

# **Graph Drawing Tutorial**

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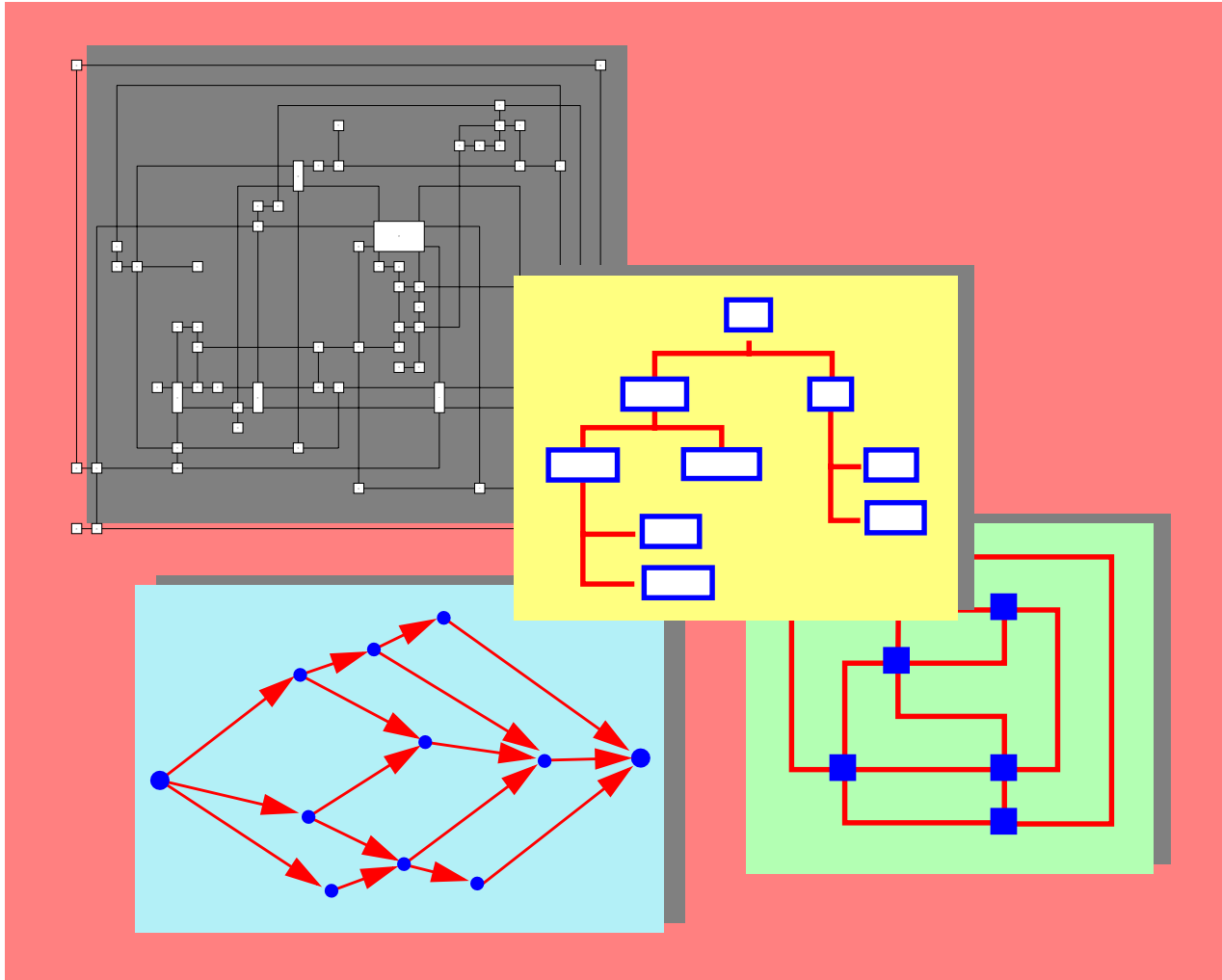
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# **Introduction**

# Graph Drawing

- models, algorithms, and systems for the visualization of *graphs* and *networks*

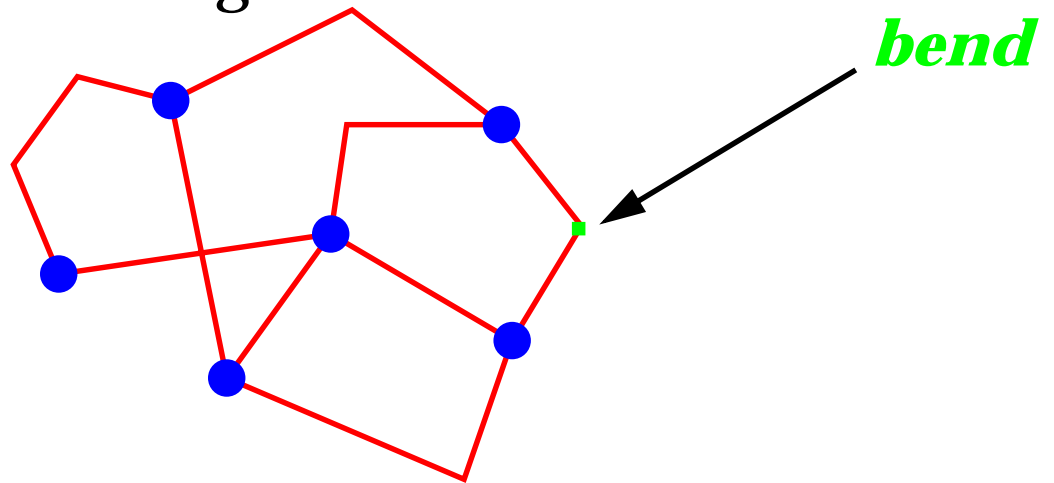


- applications to *software engineering* (class hierarchies), *database systems* (ER-diagrams), *project management* (PERT diagrams), *knowledge representation* (isa hierarchies), *telecommunications* (ring covers), *WWW* (browsing history) ...

