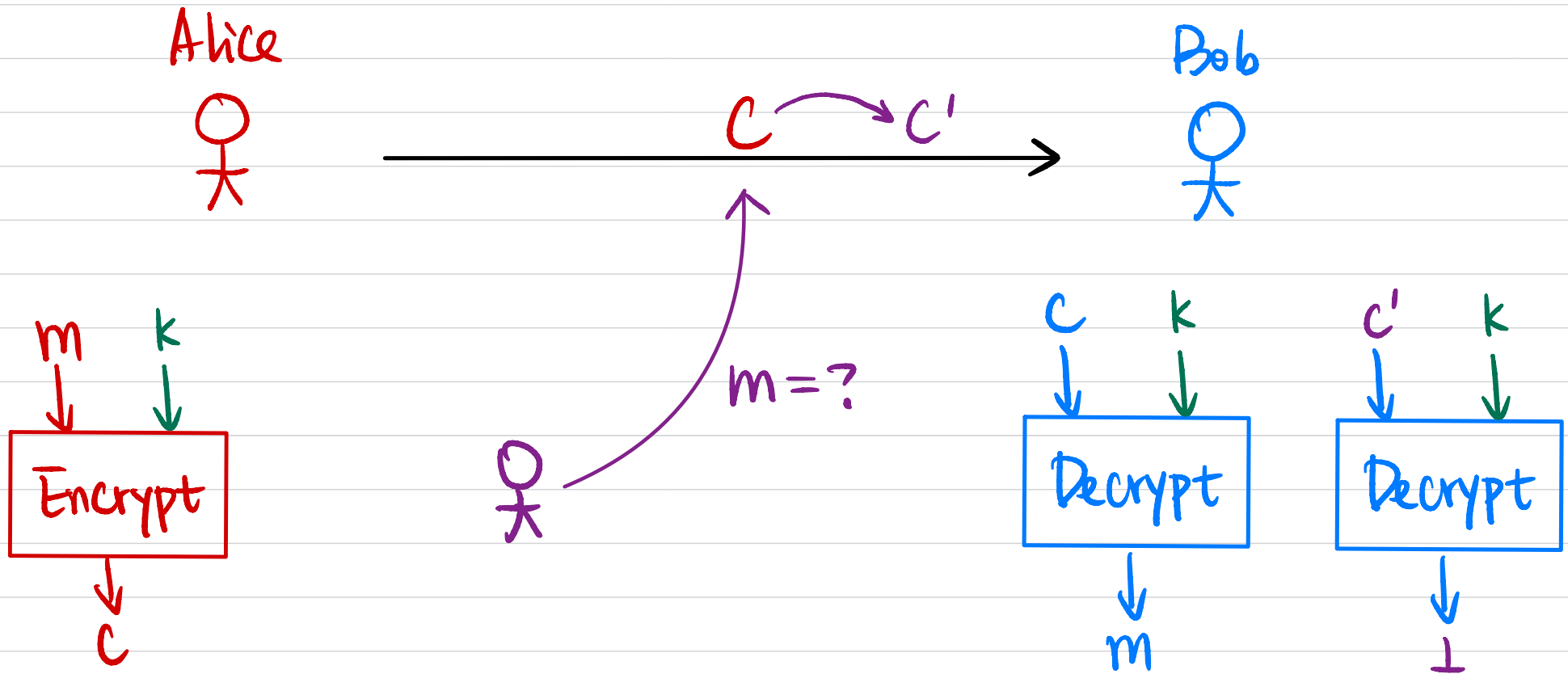


CSCI 1510

This Lecture:

- CCA-Security & Unforgeability
- Authenticated Encryption
- Generic Constructions

