

Midterm Exam Solutions

*Instructor: Anna Lysyanskaya***Problem 1: One-way functions and permutations**

Let $f : \{0, 1\}^* \mapsto \{0, 1\}^*$ be a one-way function. Let $p : \{0, 1\}^* \mapsto \{0, 1\}^*$ be a one-way permutation.

For each of the suggested implications below, prove or disprove that they are valid. That is to say, if an implication is valid, give a reduction. If it is not valid, give an example of a one-way function f and a one-way permutation p for which the implication is false. You may assume existence of one-way functions permutations.

Problem 2 from Problem Set 2 may serve as a helpful hint for a couple of these problems.

Example. Does it follow that $f(x)$ is a permutation?

Solution. It does not. Let $f'(x)$ be a one-way function. Let $f(x) = g(x)$ where $g(x)$ is as defined in Problem 2a of problem set 2. Then $f(x)$ is a one-way function (that's what is shown in that problem) but it cannot be a permutation because it ignores half of its input bits.

(a) Does it follow that $g(x) = f(f(x))$ is a one-way function?

Solution:

It does not follow. Let $p(x)$ be a one-way permutation. Consider the function $f(x)$:

$$f(x) = \begin{cases} 0^{2|x|} & \text{if } x = y \circ 0^{|x|/2} \\ p(x) \circ 0^{|x|} & \text{otherwise} \end{cases}$$

The function $f(x)$ always puts a bunch of zeroes at the end of its output. If its input happens to end with a bunch of zeroes, then it just ignores the input and outputs a string of zeroes. Note that $f(f(x)) = 0^{2|x|}$ for all strings x . Therefore, inverting $g(x) = f(f(x))$ is trivial.

However, note that $f(x)$ is a one-way function. Suppose it is not, and there is an adversary $\{A_k\}$ that inverts it non-negligibly often. Then let us invert the one-way permutation p . On input a random y , we must compute x such that $y = p(x)$. First, note that with high probability, x does not end in $|x|/2$ zeroes. Therefore, running $A_y(y \circ 0^{|y|})$ will non-negligibly often produce the value x such that $f(x) = p(x) \circ 0^{|y|} = y \circ 0^{|y|}$, and therefore $p(x) = y$.

(b) Does it follow that $g(x) = p(p(x))$ is a one-way permutation?

Solution:

Yes, it follows. Suppose that we have an algorithm $\{A_k\}$ that computes $g^{-1}(y)$ with non-negligible probability $\epsilon(k)$. Our goal is to build an algorithm $\{B_k\}$ that computes $p^{-1}(y)$ with probability $\epsilon(k)$.

Let $\{B_k\}$ be as follows: on input y , $|y| = k$, run $A_k(p(y))$. With probability $\epsilon(k)$, obtain x such that $z = p(p(x)) = p(y)$. Since p is a permutation, p^{-1} is well-defined, and so $y = p^{-1}(p(y)) = p^{-1}(p(p(x))) = p(x)$, and so x is what we are looking for.

(c) Does it follow that $g(x) = f(x) \circ p(x)$ is a one-way function? (Recall that \circ denotes concatenation.)

Solution:

No, it does not follow. Let $p'(x)$ be a one-way permutation. Let $p(x_1 \circ x_2) = p'(x_1) \circ x_2$, and $f(x_1 \circ x_2) = x_1 \circ p'(x_2)$, where by $x = x_1 \circ x_2$ we denote that x_1 is the most significant $\lceil |x|/2 \rceil$ bits of x , and x_2 is the remaining $\lfloor |x|/2 \rfloor$ bits. It is easy to see that both p and f are one-way permutations. Yet it is easy to compute x from $f(x) \circ p(x)$.

(d) Does it follow that, on input $p(x)$, one can efficiently compute $f(x)$?

Solution:

No, it does not follow. Consider p and f as in part (c). Then computing $f(x)$ from $p(x)$ is equivalent to inverting p' . Suppose an adversary $\{A_k\}$ who computes $f(x)$ from $p(x)$ with probability $\epsilon(k)$ is given. Suppose our input is y and our goal is to find the unique value x such that $p'(x) = y$. The reduction $\{B_{|y|}\}$ proceeds as follows: choose a random x_2 of the appropriate length and run $\{A_k(y \circ x_2)\}$, where k is chosen either $k = 2|y| - 1$ or $k = 2|y|$, so as to maximize $\epsilon(k)$. With probability $\epsilon(k)$, A_k outputs $f(x \circ x_2) = x \circ p'(x_2)$. $B_{|y|}$ will then output x .