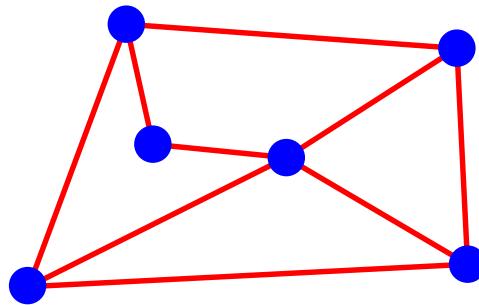
# Drawing Conventions

- *general constraints* on the geometric representation of vertices and edges

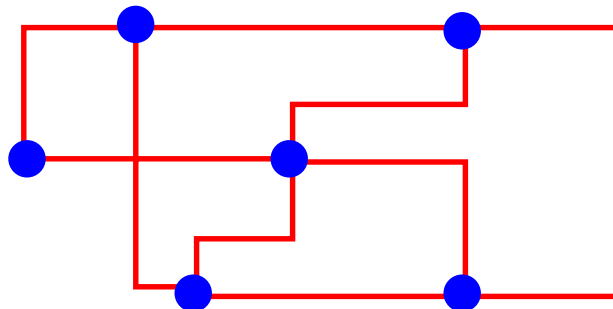
*polyline* drawing



*planar straight-line* drawing

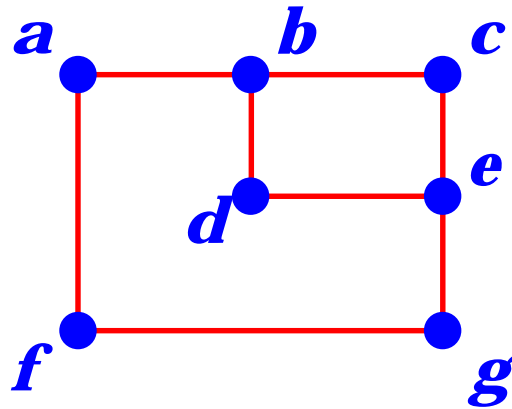


*orthogonal* drawing

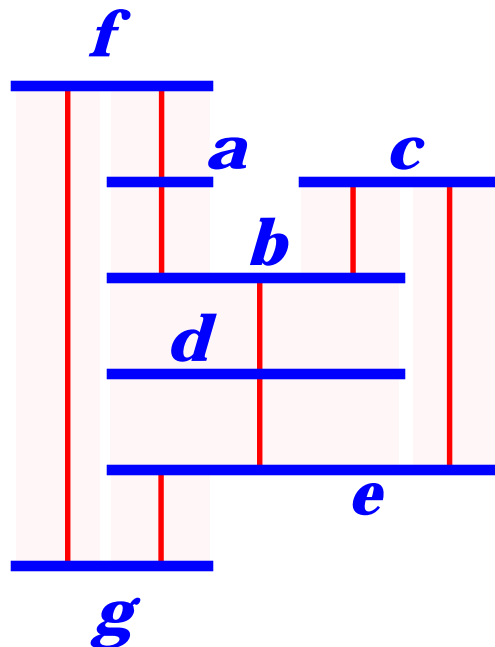


# Drawing Conventions

*planar othogonal straight-line* drawing

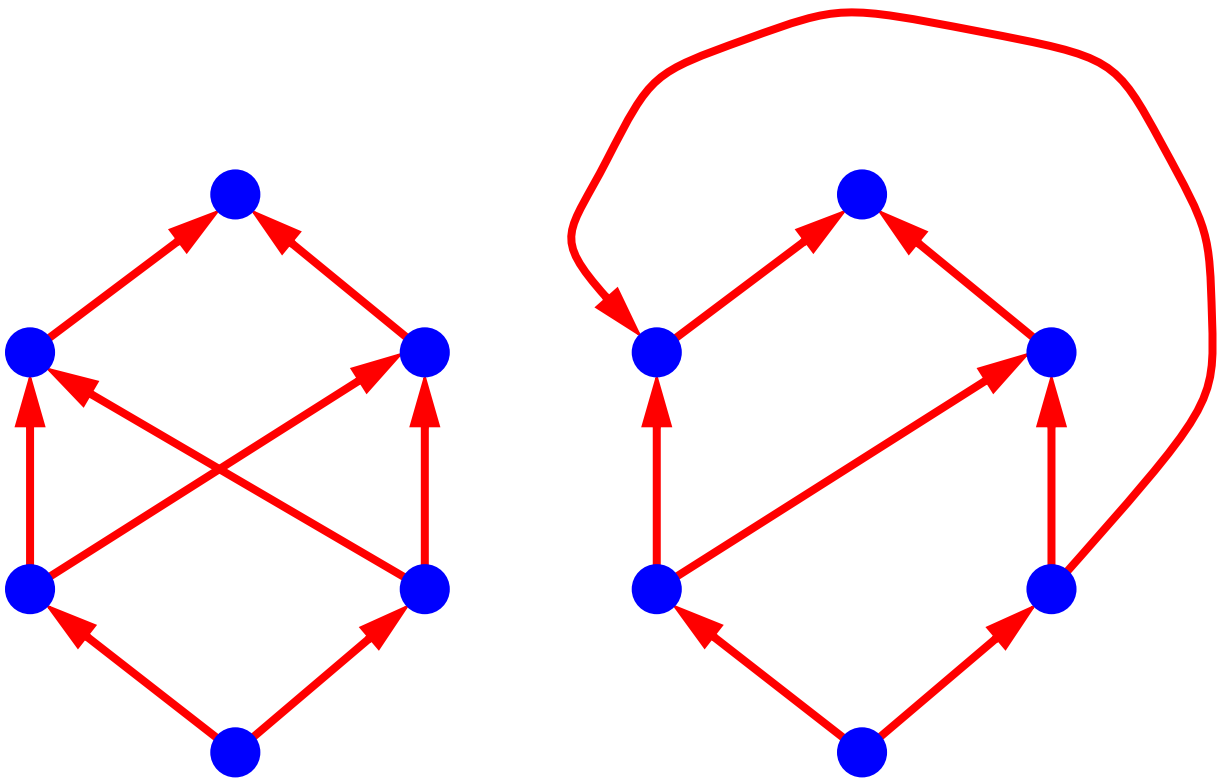


*strong visibility representation*



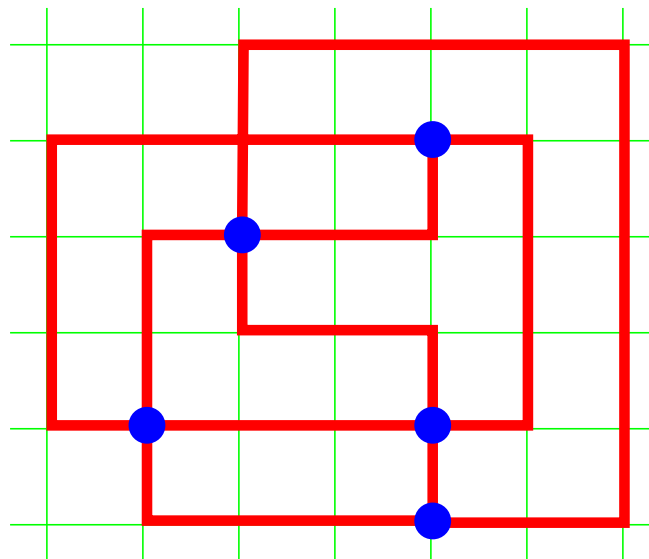
## Drawing Conventions

- directed acyclic graphs are usually drawn in such a way that all edges “flow” in the same direction, e.g., from left to right, or from bottom to top
- such *upward drawings* effectively visualize hierarchical relationships, such as covering digraphs of ordered sets
- not every planar acyclic digraph admits a planar upward drawing



# Resolution

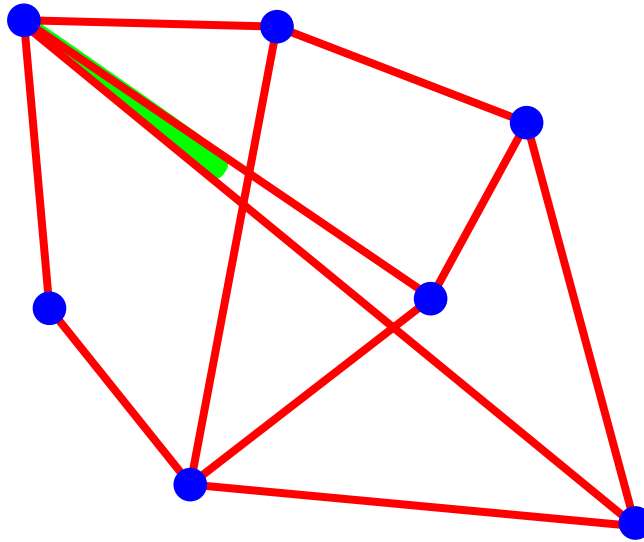
- display devices and the human eye have finite resolution
- examples of *resolution rules*:
  - integer coordinates for vertices and bends (*grid drawings*)



- prescribed minimum distance between vertices
- prescribed minimum distance between vertices and nonincident edges
- prescribed minimum angle formed by consecutive incident edges (*angular resolution*)