Authenticated Encryption

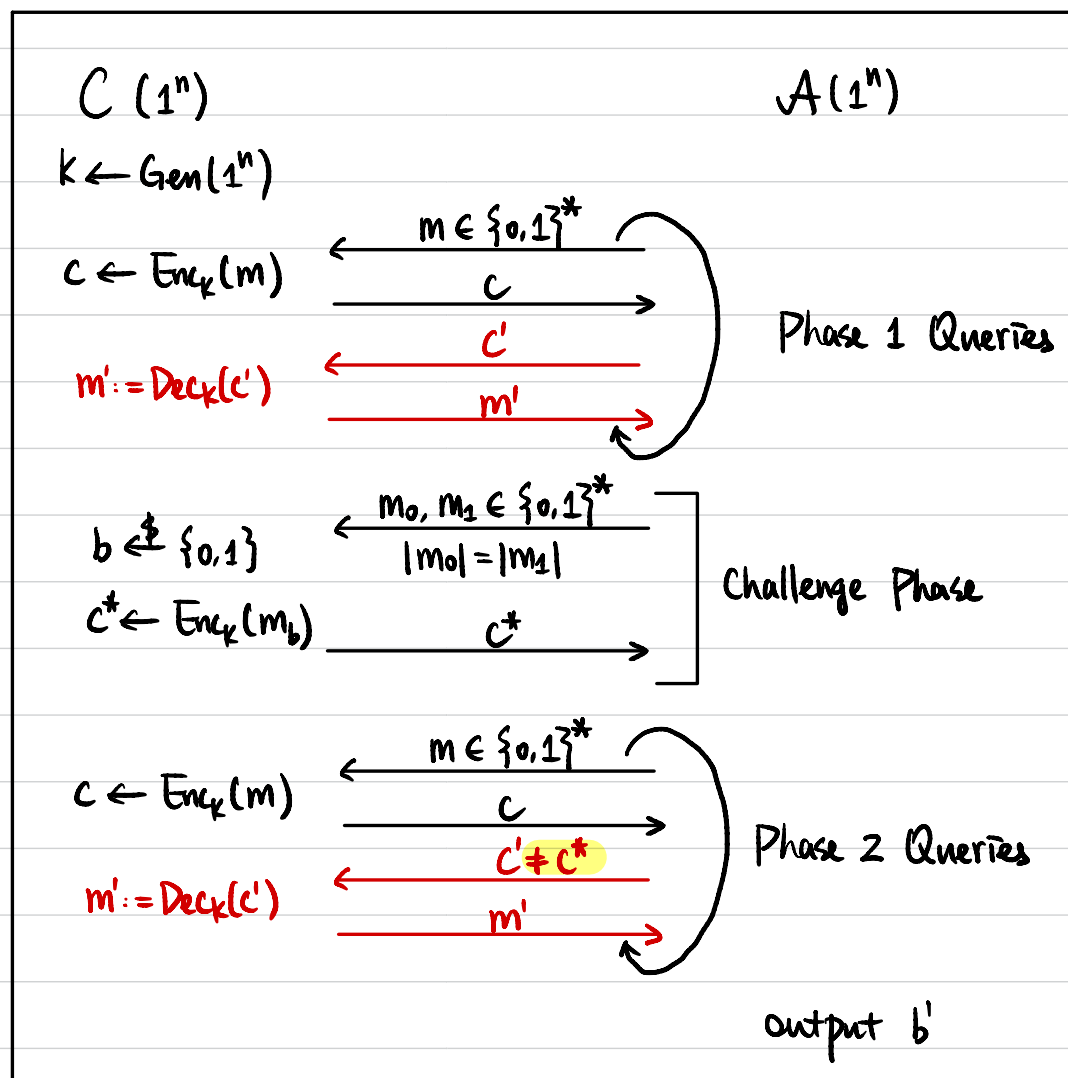


Security Guarantees:

- Message Secrecy: CCA Security
- Message Integrity: Unforgeability

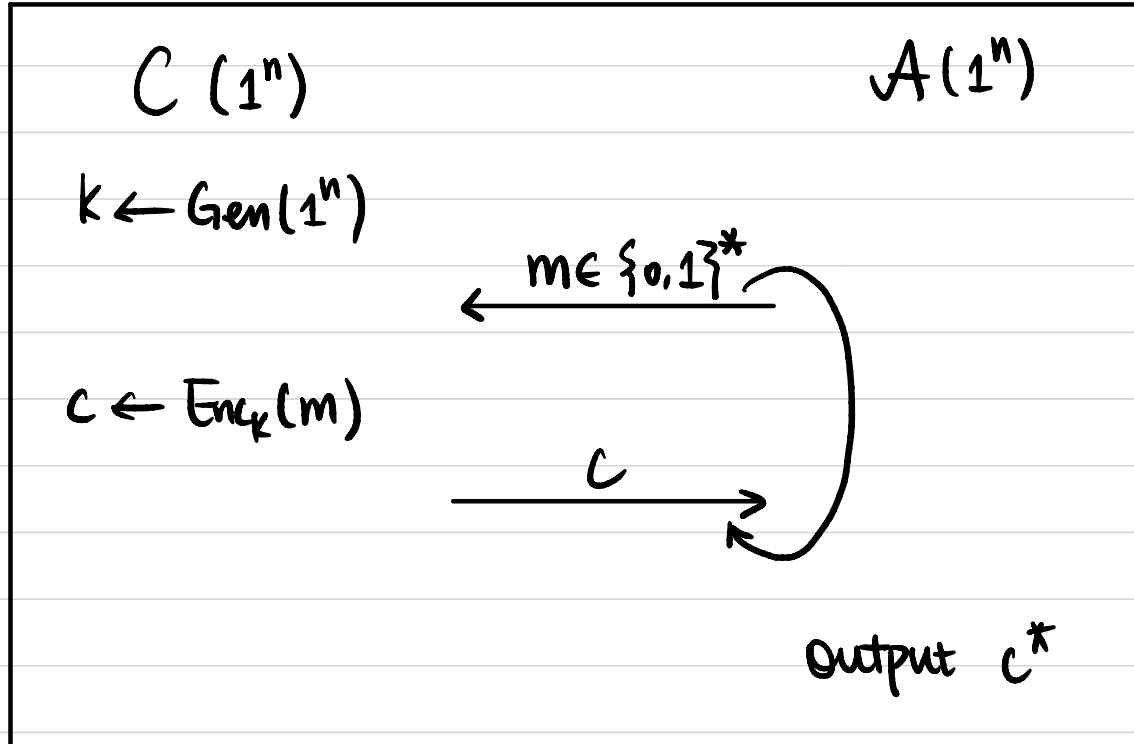
Chosen Ciphertext Attack (CCA) Security

Def A symmetric-key encryption scheme $(\text{Gen}, \text{Enc}, \text{Dec})$ is **secure against chosen ciphertext attacks**, or **CCA-secure**, if $\forall \text{PPT } A$,
 $\exists$ negligible function $\varepsilon(\cdot)$ s.t. $\Pr[b = b'] \leq \frac{1}{2} + \varepsilon(n)$



