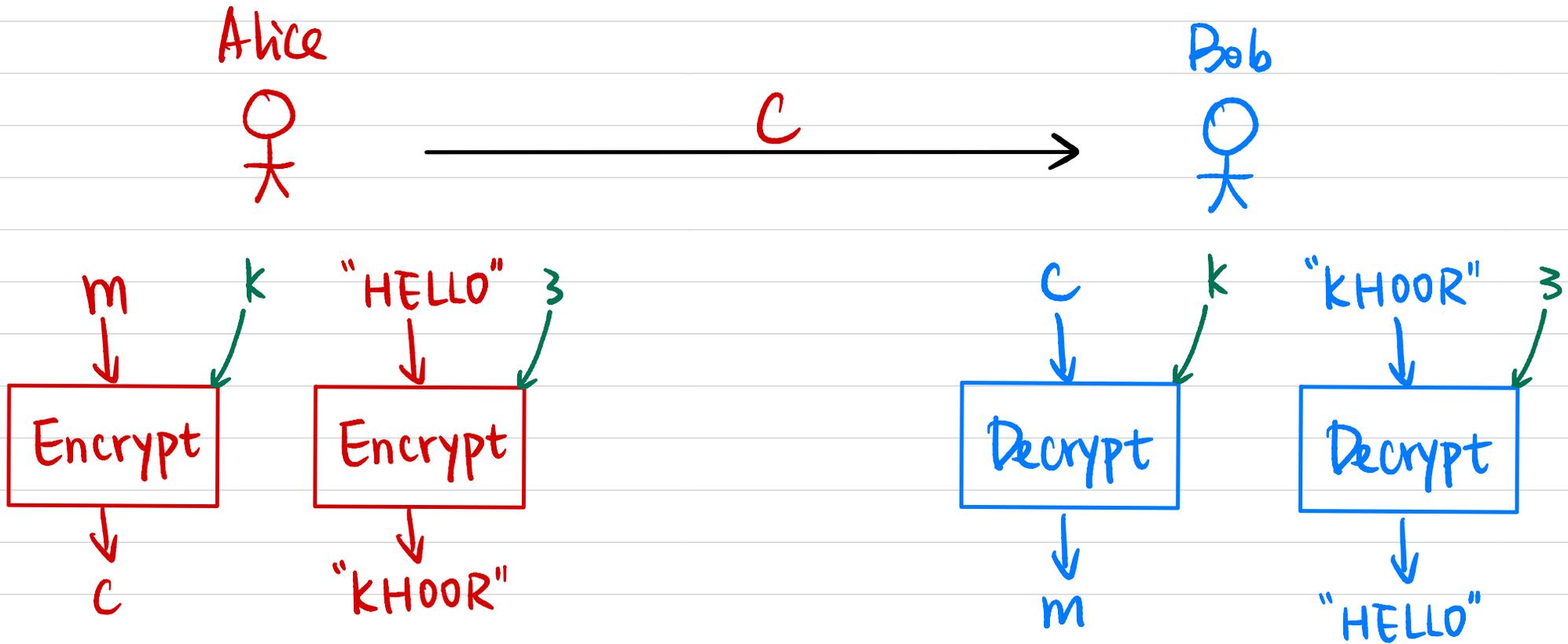
Private-Key / Secret-Key



How to define security?

Example: Shift Cipher

$$k \in \{0, 1, \dots, 25\}$$



Shift each character forward by k .

How?