# Angular Resolution

- The **angular resolution**  $\rho$  of a straight-line drawing is the smallest angle formed by two edges incident on the same vertex



- **High angular resolution** is desirable in **visualization** applications and in the design of **optical communication** networks.
- A **trivial upper bound** on the angular resolution is

$$\rho \leq \frac{2\pi}{d}$$

where **d** is the maximum **vertex degree**.



## Aesthetic Criteria

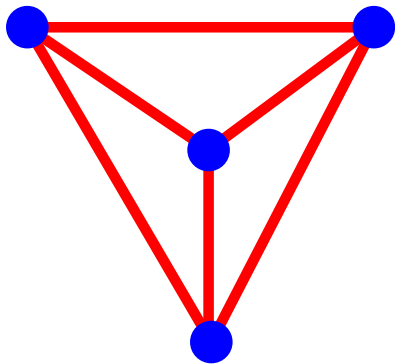
- some drawings are better than others in conveying information on the graph
- *aesthetic criteria* attempt to characterize readability by means of general *optimization* goals

## Examples

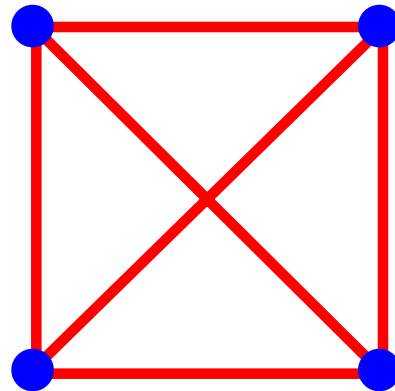
- minimize *crossings*
- minimize *area*
- minimize *bends* (in orthogonal drawings)
- minimize *slopes* (in polyline drawings)
- maximize *smallest angle*
- maximize display of *symmetries*

## Trade-Offs

- in general, one cannot simultaneously optimize two aesthetic criteria



min # crossings

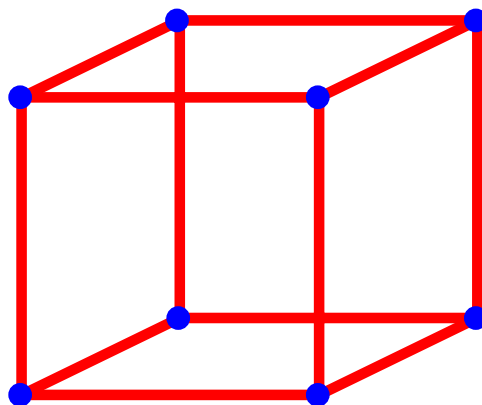
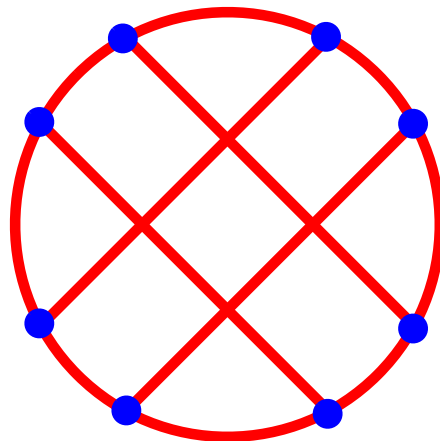
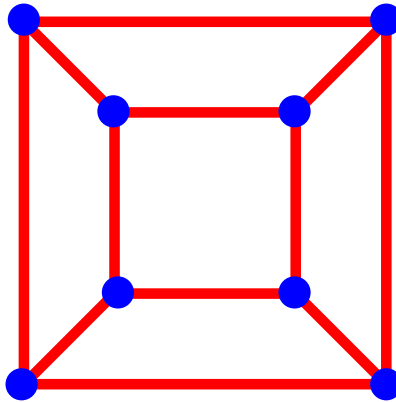


max symmetries

## Complexity Issues

- testing planarity takes linear time
- testing upward planarity is NP-hard
- minimizing crossings is NP-hard
- minimizing bends in planar orthogonal drawing:
  - NP-hard in general
  - polynomial time for a fixed embedding