Unforgeability

Def A symmetric-key encryption scheme $\pi = (\text{Gen}, \text{Enc}, \text{Dec})$ is **Unforgeable** if $\forall \text{PPT } A, \exists \text{negligible function } \epsilon(\cdot)$ s.t. $\Pr[\text{EncForge}_{A,\pi} = 1] \leq \epsilon(n)$.



$$Q := \{m \mid m \text{ queried by } A\}$$
$$m^* := \text{Dec}_k(c^*)$$

$\text{EncForge}_{A,\pi} = 1$ (A succeeds) if

- ① $m^* \notin Q$, and
- ② $m^* \neq \perp$

Def A symmetric-key encryption scheme is **authenticated encryption** if it is **CCA-secure** and **unforgeable**.

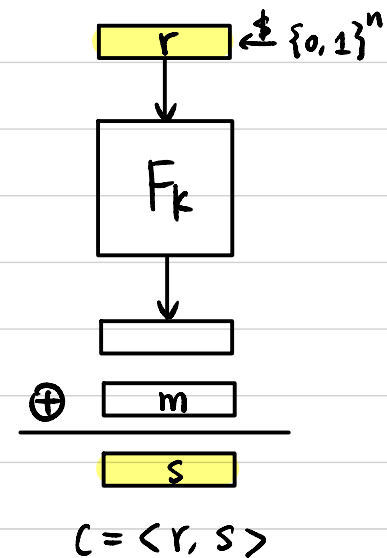
Exercises

Is the CPA-secure encryption from PRF CCA-secure? Unforgeable?

$\text{Enc}_k(m): m \in \{0,1\}^n$
 $r \xleftarrow{\$} \{0,1\}^n$
 output $C := \langle r, F_k(r) \oplus m \rangle$

Not CCA-secure:

$C \xleftarrow{m_0, m_1} A$
 $C = \langle r, s \rangle$
 $C' = \langle r, s' = s \oplus \tilde{m} \rangle$
 $\xleftarrow{m'}$
 $m' = m_0 \oplus \tilde{m} \text{ OR } m_1 \oplus \tilde{m} ?$



Not unforgeable: A can output an arbitrary $2n$ -bit string $\langle r, s \rangle$

Generic Constructions

Let $\pi^E = (\text{Gen}^E, \text{Enc}^E, \text{Dec}^E)$ be a CPA-secure encryption scheme.

Let $\pi^M = (\text{Gen}^M, \text{Mac}^M, \text{Vrfy}^M)$ be a strongly secure MAC scheme.

How to construct an authenticated encryption scheme?

- ① Encrypt-and-Authenticate
- ② Authenticate-then-Encrypt
- ③ Encrypt-then-Authenticate

Encrypt-and-Authenticate

Gen(1^n):

$$k^E \leftarrow \text{Gen}^E(1^n)$$

$$k^M \leftarrow \text{Gen}^M(1^n)$$

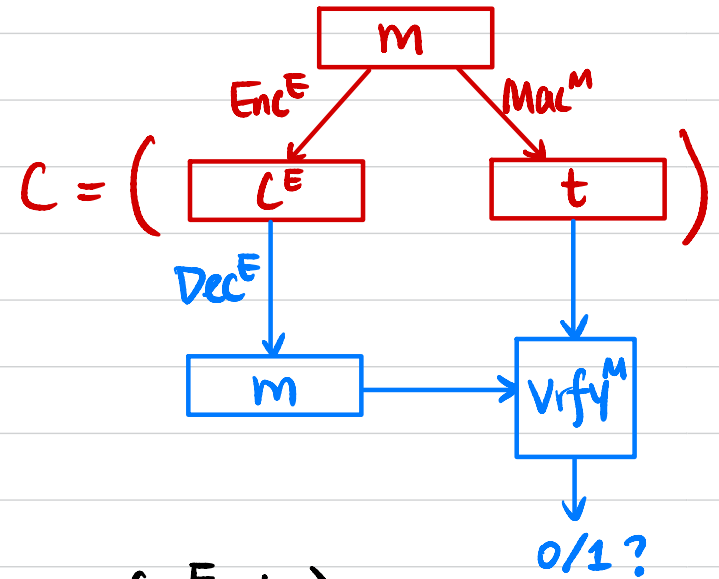
Output $k = (k^E, k^M)$

Enc_k(m):

$$c^E \leftarrow \text{Enc}^E(k^E, m)$$

$$t \leftarrow \text{Mac}^M(k^M, m)$$

output $c = (c^E, t)$



Dec_k(c): $c = (c^E, t)$

$$m := \text{Dec}^E(k^E, c^E)$$

$$b := \text{Vrfy}^M(k^M, (m, t))$$

If $b=1$, output m

Otherwise output $\perp$

Q₁: Is it CPA-secure? **No!**

Q₂: Is it CCA-secure? **No!**

Q₃: Is it unforgeable? **Yes!**

Π is not necessarily CPA-secure.