Symmetric-Key Encryption

Private-Key / Secret-Key

• Syntax:

A symmetric-key encryption scheme is defined by a message space \mathcal{M} , a key space \mathcal{K} , and algorithms (Gen, Enc, Dec):

$$k \leftarrow \text{Gen}$$

$$c \leftarrow \text{Enc}(k, m) \quad \text{Enc}_k(m)$$

$$m/l := \text{Dec}(k, c) \quad \text{Dec}_k(c)$$

• Correctness: $\forall m \in \mathcal{M}, \forall k$ output by Gen,

$$\text{Dec}_k(\text{Enc}_k(m)) = m$$

Example: Shift Cipher

$$k \in \{0, 1, \dots, 25\}$$

Alice



"HELLO"



Encrypt



"KH00R"

$M = \{\text{strings over English alphabet}\}$

$K = ?$

Gen:

$$\text{Enc}_k(m): \quad m = m_1 m_2 \dots m_L$$

$$\text{Dec}_k(c): \quad c = c_1 c_2 \dots c_L$$

C



Bob



"KH00R"



Decrypt



"HELLO"

Symmetric-Key Encryption Private-Key / Secret-Key

• Syntax:

A symmetric-key encryption scheme is defined by a message space \mathcal{M} , a key space \mathcal{K} , and algorithms (Gen, Enc, Dec):

$$k \leftarrow \text{Gen}$$

$$c \leftarrow \text{Enc}(k, m) \quad \text{Enc}_k(m)$$

$$m/l := \text{Dec}(k, c) \quad \text{Dec}_k(c)$$

k must be kept secret

Keep (Gen, Enc, Dec) secret as well?

• Correctness: $\forall m \in \mathcal{M}, \forall k$ output by Gen,

$$\text{Dec}_k(\text{Enc}_k(m)) = m$$

Kerckhoff's Principle

The cipher method must not be required to be secret, and it must be able to fall into the hands of the enemy without inconvenience.

↑
only the key is kept secret

Why?

How to define security?

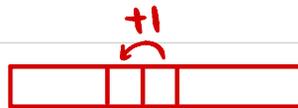
- It's impossible for Eve to recover k from c .

$$\text{Enc}_k(m) = c$$

- It's impossible for Eve to recover m from c .

90% of m ?

- It's impossible for Eve to recover any character of m from c .



Distribution of m ?

Already knows some characters of m ?

Perfect Security

Regardless of any information an attacker already has,

a ciphertext should leak **no additional information** about the plaintext.

Notation

K : key space

M : message / plaintext space

C : ciphertext space

K : random variable denoting the output of Gen.

$$\Pr[K = k] = \Pr[\text{Gen outputs } k].$$

M : random variable denoting the message / plaintext to be encrypted.

Example: $M = \{\text{"HELLO"}, \text{"WORLD"}\}$



$$\Pr[M = \text{"HELLO"}] = 0.3$$

$$\Pr[M = \text{"WORLD"}] = 0.7$$

C : random variable denoting the resulting ciphertext.

① $k \leftarrow \text{Gen}$

② $m \leftarrow M$ (following a certain distribution)

③ $c \leftarrow \text{Enc}_k(m)$

Example: Shift Cipher

$$K: \Pr[K=k] = ?$$

$$M: M = \{\text{"HELLO"}, \text{"WORLD"}\}$$

"HELLO" "WORLD"