# Beyond Aesthetic Criteria



# Constraints

- some readability aspects require knowledge about the *semantics* of the specific graph (e.g., place “most important” vertex in the middle)
- *constraints* are provided as additional input to a graph drawing algorithm

# Examples

- place a given vertex in the “middle” of the drawing
- place a given vertex on the external boundary of the drawing
- draw a subgraph with a prescribed “shape”
- keep a group of vertices “close” together

# Algorithmic Approach

- Layout of the graph generated according to a **prespecified** set of **aesthetic criteria**
- Aesthetic criteria embodied in an **algorithm** as **optimization goals**. E.g.
  - minimization of crossings
  - minimization of area

## Advantages

- Computational **efficiency**

## Disadvantages

- User-defined **constraints** are not naturally supported

## Extensions

- A limited constraint-satisfaction capability is attainable within the algorithmic approach  
E.g., [Tamassia Di Battista Batini 87]

# Declarative Approach

- Layout of the graph specified by a *user-defined* set of *constraints*
- Layout generated by the *solution* of a *system* of constraints

## Advantages

- *Expressive power*

## Disadvantages

- Some natural aesthetics (e.g., planarity) need *complicated* constraints to be expressed
- General constraint-solving systems are computationally *inefficient*
- Lack of a powerful language for the specification of constraints (currently done with a detailed enumeration of facts, or with a set notation)

# Getting Started with Graph Drawing

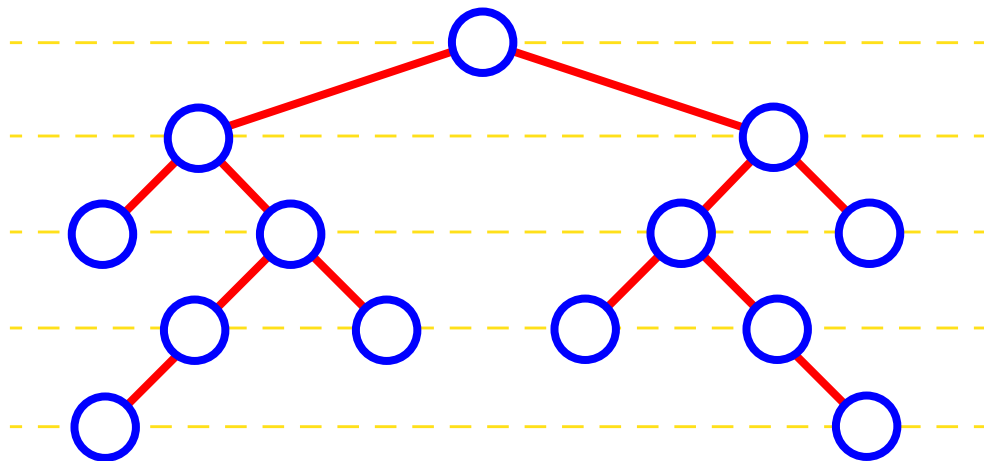
- **Book on Graph Drawing** by G. Di Battista, P. Eades, R. Tamassia, and I. G. Tollis, ISBN 0-13-301615-3, *Prentice Hall*, (available in August 1998).
- **Roberto Tamassia's WWW page**  
<http://www.cs.brown.edu/people/rt/>
- **Tutorial on Graph Drawing** by Isabel Cruz and Roberto Tamassia (about 100 pages)
- **Annotated Bibliography on Graph Drawing** (more than 300 entries, up to 1993) by Di Battista, Eades, Tamassia, and Tollis. *Computational Geometry: Theory and Applications*, 4(5), 235-282 (1994).
- **Computational Geometry Bibliography**  
[www.cs.duke.edu/~jeffe/compgeom/biblios.html](http://www.cs.duke.edu/~jeffe/compgeom/biblios.html)  
(about 8,000 BibTeX entries, including most papers on graph drawing, updated quarterly)
- **Proceedings of the Graph Drawing Symposium** (Springer-Verlag, LNCS)
- **Graph Drawing Chapters** in:  
*CRC Handbook of Discrete and Computational Geometry*  
*Elsevier Manual of Computational Geometry*

# **Trees**



# Drawings of Rooted Trees

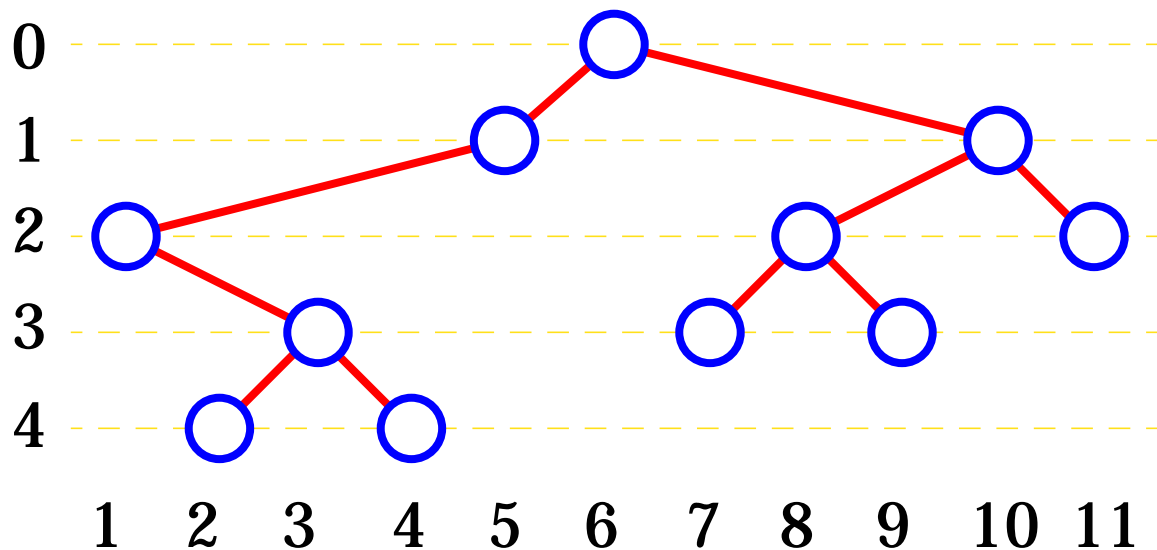
- the usual drawings of rooted trees are *planar*, *straight-line*, and *upward* (parents above children)
- it is desirable to minimize the *area* and to display *symmetries* and *isomorphic subtrees*
- *level drawing*: nodes at the same distance from the root are horizontally aligned