Problem 2: The Blum-Rabin trapdoor permutation

Recall the definition of a family of trapdoor permutations. A trapdoor permutation family consists of algorithms $(G, M_{PK}, f_{PK}, f_{PK}^{-1})$. G generates a member of the family, that is to say, a public key PK that allows to efficiently evaluate the permutation f_{PK} , and the secret key SK that allows to efficiently invert f_{PK} . M_{PK} is the algorithm that efficiently samples the domain of the permutation f_{PK} .

For example, in RSA, the procedure G generates the modulus $n = pq$ and the exponent e , and sets $PK = (n, e)$ and $SK = d$, where $de \equiv 1 \pmod{\phi(n)}$. Furthermore, $M_{(n,e)} = \mathbb{Z}_n^*$, $f_{(n,e)}(x) = x^e \pmod{n}$, and $f_{(n,e)}^{-1}(y) = y^d \pmod{n}$.

$(G, M_{PK}, f_{PK}, f_{PK}^{-1})$ constitute a trapdoor permutation if f_{PK} is hard to invert. More formally, for all probabilistic polynomial-time adversaries $\{A_k\}$, there exists a negligible function $\nu(k)$ such that

$$\Pr[(PK, SK) \leftarrow G(1^k); y \leftarrow M_{PK}; x \leftarrow A_k(y) : f_{PK}(x) = y] = \nu(k)$$

Consider the following collection of algorithms:

Key generation The procedure $G(1^k)$ generates two k -bit primes, p and q , such that $p \equiv q \equiv 3 \pmod{4}$. It outputs $PK = n = pq$, and $SK = (p, q)$. (Such a modulus n is called a *Blum integer*.)

Domain The domain M_n of the permutation f_n consists of all the quadratic residues modulo n . More formally,

$$M_n = \{x \mid x \in \mathbb{Z}_n^* \wedge \exists u \text{ such that } x \equiv u^2 \pmod{n}\}$$

To sample from the domain, pick $u \leftarrow \mathbb{Z}_n^*$, and output $x = u^2 \pmod{n}$.

Computing the function The permutation f_n is squaring: $f_n(x) = x^2 \pmod{n}$.

Inverting the function To compute $f_n^{-1}(y)$, one must compute the value $x \in M_n$ such that $x^2 = y \pmod{n}$.

In this problem, you will prove that the algorithms given above constitute a family of trapdoor permutations.

(a) Show that f_n is a permutation. (Hint: work modulo p and q first, and then combine using the Chinese remainder theorem.)

Solution:

It is clear that f_n maps quadratic residues to quadratic residues. To show that it is a permutation, we must show that it is invertible.

We must show that each quadratic residue y has a (unique) square root that is itself a square. First, let us show this modulo p and q .

By Fact 6 of lecture notes 5-6, y has exactly two square roots modulo p . If one of them is a , then the other is $-a$, because (1) $a \neq -a$ (since one of them is odd, and the other must be even) and (2) $(-a)^2 = (p-a)^2 = p^2 - 2pa + a^2 = a^2 \pmod{p}$. Moreover, by Fact 8:

$$\left(\frac{-a}{p}\right) = \left(\frac{-1}{p}\right) \left(\frac{a}{p}\right) = (-1)^{(p-1)/2} \left(\frac{a}{p}\right) = (-1)^{2m+1} \left(\frac{a}{p}\right) = -\left(\frac{a}{p}\right)$$

i.e., exactly one of them is a square. Similarly, exactly one of y 's roots modulo q is a square. Without loss of generality, let these be a and b , respectively.

Let $x \in \mathbb{Z}_n^*$ be such that $x = a \pmod{p}$ and $x = b \pmod{q}$. By the Chinese remainder theorem, x exists (and x is unique). Moreover:

1. x is a square root of y : $x^2 = y$. This is because $x^2 = y \pmod{p, q}$, and therefore by the Chinese remainder theorem, $x^2 = y \pmod{n}$.
2. x is a quadratic residue: let $\alpha^2 = a \pmod{p}$ and $\beta^2 = b \pmod{q}$, then $\xi \in \mathbb{Z}_n^*$ such that $\xi = \alpha \pmod{p}$ and $\xi = \beta \pmod{q}$ (by the CRT, it exists) has the property that $\xi^2 = x \pmod{n}$.

Thus, $f^{-1}(y)$ exists for all quadratic residues y .

