



# Proof Techniques



CSCI 1951k/2951z



# Topics

1. Direct Proof
2. Proof by Induction
3. Proof by Contradiction



# Direct Proof

---

**Usage:** Given a set of *rules* (axioms), can you prove a particular statement?

# Direct Proof

---

**Usage:** Given a set of *rules* (axioms), can you prove a particular statement?

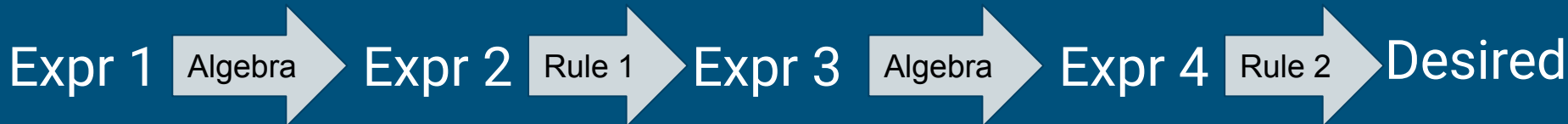
**Idea:** At a high level, direct proofs consist of repeated ‘messaging’ of an expression followed by the application of one of these rules.

# Direct Proof

---

**Usage:** Given a set of *rules* (axioms), can you prove a particular statement?

**Idea:** At a high level, direct proofs consist of repeated ‘messaging’ of an expression followed by the application of one of these rules.



# Direct Proof

---

**Example:**     *Rule 1:* Let  $k$  be an integer. Then,  $n = 2k + 1$  is odd.

# Direct Proof

---

**Example:**     *Rule 1:* Let  $k$  be an integer. Then,  $n = 2k + 1$  is odd.

*Rule 2:* If  $a$  and  $b$  are of opposite parity, then  $a + b$  is odd.

# Direct Proof

---

**Example:**     *Rule 1:* Let  $k$  be an integer. Then,  $n = 2k + 1$  is odd.

*Rule 2:* If  $a$  and  $b$  are of opposite parity, then  $a + b$  is odd.

*Desired:* The square of the sum of two consecutive integers is odd.



# Direct Proof

---

**Solution:** We wish to show  $(x + [x + 1])^2$  is odd.

# Direct Proof

---

**Solution:** We wish to show  $(x + [x + 1])^2$  is odd.

By rule 2:  $x$  and  $x + 1$  are opposite parity, hence  $x + [x + 1]$  must be odd.

# Direct Proof

---

**Solution:** We wish to show  $(x + [x + 1])^2$  is odd.

By rule 2:  $x$  and  $x + 1$  are opposite parity, hence  $x + [x + 1]$  must be odd.

Algebra:  $(x + [x + 1])^2 = 4x^2 + 4x + 1 = 2(2x^2 + 2x) + 1$

# Direct Proof

---

**Solution:** We wish to show  $(x + [x + 1])^2$  is odd.

By rule 2:  $x$  and  $x + 1$  are opposite parity, hence  $x + [x + 1]$  must be odd.

Algebra:  $(x + [x + 1])^2 = 4x^2 + 4x + 1 = 2(2x^2 + 2x) + 1$

By rule 1: Since  $2x^2 + 2x$  is an integer, then the above expression must be odd.

# Proof by Induction

---

**Usage:** You want to show that some sequence of objects has some property  $P$ .

# Proof by Induction

---

**Usage:** You want to show that some sequence of objects has some property  $P$ .

**Idea:** Show that the first of these objects has this property  $P$ . Then prove that if the  $i$ th object has property  $P$ , then the  $i+1$ st object also has property  $P$ .

# Proof by Induction

---

**Example:** Show that the sum of the first  $n$  positive integers is  $n(n + 1)/2$ .

# Proof by Induction

---

**Example:** Show that the sum of the first  $n$  positive integers is  $n(n + 1)/2$ .

**Solution:**

First, show that this holds for  $n = 1$ . Using the formula, the sum of the first 1 integers is:  $1(1 + 1)/2 = 1$ . Hence, the property holds for  $n = 1$ .



# Proof by Induction

---

**Example:** Show that the sum of the first  $n$  positive integers is  $n(n + 1)/2$ .

**Solution:**

First, show that this holds for  $n = 1$ . Using the formula, the sum of the first 1 integers is:  $1(1 + 1)/2 = 1$ . Hence, the property holds for  $n = 1$ .

Now, given that this property holds for  $n$ , show that it holds for  $n + 1$ . We have:  
 $1 + 2 + \dots + n + [n + 1] = n(n + 1)/2 + [n + 1] = (n + 1)(n + 2)/2$ . Hence, this holds for all  $n$  and we are finished.

# Proof by Contradiction

---

**Usage:** Is a given statement true? Useful almost everywhere.

# Proof by Contradiction

---

**Usage:** Is a given statement true? Useful almost everywhere.

**Idea:** Assume that the desired statement holds. Use this assumption to derive a mathematical or logical contradiction. This shows that our assumption is false.

# Proof by Contradiction

---

**Example:** Is  $\sqrt{2}$  rational?

# Proof by Contradiction

---

**Example:** Is  $\sqrt{2}$  rational?

**Solution:** Assume that  $\sqrt{2}$  is rational. Then  $\sqrt{2}$  can be represented as the fraction  $a / b$  in lowest terms for integers  $a, b$ , where one of  $a$  and  $b$  is odd.