- level drawings may require  $\Omega(n^2)$  area

# A Simple Level Drawing Algorithm for Binary Trees

- $y(v)$  = distance from root
- $x(v)$  = inorder rank

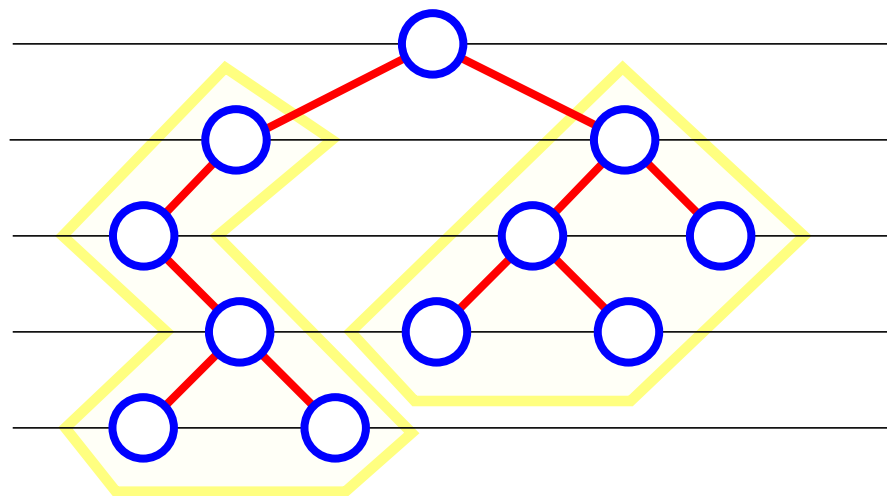


- level grid drawing
- display of symmetries and of isomorphic subtrees
- parent in between left and right child
- parents not always centered on children
- width =  $n - 1$

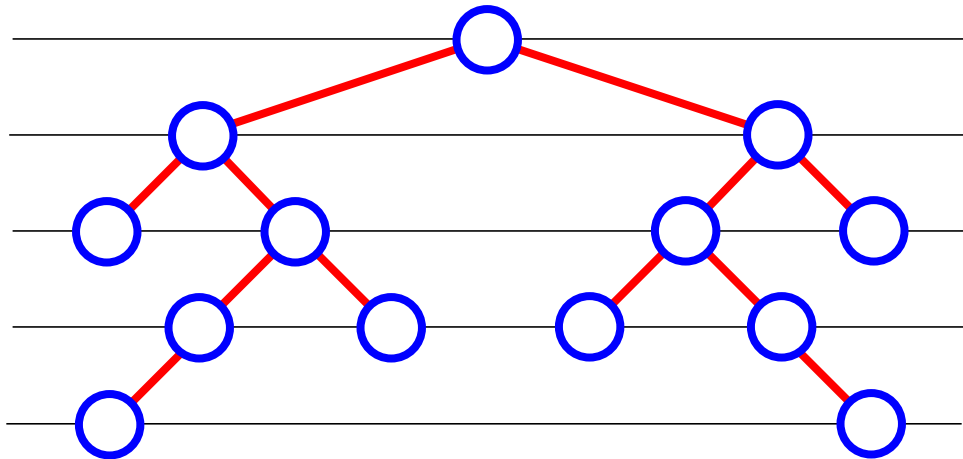
# A Recursive Level Drawing Algorithm for Binary Trees

[Reingold Tilford 1983]

- draw the left subtree
- draw the right subtree
- place the drawings of the subtrees at horizontal distance 2
- place the root one level above and half-way between the children
- if there is only one child, place the root at horizontal distance 1 from the child