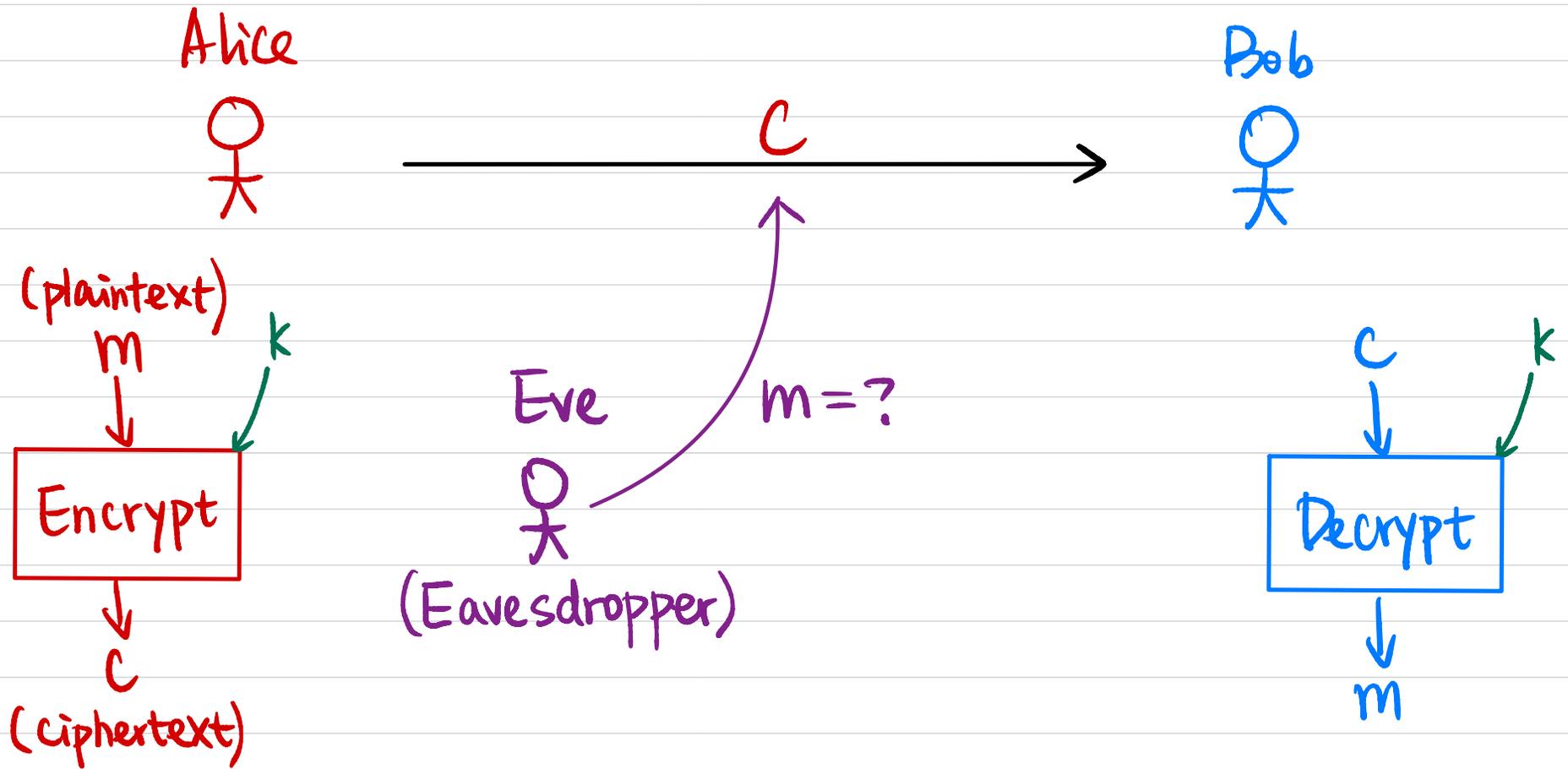
$$\Pr[M = \text{"HELLO"}] = 0.3$$

$$\Pr[M = \text{"WORLD"}] = 0.7$$

$$C: \Pr[C=c] = ?$$

$$\Pr[C = \text{"KHOOR"}] = ?$$

Symmetric-Key Encryption



- Eve knows:
- ① $K, M, C, (Gen, Enc, Dec)$
 - ② distribution over M
 - ③ ciphertext c

Perfect Security

Def 1 A symmetric-key encryption scheme (Gen, Enc, Dec) with message space \mathcal{M} is perfectly secure if

\forall probability distribution over \mathcal{M} .

$\forall m \in \mathcal{M}$.

