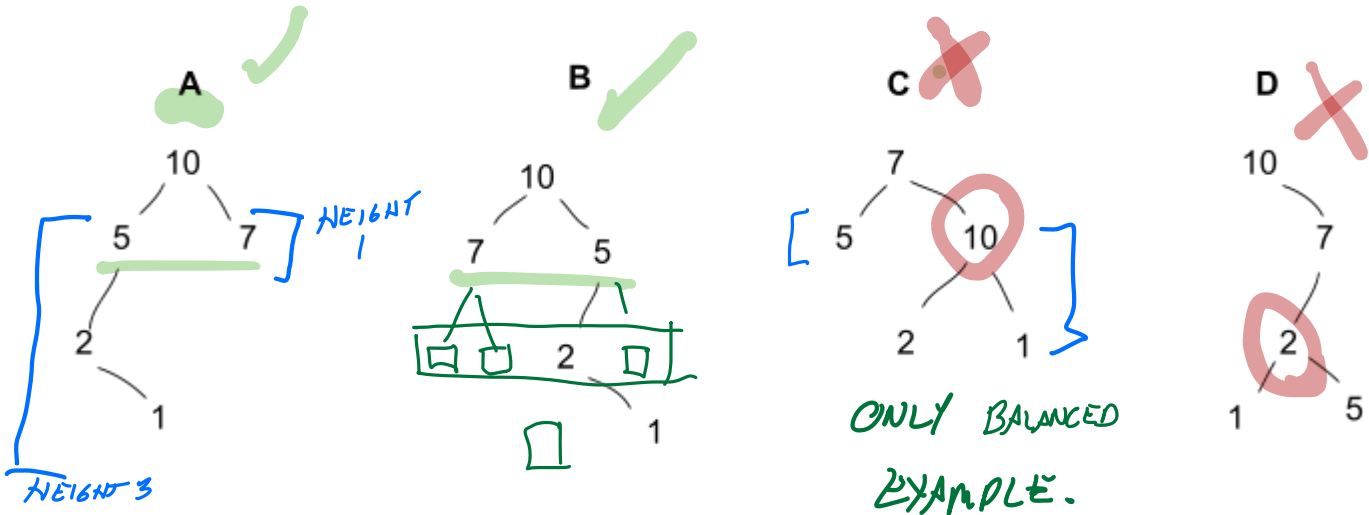


**Heap:** A binary tree in which the highest-priority item is at the root and both the left and right subtrees are also heaps

**Key operations:**

- **get\_max:** constant time (just look at max)
- **insert:** Add a new item =>  $O(\log N)$  for  $N$  items, if the tree is balanced
- **remove\_max:**  $O(\log N)$  if tree is balanced

**Exercise:** Which of the following are heaps? Which are balanced (whether or not they are heaps)?



**Define "balance":**

at any node, height of left and right subtrees differ by at most 1

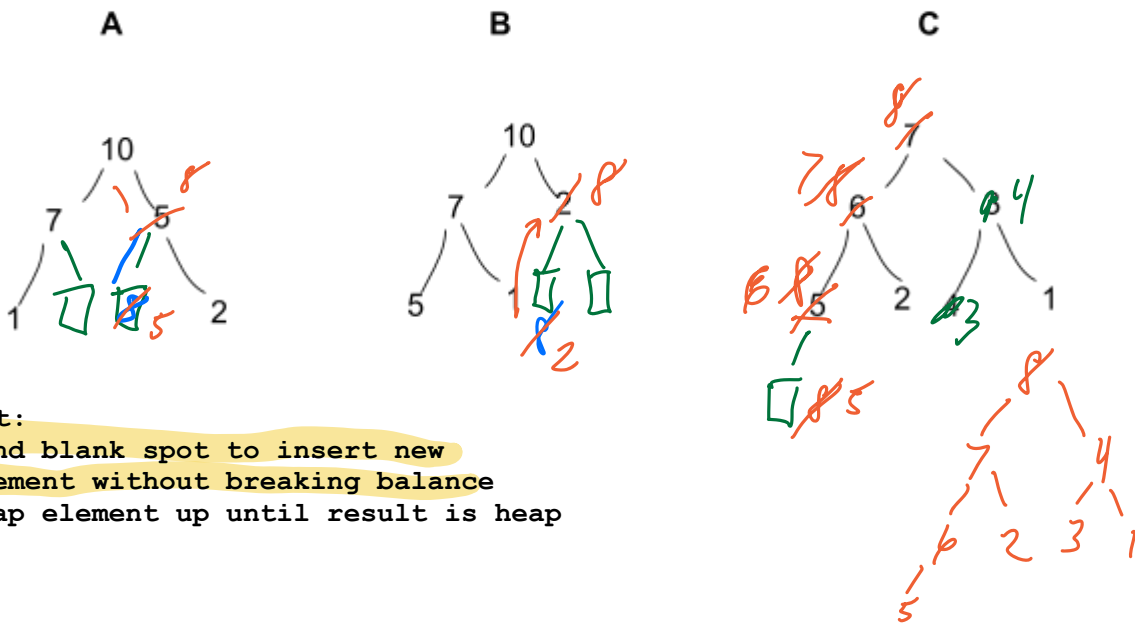
Or: "How I filled up every row of the tree other than the bottom-most one?"

