

Efficiently Building a Matrix to Rotate One Vector to Another

Tomas Möller
Chalmers University of Technology

John F. Hughes
Brown University

Abstract. We describe an efficient (no square roots or trigonometric functions) method to construct the 3×3 matrix that rotates a unit vector \mathbf{f} into another unit vector \mathbf{t} , rotating about the axis $\mathbf{f} \times \mathbf{t}$. We give experimental results showing this method is faster than previously known methods. An implementation in C is provided.

1. Introduction

Often in graphics, we have a unit vector, \mathbf{f} , that we wish to rotate to another unit vector, \mathbf{t} , by rotation in a plane containing both; in other words, we seek a rotation matrix $\mathbf{R}(\mathbf{f}, \mathbf{t})$ such that $\mathbf{R}(\mathbf{f}, \mathbf{t})\mathbf{f} = \mathbf{t}$. This paper describes a method to compute the matrix $\mathbf{R}(\mathbf{f}, \mathbf{t})$ from the coordinates of \mathbf{f} and \mathbf{t} , without square root or trigonometric functions. Fast and robust C code can be found on the accompanying Web site.

2. Derivation

Rotation from \mathbf{f} to \mathbf{t} could be generated by letting $\mathbf{u} = \mathbf{f} \times \mathbf{t} / \|\mathbf{f} \times \mathbf{t}\|$, and then rotating about the unit vector \mathbf{u} by $\theta = \arccos(\mathbf{f} \cdot \mathbf{t})$. A formula for the matrix that rotates about \mathbf{u} by θ is given in Foley et al. [Foley et al. 90],

namely

$$\begin{pmatrix} u_x^2 + (1 - u_x^2) \cos \theta & u_x u_y (1 - \cos \theta) - u_z \sin \theta & u_x u_z + u_y \sin \theta \\ u_x u_y (1 - \cos \theta) + u_z \sin \theta & u_y^2 + (1 - u_y^2) \cos \theta & u_y u_z (1 - \cos \theta) - u_x \sin \theta \\ u_x u_z (1 - \cos \theta) - u_y \sin \theta & u_y u_z (1 - \cos \theta) + u_x \sin \theta & u_z^2 + (1 - u_z^2) \cos \theta \end{pmatrix}$$

The above involves $\cos(\theta)$, which is just $\mathbf{f} \cdot \mathbf{t}$, and $\sin(\theta)$, which is $\|\mathbf{f} \times \mathbf{t}\|$.

If we instead let

$$\begin{aligned} \mathbf{v} &= \mathbf{f} \times \mathbf{t} \\ c &= \mathbf{f} \cdot \mathbf{t} \\ h &= \frac{1 - c}{1 - c^2} = \frac{1 - c}{\mathbf{v} \cdot \mathbf{v}} \end{aligned}$$

then, after considerable algebra, one can simplify the matrix to

$$\mathbf{R}(\mathbf{f}, \mathbf{t}) = \begin{pmatrix} c + hv_x^2 & hv_x v_y - v_z & hv_x v_z + v_y \\ hv_x v_y + v_z & c + hv_y^2 & hv_y v_z - v_x \\ hv_x v_z - v_y & hv_y v_z + v_x & c + hv_z^2 \end{pmatrix} \quad (1)$$

Note that this formula for $\mathbf{R}(\mathbf{f}, \mathbf{t})$ has no square roots or trigonometric functions.

When \mathbf{f} and \mathbf{t} are nearly parallel (i.e., $|\mathbf{f} \cdot \mathbf{t}| > 0.99$), the computation of the plane that they define (and the normal to that plane, which will be the axis of rotation) is numerically unstable; this is reflected in our formula by the denominator of h becoming close to zero.

In this case, we observe that a product of two reflections (angle-preserving transformations of determinant -1) is always a rotation, and that reflection matrices are easy to construct: For any vector \mathbf{u} , the Householder matrix [Golub, Van Loan 96]

$$\mathbf{H}(\mathbf{u}) = \mathbf{I} - \frac{2}{\mathbf{u} \cdot \mathbf{u}} \mathbf{u} \mathbf{u}^t$$

reflects the vector \mathbf{u} to $-\mathbf{u}$, and leaves fixed all vectors orthogonal to \mathbf{u} . In particular, if \mathbf{p} and \mathbf{q} are unit vectors, then $\mathbf{H}(\mathbf{q} - \mathbf{p})$ exchanges \mathbf{p} and \mathbf{q} , leaving $\mathbf{p} + \mathbf{q}$ fixed.