Step 1: Let $\tilde{\Pi} = (\tilde{\text{Gen}}^M, \tilde{\text{Mac}}^M, \tilde{\text{Vrfy}}^M)$ be a strongly secure MAC scheme.

Construct $\Pi^M = (\text{Gen}^M, \text{Mac}^M, \text{Vrfy}^M)$ as follows:

- $\text{Gen}^M(1^n)$: same as $\tilde{\text{Gen}}^M$.
- $\text{Mac}^M(k^M, m)$: $\tilde{t} \leftarrow \tilde{\text{Mac}}^M(k^M, m)$

Output $t = \tilde{t} \| m$

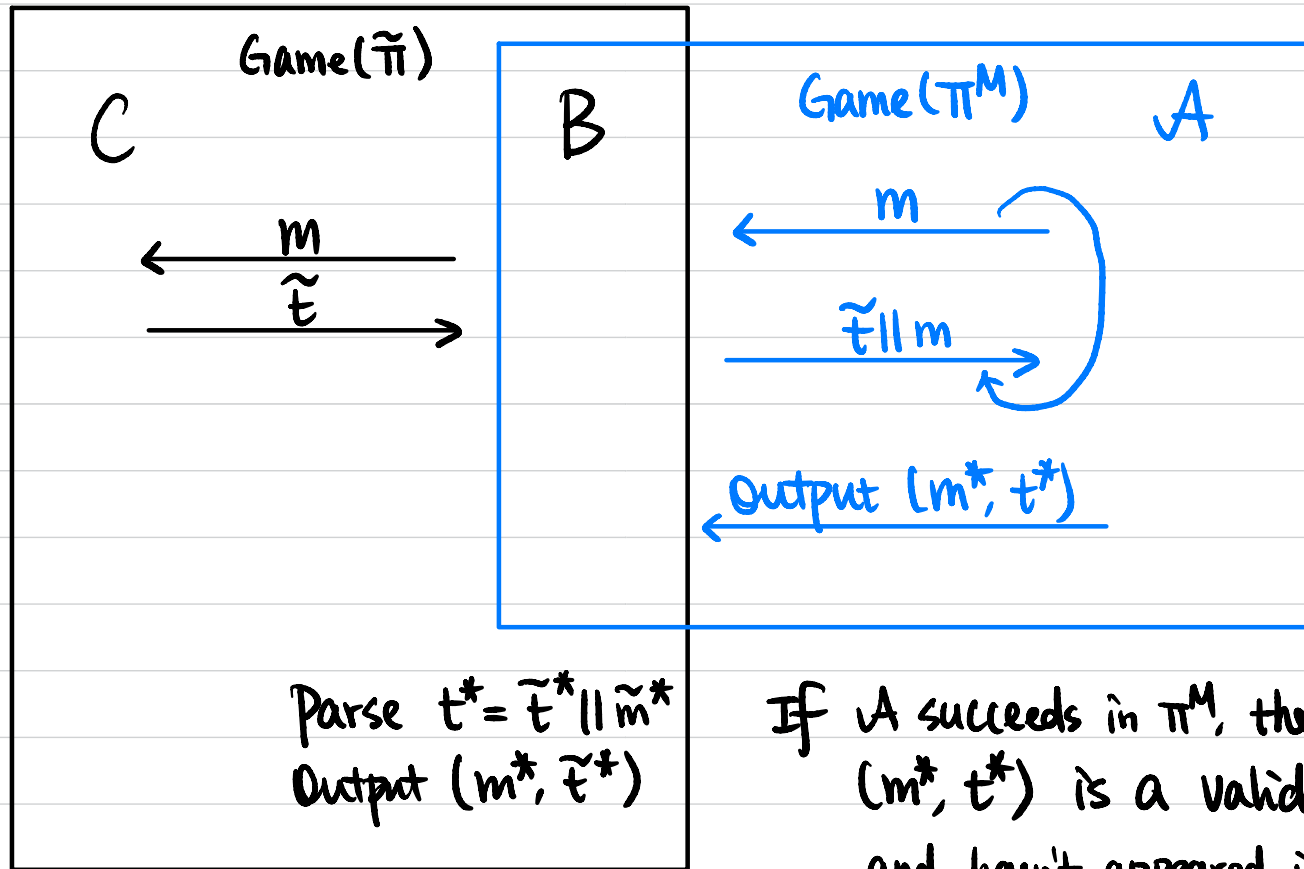
- $\text{Vrfy}^M(k^M, (m, t))$: Parse $t = \tilde{t} \| \tilde{m}$

Output 1 iff $\tilde{\text{Vrfy}}^M(k^M, (\tilde{t}, m)) = 1 \wedge m = \tilde{m}$.