**Goal:** implement heaps with the run-times stated above.

**Requirements:**

- Result must be a heap
- Can only modify one branch of the tree

**Try it:** insert 8 into each of the following trees, while maintaining requirements



**insert:**

1. Find blank spot to insert new element without breaking balance
2. Swap element up until result is heap

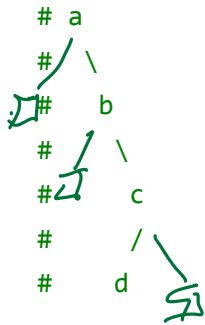
*BinTree("A")*

Aside on Python syntax: these are "keyword arguments" => arguments to a function specified by name. If you leave them out, default value is used (here, None)  
=> Can have functions with optional arguments!

**Implementation:** here's a binary-tree class in Python

class BinTree:

def \_\_init\_\_(self, data, left=None, right=None):  
 self.left = left  
 self.right = right  
 self.data = data



```
unbalanced_tree = BinTree("a",  
    left=None,  
    right=BinTree("b",  
        left=None,  
        right=BinTree("c",  
            left=BinTree("d"),  
            right=None)))
```

insert:

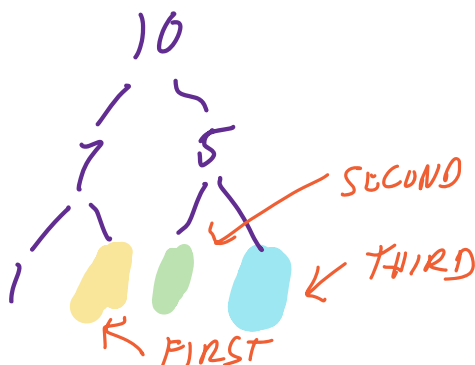
1. Find blank spot to insert new element without breaking balance  
=> Need way to know which spots are empty, would need to store some extra info

1. Swap element up until result is heap  
=> Need to find node "above" you => requires a doubly-linked tree (field for parent) (HW2)

Usually, use a different kind of representation that:

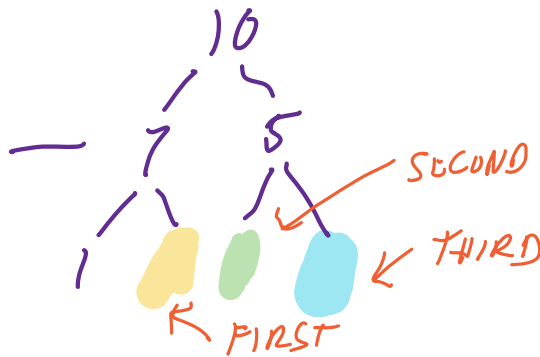
- Is easy to find an open slot ✓
- Is easy to navigate up and down tree

To simplify: enforce that all inserts use the next available slot in the last row of the tree (don't have to choose)

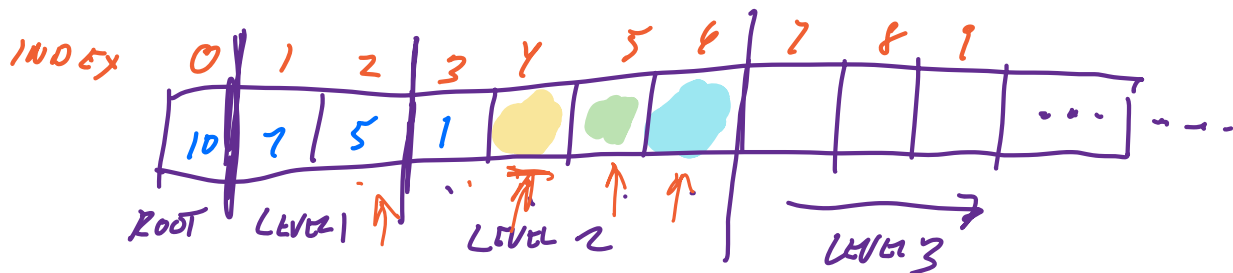


## How to create an easier implementation?

To simplify: enforce that all inserts use the next available slot in the last row of the tree (don't have to choose)

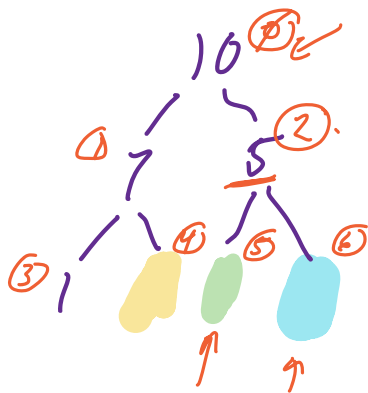


SOLUTION: EMBED TREE INSIDE AN ARRAY



Easy to find next open spot: just look for first element that's empty

ORANGE = ARRAY INDICES



For some node at index  $i$ :

- left( $i$ ) is at  $(i * 2) + 1$
- right( $i$ ) is at  $(i * 2) + 2$
- parent( $i$ ) is at  $\text{floor}((i - 1)/2)$

Ex.  $i = 2$  holds 5

$$\text{LEFT} = 2 * 2 + 1 = 5$$

$$\text{RIGHT} = 2 * 2 + 2 = 6$$

$$\text{PARENT} = \left\lfloor \frac{2-1}{2} \right\rfloor = \left\lfloor \frac{1}{2} \right\rfloor = 0$$

We'll pick up from here next lecture. . .