$\forall c \in \mathcal{C}$ for which $\Pr[C=c] > 0$:

$$\Pr[M=m | C=c] = \Pr[M=m].$$

Example: Shift Cipher

K: $\Pr[K=k] = ?$

$$\Pr[M=m | C=c] \stackrel{?}{=} \Pr[M=m]$$

M: $M = \{\text{"HELLO"}, \text{"WORLD"}\}$

"HELLO" "WORLD"

$$\Pr[M = \text{"HELLO"}] = 0.3$$

$$\Pr[M = \text{"WORLD"}] = 0.7$$

$$\Pr[M = \text{"HELLO"} | C = \text{"KHOOR"}] = ?$$

Example: Shift Cipher

K : $\Pr[K=k]=?$

M : $M = \{ "H", "W" \}$



$$\Pr[M="H"] = 0.3$$

$$\Pr[M="W"] = 0.7$$

$$\Pr[M="H" | C="k"] = ?$$

$$\Pr[M=m | C=c] \stackrel{?}{=} \Pr[M=m]$$

Perfect Security

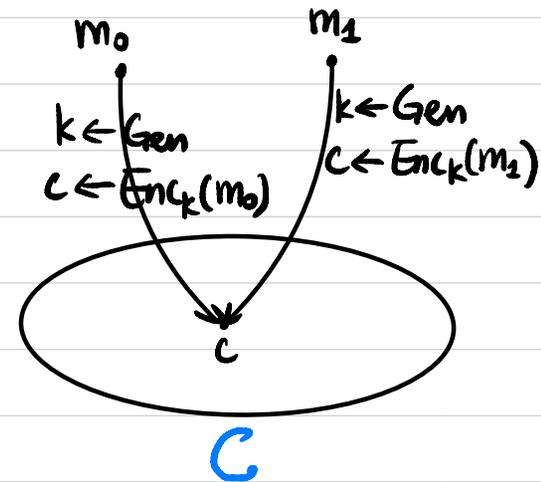
Def 2 A symmetric-key encryption scheme $(\text{Gen}, \text{Enc}, \text{Dec})$ with message space \mathcal{M} is perfectly secure if