Step 2: If $\tilde{\pi}$ is strongly secure, then π^M is also strongly secure.

Proof Assume not, then $\exists$ PPT A that breaks π^M

We construct PPT B to break $\tilde{\pi}$

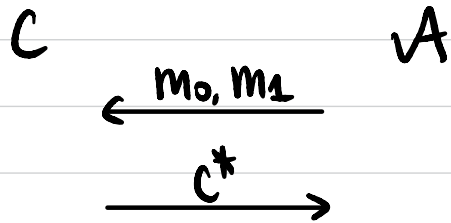


If A succeeds in π^M , then (m^*, t^*) is a valid pair for π^M and hasn't appeared in the queries.

So $(m^*, \tilde{t}^*)$ is a valid pair for $\tilde{\pi}$ and hasn't appeared in the queries.

$$\Pr[B \text{ succeeds in } \tilde{\pi}] = \Pr[A \text{ succeeds in } \pi^M] \geq \text{non-negl}(n).$$

Step 3: Π instantiated with π^M is not CPA-secure.

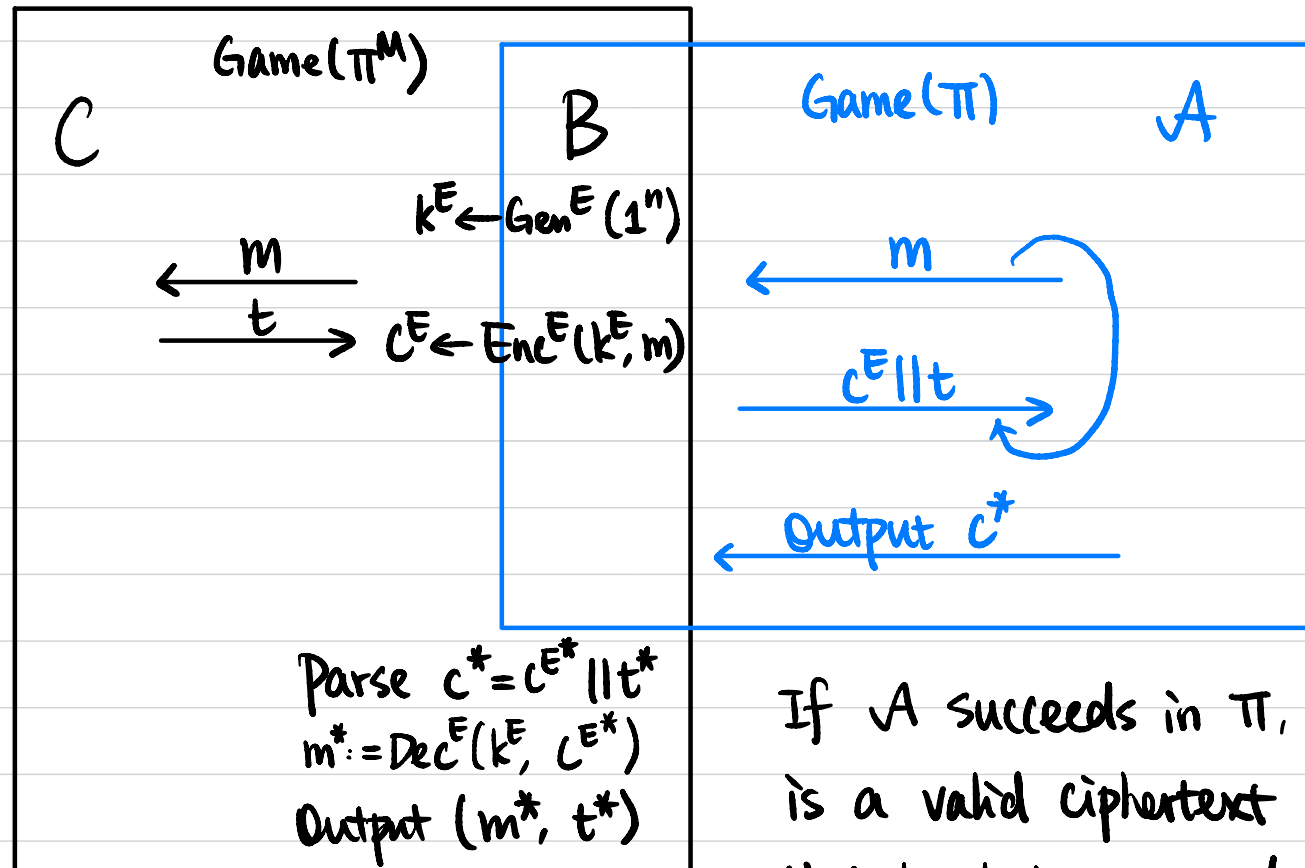


$$c^* = \langle c^{E^*}, t^* = \tilde{t}^* || m^* \rangle$$
$$m^* = m_0 \text{ or } m_1 ?$$

Thm If Π^M is strongly secure, then $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$ is unforgeable.