(b) Suppose that $p = 4m + 3$ is a prime and that a is a quadratic residue modulo p . Prove that a^{m+1} is a square root of a modulo p .

Solution:

Recall that by Fact 4, $a = g^{2v}$, where g is a generator modulo p . Therefore, $a^{(p-1)/2} = g^{v(p-1)} = (g^v)^{p-1} = 1$ by Fermat's little theorem.

Let us verify that $b = a^{m+1}$ is a square root of a , i.e., that $b^2 = a \bmod p$. Note that $2(m+1) = (2m+1) + 1 = (p-1)/2 + 1$.

$$b^2 = a^{2(m+1)} = a^{(p-1)/2+1} = a^{(p-1)/2} a = a$$

(c) Devise an efficient algorithm that, on input (p, q, y) , computes $x = f_{pq}^{-1}(y)$, i.e., x such that $x^2 = y \bmod n$, where $n = pq$ is a Blum integer.

Solution:

The algorithm will first use part (c) to compute square roots of y modulo p and q , denote them a and b respectively. Note that a and b are themselves quadratic residues, since they were obtained by exponentiating a quadratic residue y .

We will then compute $x \in \mathbb{Z}_n^*$ such that $x = a \bmod p$ and $x = b \bmod q$ using the Chinese remainder theorem. By Fact 10, x is a quadratic residue. By the CRT $x^2 = y$, since $x^2 = a^2 = y \bmod p$ and $x^2 = b^2 = y \bmod q$.

(d) Devise an efficient algorithm that, on input (n, a, b) , where $a, b \in \mathbb{Z}_n^*$, $a \not\equiv \pm b \bmod n$, and $a^2 \equiv b^2 \bmod n$, outputs a non-trivial divisor of n .

Solution:

Note that $a^2 = b^2 \bmod n$ implies that $(a-b)(a+b) \equiv 0 \bmod n$. Therefore $pq \mid (a-b)(a+b)$. But we are given that $pq \nmid (a-b)$ and $pq \nmid (a+b)$. Therefore, WLOG, $p \mid (a-b)$ and $q \mid (a+b)$. So we can output $p = \gcd(a-b, n)$.

(e) Let us assume that factoring Blum integers is infeasible. More precisely, assume that for all probabilistic polynomial-time adversaries $\{A_k\}$, there exists a negligible function $\nu(k)$ such that

$$\Pr[(n, (p, q)) \leftarrow G(1^k); p \leftarrow A_k(n) : p \mid n \wedge 1 < p < n] = \nu(k)$$

Show that under this assumption, it is infeasible to invert f_n . More precisely, show that for all probabilistic polynomial-time adversaries $\{A_k\}$, there exists a negligible function $\nu'(k)$ such that

$$\Pr[(n, (p, q)) \leftarrow G(1^k); y \leftarrow M_n; x \leftarrow A_k(n) : x^2 = y \bmod n] = \nu'(k)$$

(This fact is due to Michael Rabin.)

Solution:

Suppose we are given an adversary A who runs in polynomial time and inverts f_n with probability ϵ . We will construct an algorithm that also runs in polynomial time and factors n with probability $\epsilon/2$. If ϵ is a non-negligible function of the length of the modulus n (i.e., if f_n is not a family of OWP), this will contradict the hardness of factoring.

Note that, since each quadratic residue in \mathbb{Z}_n^* has exactly four square roots, the following experiments are equivalent:

- Experiment 1: choose $u \in \mathbb{Z}_n^*$ uniformly at random.

- Experiment 2: a random quadratic residue y and let u be chosen at random from y 's four square roots.

The algorithm is as follows:

1. Choose $u \leftarrow \mathbb{Z}_n^*$.
2. Let $v \leftarrow A(n, u^2 \bmod n)$.
3. If (1) $v^2 = u^2 \bmod n$ and (2) $v = \pm u \bmod n$, output $\gcd(u - v, n)$.

It is clear by part (d) that if the algorithm terminates, it outputs the factorization of n . We must now analyze the expected time this algorithm must run for before terminating.

The probability that (1) is satisfied is ϵ , because it is just the probability that A inverts f_n on a random input. The probability that (2) is satisfied given that (1) is satisfied, is $1/2$: since we can imagine that first $y = u^2$ is chosen, then v is computed, and then u is chosen as a random one of the square roots of y , and so the probability that $v = \pm u$ is $1/2$. Therefore, the probability that we factor n is $\epsilon/2$.