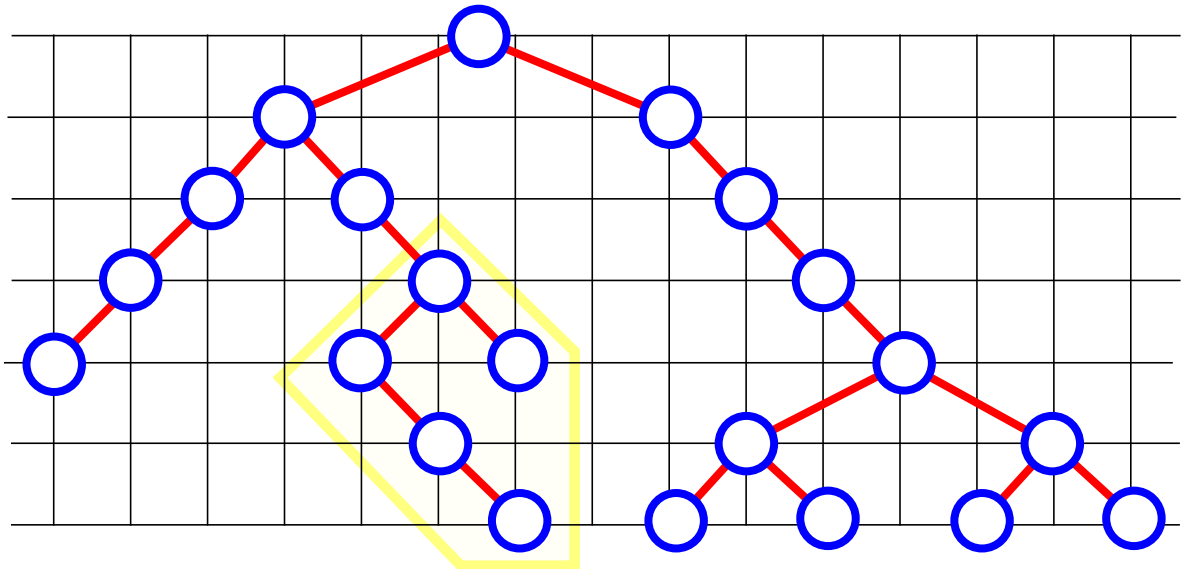


# Properties of Recursive Level Drawing Algorithm for Binary Trees

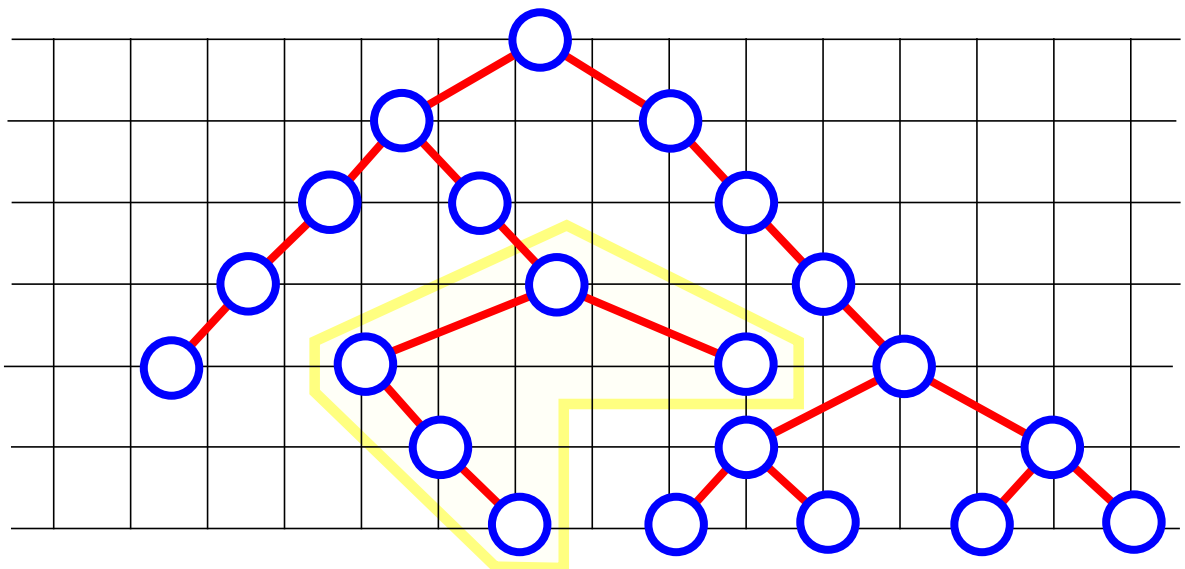


- **centered** level drawing
- “small” width
- display of symmetries and of isomorphic subtrees
- can be implemented to run in  $O(n)$  time
- can be extended to draw general rooted trees (e.g., root is placed at the average x-coordinate of its children)

# Non Optimality of Recursive Tree Drawing Algorithm



drawing constructed by the algorithm



minimum width drawing

- minimizing the width is NP-hard if integer coordinates are required

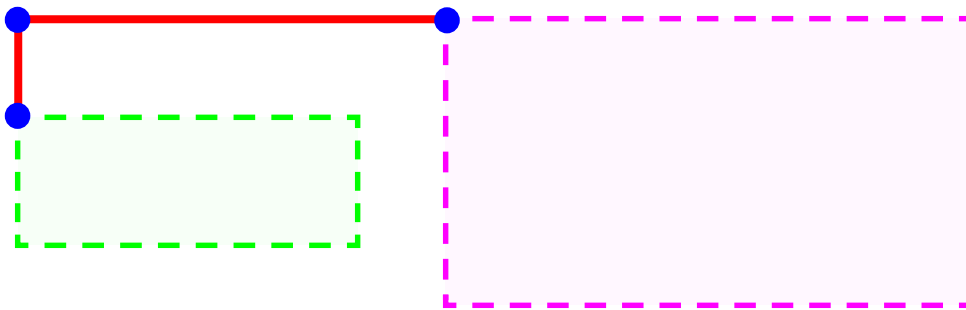
# Area-Efficient Drawings of Trees

- planar straight-line orthogonal upward grid drawing of a binary tree with  $O(n \log n)$  area,  $O(n)$  width, and  $O(\log n)$  height

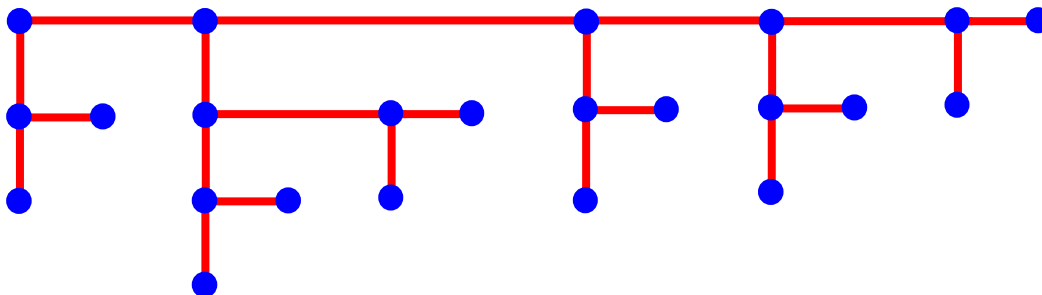
[Crescenzi Di Battista Piperno 92]

[Shiloach 76]

- draw the *largest subtree* “to the right” and the *smallest subtree* “below”