$$\forall m_0, m_2 \in \mathcal{M},$$

$$\forall c \in \mathcal{C}:$$

$$\Pr[\text{Enc}_k(m_0) = c] = \Pr[\text{Enc}_k(m_2) = c]$$

↑
over choice of k & randomness of Enc



Def 1 \forall probability distribution over \mathcal{M} ,

$$\forall m \in \mathcal{M},$$

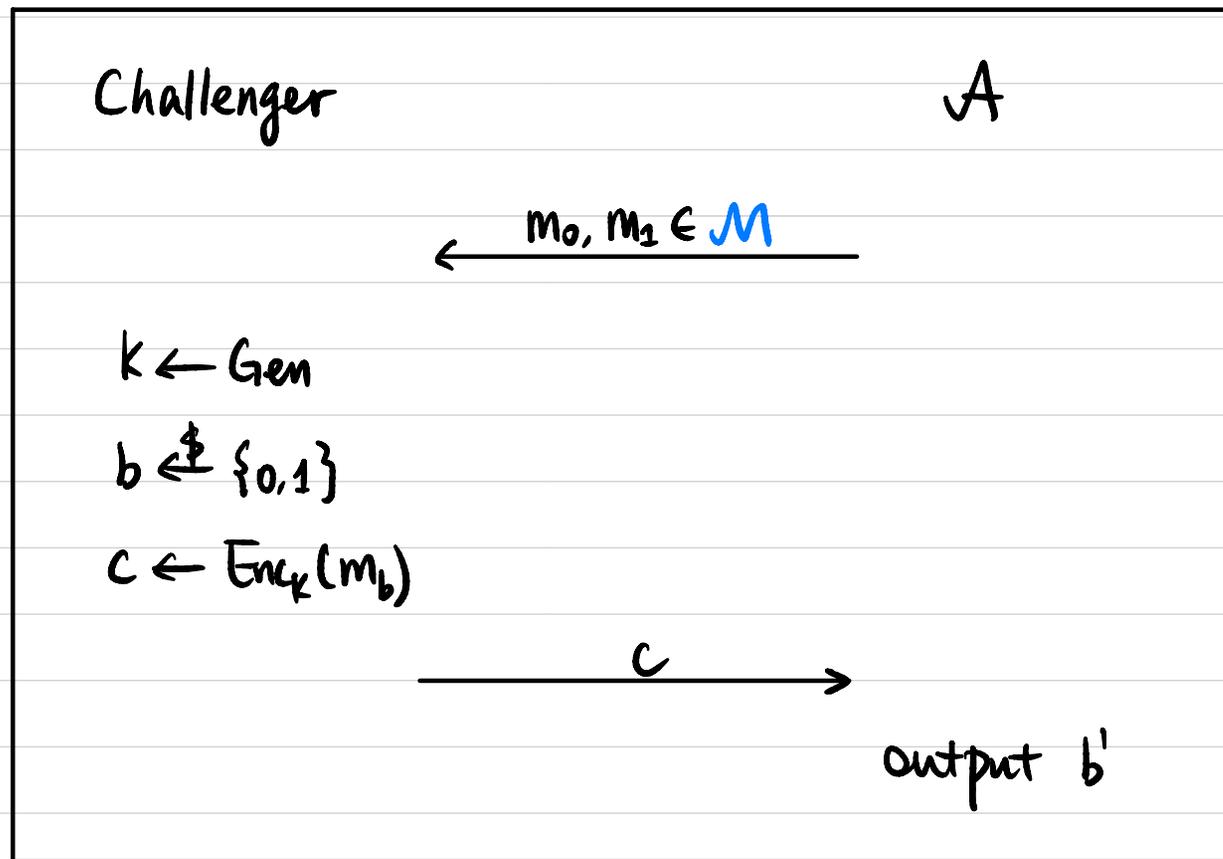
$\forall c \in \mathcal{C}$ for which $\Pr[\mathcal{C} = c] > 0$:

$$\Pr[\mathcal{M} = m \mid \mathcal{C} = c] = \Pr[\mathcal{M} = m].$$

Perfect Security

Def 3 A symmetric-key encryption scheme (Gen, Enc, Dec) with
(Game-based) message space \mathcal{M} is perfectly indistinguishable if $\forall A$:

$$\Pr[b=b'] = \frac{1}{2}$$



One-Time Pad (OTP)

Fix an integer $l > 0$.

$K, M, C = \{0, 1\}^l$ all l -bit strings

- Gen: $k \leftarrow \{0, 1\}^l$, output k .
- $\text{Enc}_k(m)$: output $c := m \oplus k$
- $\text{Dec}_k(c)$: output $m := c \oplus k$

\oplus	0	1
0	0	1
1	1	0

Example: $l=5$.

$$\begin{array}{l} k = 01101 \\ \text{Enc: } m = 00110 \\ \hline c = 01011 \\ \text{Dec: } k = 01101 \\ \hline m = 00110 \end{array}$$

• Correctness?

• Security? $\forall m_0, m_1 \in M, \forall c \in C$:

$$\Pr[\text{Enc}_k(m_0) = c] = \Pr[C = c \mid M = m_0] = ?$$

$$\Pr[\text{Enc}_k(m_1) = c] = \Pr[C = c \mid M = m_1] = ?$$

One-Time Pad (OTP)

Limitations:

- ① Key is as long as the plaintext
- ② Cannot reuse the key ← why?

Can we make $|M| > |K|$?

Limitations of Perfect Security

Thm If $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$ is a perfectly secure encryption scheme with message space M & key space K , then $|M| \leq |K|$.

Proof: Assume $|K| < |M|$.

Pick an arbitrary $c \in C$ where $\Pr[C=c] > 0$.

$M(c) := \{m \mid m = \text{Dec}_k(c) \text{ for some } k \in K\}$.

$|M(c)| \leq |K| < |M|$.

$\exists m' \in M$ st. $m' \notin M(c)$.

$\Pr[M=m' \mid C=c] = 0 \neq \Pr[M=m']$.

↑
possible for some
distribution over M