# Proof by Contradiction

---

**Example:** Is  $\sqrt{2}$  rational?

**Solution:** Assume that  $\sqrt{2}$  is rational. Then  $\sqrt{2}$  can be represented as the fraction  $a / b$  in lowest terms for integers  $a, b$ , where one of  $a$  and  $b$  is odd.

If  $a / b = \sqrt{2} \rightarrow a^2 = 2b^2$ , hence  $a$  must be even, which implies that  $b$  is odd.

# Proof by Contradiction

---

**Example:** Is  $\sqrt{2}$  rational?

**Solution:** Assume that  $\sqrt{2}$  is rational. Then  $\sqrt{2}$  can be represented as the fraction  $a / b$  in lowest terms for integers  $a, b$ , where one of  $a$  and  $b$  is odd.

If  $a / b = \sqrt{2} \rightarrow a^2 = 2b^2$ , hence  $a$  must be even, which implies that  $b$  is odd.

Since  $a$  is even, it can be written as  $2k$  and  $a^2 = 4k^2 = 2b^2 \rightarrow 2k^2 = b^2$ . Hence,  $b$  must be even.

# Proof by Contradiction

---

**Example:** Is  $\sqrt{2}$  rational?

**Solution:** Assume that  $\sqrt{2}$  is rational. Then  $\sqrt{2}$  can be represented as the fraction  $a / b$  in lowest terms for integers  $a, b$ , where one of  $a$  and  $b$  is odd.

If  $a / b = \sqrt{2} \rightarrow a^2 = 2b^2$ , hence  $a$  must be even, which implies that  $b$  is odd.

Since  $a$  is even, it can be written as  $2k$  and  $a^2 = 4k^2 = 2b^2 \rightarrow 2k^2 = b^2$ . Hence,  $b$  must be even.

From above,  $b$  is both even and odd, which is a contradiction.



# Other Proof Techniques

1. Upper/Lower Bounding
  2. Existence/Uniqueness Proofs
  3. Proof by Casework/Exhaustion
-

# Upper/Lower Bounding Proofs

---

**Usage:** We wish to compare two values,  $a$  and  $b$ .

# Upper/Lower Bounding Proofs

---

**Usage:** We wish to compare two values,  $a$  and  $b$ .

**Idea:** Say we wish to prove  $a < b$ . In some cases, these quantities are difficult to compare directly. There are two things we can do:

1. We can find some *upper* bound on  $a$ , call it  $a'$ , and show  $a' < b$ .
2. Alternatively, we can find some *lower* bound on  $b$ , call it  $b'$ , and show  $a < b'$ .

# Upper/Lower Bounding Proofs

---

**Usage:** We wish to compare two values,  $a$  and  $b$ .

**Idea:** Say we wish to prove  $a < b$ . In some cases, these quantities are difficult to compare directly. There are two things we can do:

1. We can find some *upper* bound on  $a$ , call it  $a'$ , and show  $a' < b$ .
2. Alternatively, we can find some *lower* bound on  $b$ , call it  $b'$ , and show  $a < b'$ .

**Applications:** Prophet-Inequality and Bulow-Klemperer, both of which we will see soon in class!

# Existence and Uniqueness Proofs

---

**Usage:** We wish to show that there exists some object  $a$  with a particular property. Furthermore, we wish to show that it is the *only* object with this property.

# Existence and Uniqueness Proofs

---

**Usage:** We wish to show that there exists some object  $a$  with a particular property. Furthermore, we wish to show that it is the *only* object with this property.

**Idea:** For the existence part of the proof, either one can directly find this object with the desired property, or use a proof by contradiction to show one exists.

# Existence and Uniqueness Proofs

---

**Usage:** We wish to show that there exists some object  $a$  with a particular property. Furthermore, we wish to show that it is the *only* object with this property.

**Idea:** For the existence part of the proof, either one can directly find this object with the desired property, or use a proof by contradiction to show one exists.

For uniqueness, let  $a'$  be an alternate object with this property that holds. We will then try and prove that  $a = a'$ .

# Existence and Uniqueness Proofs

---

**Usage:** We wish to show that there exists some object  $a$  with a particular property. Furthermore, we wish to show that it is the *only* object with this property.

**Idea:** For the existence part of the proof, either one can directly find this object with the desired property, or use a proof by contradiction to show one exists.

For uniqueness, let  $a'$  be an alternate object with this property that holds. We will then try and prove that  $a = a'$ .

**Applications:** Banach fixed point theorem. Game of four.



# Proof by Casework/Exhaustion

---

**Usage:** We wish to show that a particular statement is true in some context that is naturally 'partitionable'.

# Proof by Casework/Exhaustion

---

**Usage:** We wish to show that a particular statement is true in some context that is naturally 'partitionable'.

**Idea:** We have a statement  $P$  that we wish to prove true in some context  $C$ . We partition  $C$  into events  $C_1 \dots C_n$  and show that  $P$  holds in each of  $C_1 \dots C_n$ .

# Proof by Casework/Exhaustion

---

**Usage:** We wish to show that a particular statement is true in some context that is naturally 'partitionable'.

**Idea:** We have a statement  $P$  that we wish to prove true in some context  $C$ . We partition  $C$  into events  $C_1 \dots C_n$  and show that  $P$  holds in each of  $C_1 \dots C_n$ .

**Applications:** The four color theorem that you might have heard of in other courses was proven using this technique!