With this in mind, we choose a unit vector \mathbf{p} and build two reflection matrices: one that swaps \mathbf{f} and \mathbf{p} , and the other that swaps \mathbf{t} and \mathbf{p} . The product of these is a rotation that takes \mathbf{f} to \mathbf{t} .

To choose \mathbf{p} , we determine which coordinate axis (x , y , or z) is most nearly orthogonal to \mathbf{f} (the one for which the corresponding coordinate of \mathbf{f} is smallest in absolute value) and let \mathbf{p} be a unit vector along that axis. We then build $\mathbf{A} = \mathbf{H}(\mathbf{p} - \mathbf{f})$, and $\mathbf{B} = \mathbf{H}(\mathbf{p} - \mathbf{t})$, and the rotation we want is $\mathbf{R} = \mathbf{B}\mathbf{A}$.

That is, if we let

$$\begin{aligned} \mathbf{p} &= \begin{cases} \hat{\mathbf{x}}, & \text{if } |f_x| < |f_y| \text{ and } |f_x| < |f_z| \\ \hat{\mathbf{y}}, & \text{if } |f_y| < |f_x| \text{ and } |f_y| < |f_z| \\ \hat{\mathbf{z}}, & \text{if } |f_z| < |f_x| \text{ and } |f_z| < |f_y| \end{cases} \\ \mathbf{u} &= \mathbf{p} - \mathbf{f} \\ \mathbf{v} &= \mathbf{p} - \mathbf{t}, \end{aligned}$$

then the entries of \mathbf{R} are given by

$$r_{ij} = \delta_{ij} - \frac{2}{\mathbf{u} \cdot \mathbf{u}} u_i u_j - \frac{2}{\mathbf{v} \cdot \mathbf{v}} v_i v_j + \frac{4\mathbf{u} \cdot \mathbf{v}}{(\mathbf{u} \cdot \mathbf{u})(\mathbf{v} \cdot \mathbf{v})} v_i u_j \quad (2)$$

where $\delta_{ij} = 1$ when $i = j$ and $\delta_{ij} = 0$ when $i \neq j$.

3. Performance

We tested the new method for performance against all previously known (by the authors) methods for rotating a unit vector into another unit vector. A naive way to rotate \mathbf{f} into \mathbf{t} is to use quaternions to build the rotation directly: Letting $\mathbf{u} = \mathbf{v}/\|\mathbf{v}\|$, where $\mathbf{v} = \mathbf{f} \times \mathbf{t}$, and letting $\phi = (1/2) \arccos(\mathbf{f} \cdot \mathbf{t})$, we define $\mathbf{q} = (\sin(\phi)\mathbf{u}; \cos \phi)$ and then convert the quaternion \mathbf{q} into a rotation via the method described by Shoemake [Shoemake 85]. This rotation takes \mathbf{f} to \mathbf{t} , and we refer to this method as Naive. The second is called Cunningham and is just a change of bases [Cunningham 90]. Goldman [Goldman 90] gives a routine for rotating around an arbitrary axis: in our third method we simplified his matrix for our purposes; this method is denoted Goldman. All three of these require that some vector be normalized; the quaternion method requires normalization of \mathbf{v} ; the Cunningham method requires that one input be normalized, and then requires normalization of the cross-product. Goldman requires the normalized axis of rotation. Thus, the requirement of unit-vector input in our algorithm is not exceptional.

For the statistics below, we used 1,000 pairs of random normalized vectors \mathbf{f} and \mathbf{t} . Each pair was fed to the matrix routines 10,000 times to produce accurate timings. Our timings were done on a Pentium II 400 MHz with compiler optimizations for speed on.

Routine:	Naive	Cunningham	Goldman	New Routine
Time (s):	18.6	13.2	6.5	4.1

The fastest of previous known methods (Goldman) still takes about 50% more time than our new routine, and the naive implementation takes almost 350%

more time. Similar performance can be expected on most other architectures, since square roots and trigonometric functions are expensive to use.

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Web Information:

<http://www.acm.org/jgt/papers/MollerHughes99>

Tomas Möller, Chalmers University of Technology, Department of Computer Engineering, 412 96 Gothenburg, Sweden (tompa@acm.org) *Currently at U.C. Berkeley.*

John F. Hughes, Brown University, Computer Science Department, 115 Waterman Street, Providence, RI 02912 (jfh@cs.brown.edu)

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