Proof Assume not, then $\exists$ PPT A that breaks the unforgeability of Π .

We construct PPT B to break the strong security of Π^M .



If A succeeds in Π , then $c^* = c^{E^*} || t^*$ is a valid ciphertext for a message m^* that hasn't been queried.

So (m^*, t^*) is a valid pair for Π^M and hasn't appeared in the queries.

$$\Pr[B \text{ succeeds in } \Pi^M] = \Pr[A \text{ succeeds in } \Pi] \geq \text{non-negl}(n).$$

Authenticate-then-Encrypt

Gen(1^n):

$$k^E \leftarrow \text{Gen}^E(1^n)$$

$$k^M \leftarrow \text{Gen}^M(1^n)$$

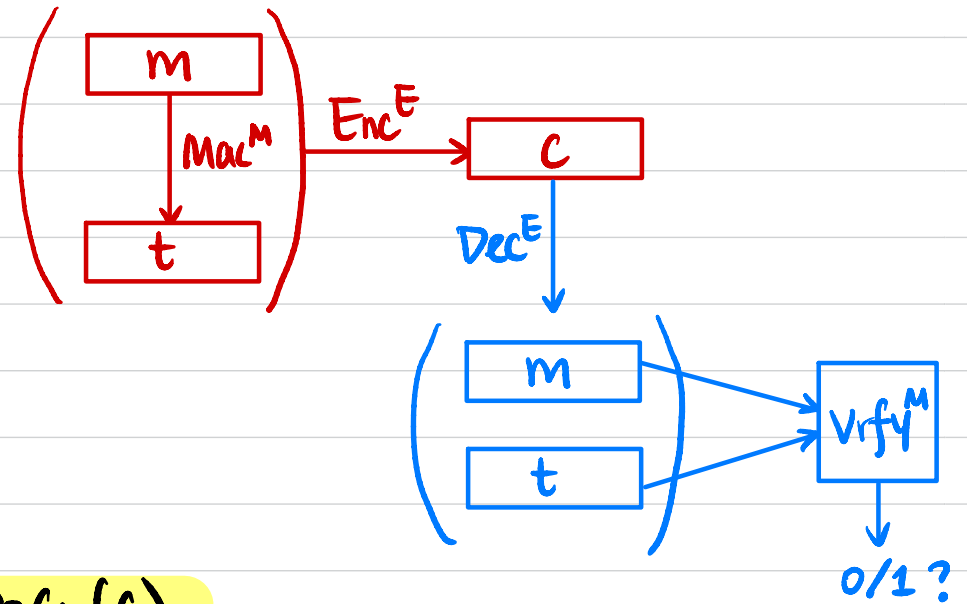
Output $k = (k^E, k^M)$

Enc $_k(m)$:

$$t \leftarrow \text{Mac}^M(k^M, m)$$

$$c \leftarrow \text{Enc}^E(k^E, m || t)$$

output c



Dec $_k(c)$:

$$m || t := \text{Dec}^E(k^E, c)$$

$$b := \text{Vrfy}^M(k^M, (m, t))$$

If $b=1$, output m

Otherwise output $\perp$

Q₁: Is it CPA-secure? (exercise)

Q₂: Is it CCA-secure? No!

Q₃: Is it unforgeable? (exercise)

Π is not necessarily CCA-secure.

Step 1: Let $\tilde{\Pi} = (\tilde{\text{Gen}}^E, \tilde{\text{Enc}}^E, \tilde{\text{Dec}}^E)$ be a CPA-secure encryption scheme.

Construct $\Pi^E = (\text{Gen}^E, \text{Enc}^E, \text{Dec}^E)$ as follows:

- $\text{Gen}^E(1^n)$: same as $\tilde{\text{Gen}}^E$.

- $\text{Enc}^E(k^E, m)$: $\tilde{c}^E \leftarrow \tilde{\text{Enc}}^E(k^E, m)$

$b \leftarrow \{0, 1\}$

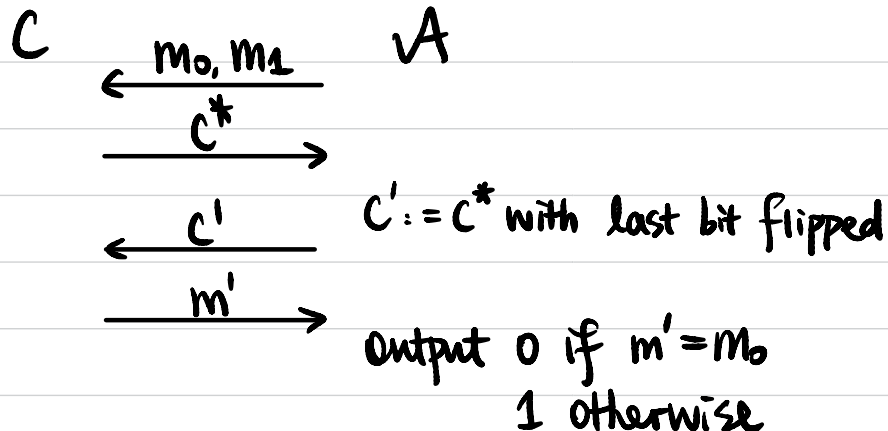
Output $c^E = \tilde{c}^E \parallel b$ ← or always attach 0