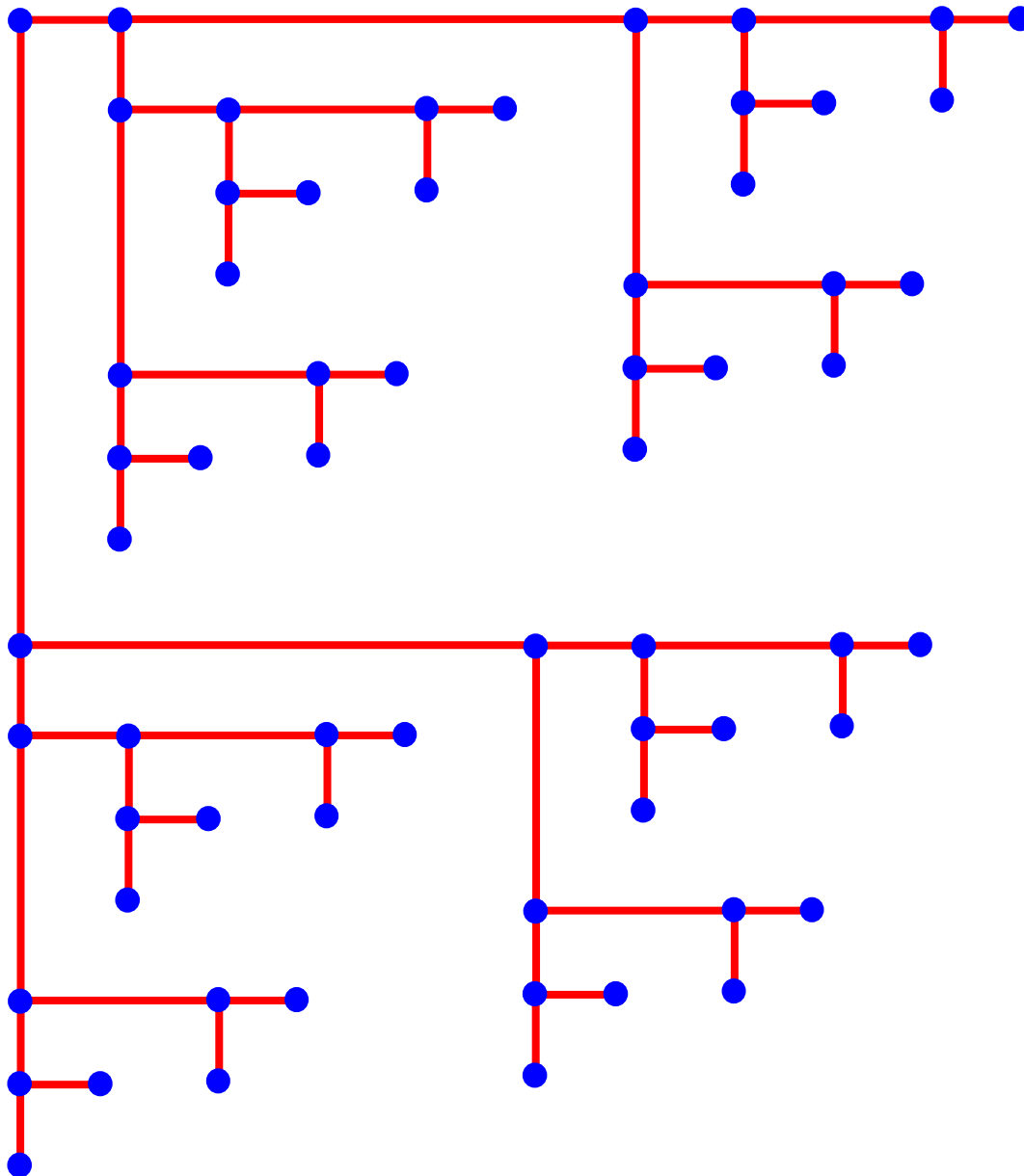


- Example:



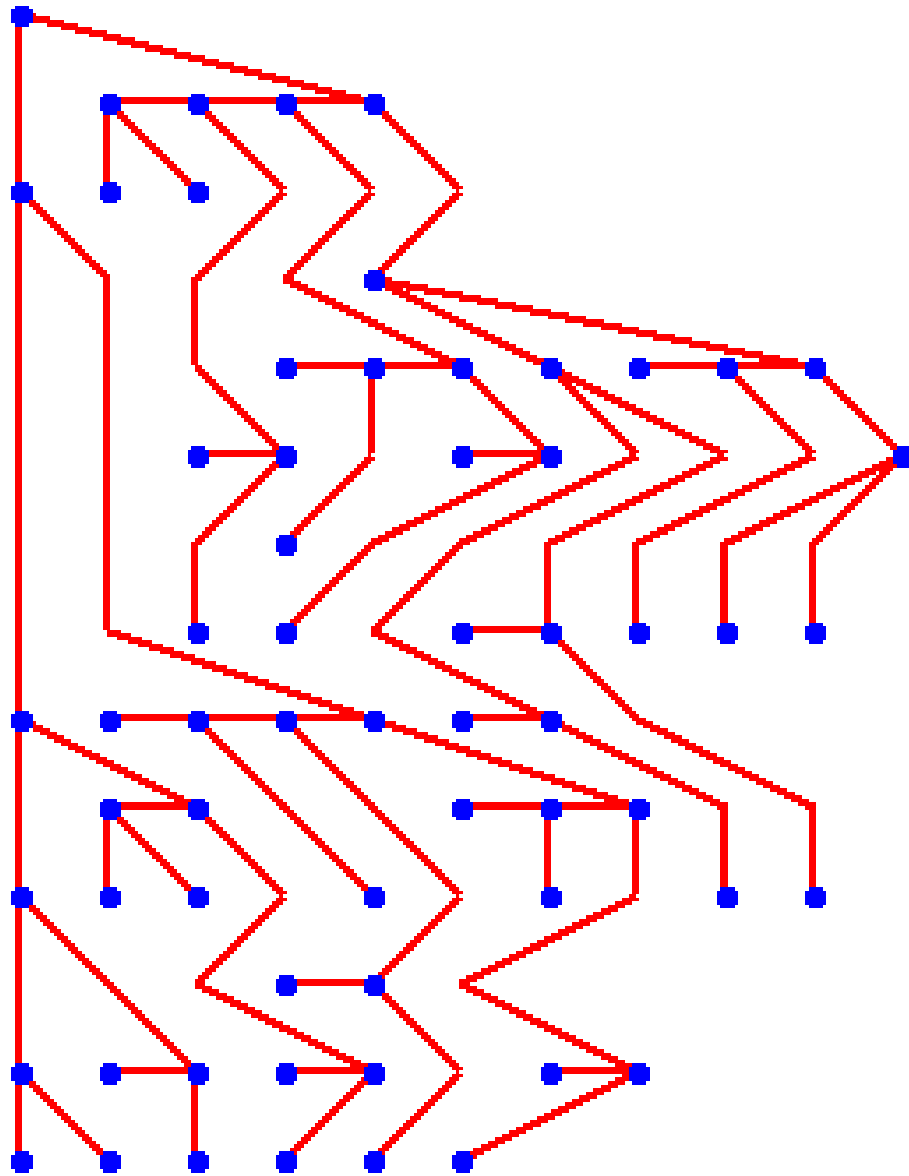
# Area-Efficient Drawings of Trees

- planar straight-line upward grid drawings of *AVL trees* with  $O(n)$  area  
[Crescenzi Di Battista Piperno 92]  
[Crescenzi Penna Piperno 95]