- $\text{Dec}^E(k^E, c^E)$: Parse $c^E = \tilde{c}^E \parallel b$

Output $\tilde{\text{Dec}}^E(k^E, \tilde{c}^E)$

Step 2: If $\tilde{\Pi}$ is CPA-secure, then Π^E is also CPA-secure. (exercise)

Step 3: Π instantiated with Π^M is not CCA-secure



Encrypt-then-Authenticate

Gen(1^n):

$$k^E \leftarrow \text{Gen}^E(1^n)$$

$$k^M \leftarrow \text{Gen}^M(1^n)$$

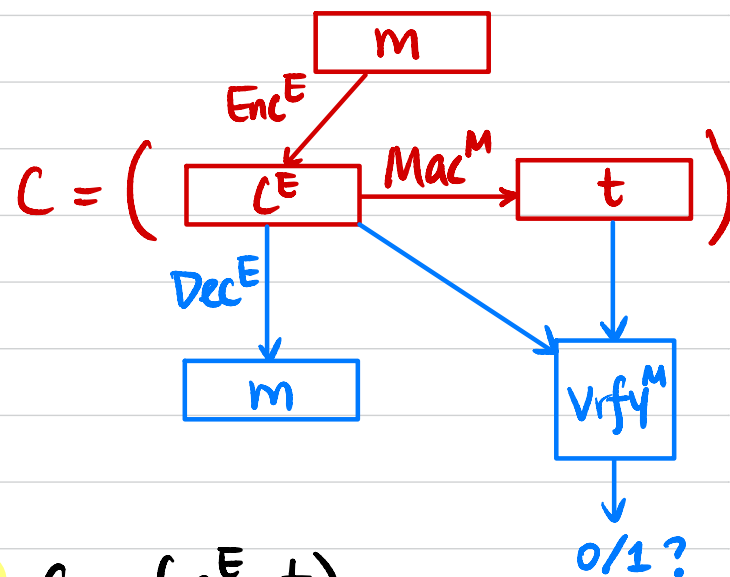
Output $k = (k^E, k^M)$

Enc_k(m):

$$c^E \leftarrow \text{Enc}^E(k^E, m)$$

$$t \leftarrow \text{Mac}^M(k^M, c^E)$$

output $c = (c^E, t)$



Dec_k(c): $c = (c^E, t)$

$$m := \text{Dec}^E(k^E, c^E)$$

$$b := \text{Vrfy}^M(k^M, (c^E, t))$$

If $b=1$, output m

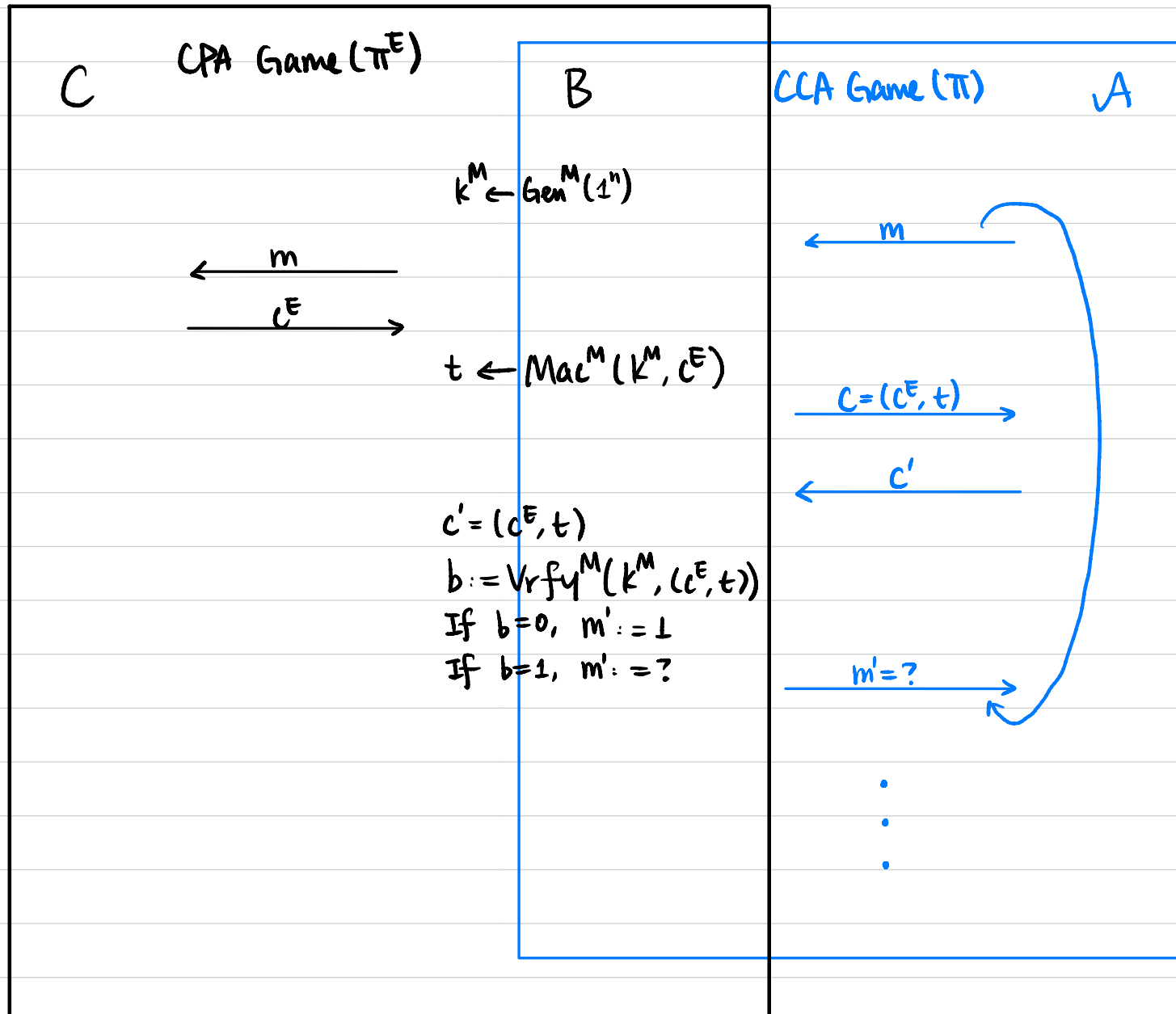
Otherwise output $\perp$

Q1: Is it CPA-secure? (exercise)

Q2: Is it CCA-secure?

Q3: Is it unforgeable? (exercise)

First Attempt: Assume $\exists$ PPT A that breaks the CCA-security of Π .
 We construct PPT B to break the CPA-security of Π^E .



$C(1^n)$ $\mathcal{H}_0$ $A(1^n)$

$$k^E \leftarrow \text{Gen}^E(1^n)$$

$$k^M \leftarrow \text{Gen}^M(1^n)$$

$$c^E \leftarrow \text{Enc}^E(k^E, m)$$

$$t \leftarrow \text{Mac}^M(k^M, c^E)$$

 $\xleftarrow{m}$ $\xrightarrow{c=(c^E, t)}$

$$c = (c^E, t)$$

 $\xleftarrow{c}$

$$\tilde{b} := \text{Vrfy}^M(k^M, (c^E, t))$$

$$\text{If } \tilde{b}=1, m := \text{Dec}^E(k^E, c^E)$$

$$\text{Otherwise } m := \perp$$

 $\xrightarrow{m}$

$$b \leftarrow \{0, 1\}$$

 $\xleftarrow{m_0, m_1}$

$$c^{E*} \leftarrow \text{Enc}^E(k^E, m_b)$$

$$t^* \leftarrow \text{Mac}^M(k^M, c^{E*})$$

 $\xrightarrow{c^*=(c^{E*}, t^*)}$ $\xleftarrow{m}$ $\xrightarrow{c=(c^E, t)}$ $\xleftarrow{c \neq c^*}$ $\xrightarrow{m}$ output b' $C(1^n)$ $\mathcal{H}_1$ $A(1^n)$

$$k^E \leftarrow \text{Gen}^E(1^n)$$

$$k^M \leftarrow \text{Gen}^M(1^n)$$

$$c^E \leftarrow \text{Enc}^E(k^E, m)$$

$$t \leftarrow \text{Mac}^M(k^M, c^E)$$

 $\xleftarrow{m}$ $\xrightarrow{c=(c^E, t)}$

$$c = (c^E, t)$$

 $\xleftarrow{c}$

If c is encryption of m
queried by A , reply m ;

Otherwise reply $\perp$

 $\xrightarrow{m}$

$$b \leftarrow \{0, 1\}$$

 $\xleftarrow{m_0, m_1}$

$$c^{E*} \leftarrow \text{Enc}^E(k^E, m_b)$$

$$t^* \leftarrow \text{Mac}^M(k^M, c^{E*})$$

 $\xrightarrow{c^*=(c^{E*}, t^*)}$ $\xleftarrow{m}$ $\xrightarrow{c=(c^E, t)}$ $\xleftarrow{c \neq c^*}$ $\xrightarrow{m}$ output b'

Lemma 1 $\forall$ PPT A , $|\Pr[A \text{ outputs } 1 \text{ in } H_0] - \Pr[A \text{ outputs } 1 \text{ in } H_1]| \leq \text{negl}(n)$.

Proof Assume not, then $\exists$ PPT A that distinguishes H_0 & H_1 with non-negligible probability $\epsilon(n)$.

We construct a PPT B to break the strong security of Π^M .

Lemma 2 $\forall \text{PPT } A, |\Pr[b=b' \text{ in } \mathcal{H}_2]| \leq \text{negl}(n)$.

Proof Assume not, then $\exists \text{PPT } A$ s.t. $|\Pr[b=b' \text{ in } \mathcal{H}_2]| \geq \text{non-negl}(n)$.

We construct a PPT B to break the CPA-security of π^E .