# Area-Efficient Drawings of Trees

- planar polyline upward grid drawings with  $O(n)$  area  
[Garg Goodrich Tamassia 93]





# Area Requirement of Planar Drawings of Trees

upward level	$\Theta(n^2)$ [RT 83]
upward polyline	$\Theta(n)$ [GGT 93]
<i>upward straight-line</i>	$\Omega(n)$ $O(n \log n)$ [CDP 92]
upward orthogonal	$\Theta(n \log \log n)$ [GGT 93]
non-upward orthogonal	$\Theta(n)$ [L80, V91]
non-upward leaves-on-hull orthogonal	$\Theta(n \log n)$ [BK 80]

- ***Open Problem:*** determine the area requirement of planar upward straight-line drawings of trees

## Size of Planar Drawings of Binary Trees

- the *size* of a drawing is the maximum of its *height* and *width*
- known bounds on the size of *planar* drawings of binary trees:

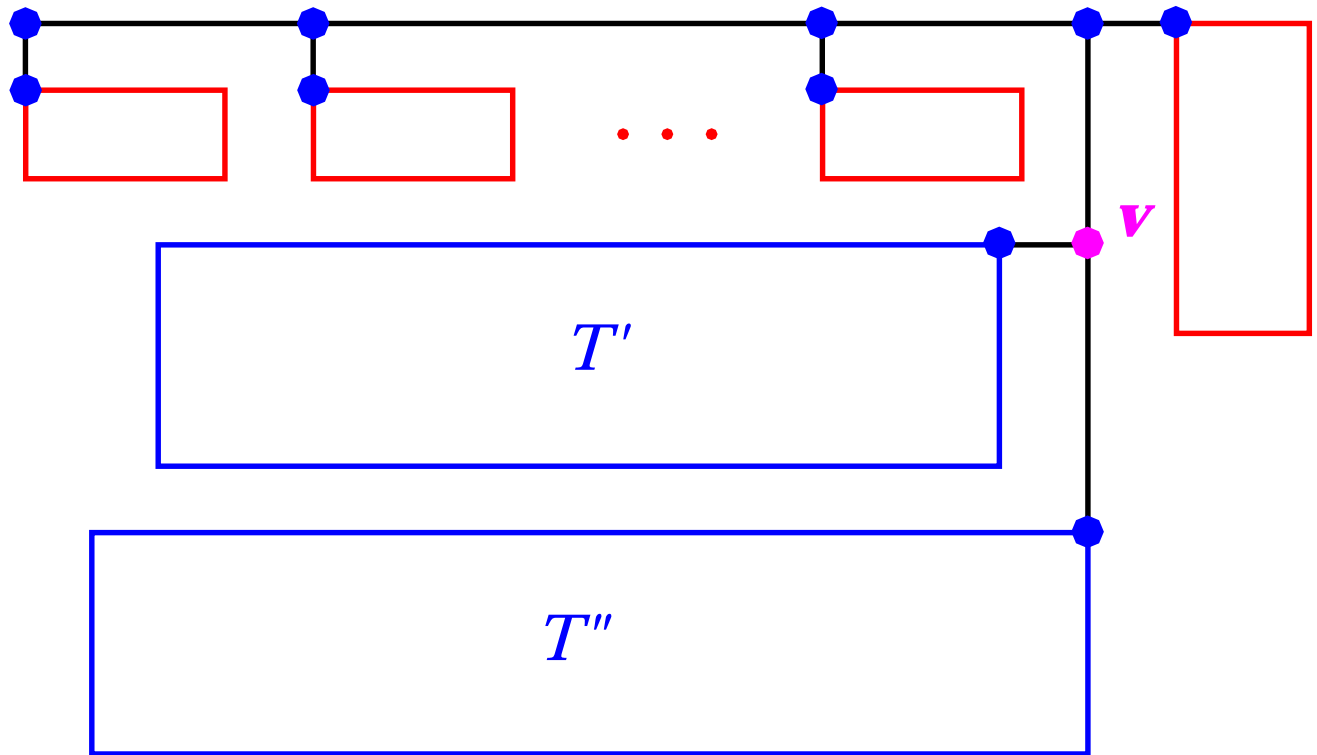
upward, straight-line level	$O(n)$ [RT 83]
upward, polyline	$\Theta(n^{1/2})$ [GGT93]
upward, straight-line orthogonal, <i>AVL trees</i>	$\Theta(n^{1/2})$ [CGKT96]
upward, straight-line orthogonal	$\Theta((n \log n)^{1/2})$ [CGKT96]

- ***Open Problem***: can  $\Theta(n^{1/2})$  size be achieved for (nonupward) planar straight-line drawings of binary trees?

# Planar Upward Straight-Line Drawings of Binary Trees with Optimal Size

- *recursive winding* technique [CGKT96]:
  - let  $N$  be number of nodes in the tree, and  $N(v)$  be the number of nodes in the subtree rooted at  $v$
  - for each node  $u$ , swap children to have  $N(\text{left}(u)) \leq N(\text{right}(u))$
  - find the first node  $v$  on the rightmost path such that:
$$N(\text{right}(v)) \leq N - (N \log N)^{1/2} < N(v)$$
  - draw the left subtrees on the path from the root to  $v$  with linear width (height) and logarithmic height (width)
  - draw recursively the subtrees  $T'$  and  $T''$  of  $v$

# Recursive Winding Drawing



- recurrence relations for the width  $W(N)$  and height  $H(N)$ :

- $W(N) = \max\{W(N'), W(N''), A\} + O(\log N)$

- $H(N) = \max\{H(N') + H(N'') + O(\log N), A\}$

where:

- $A = (N \log N)^{1/2}$

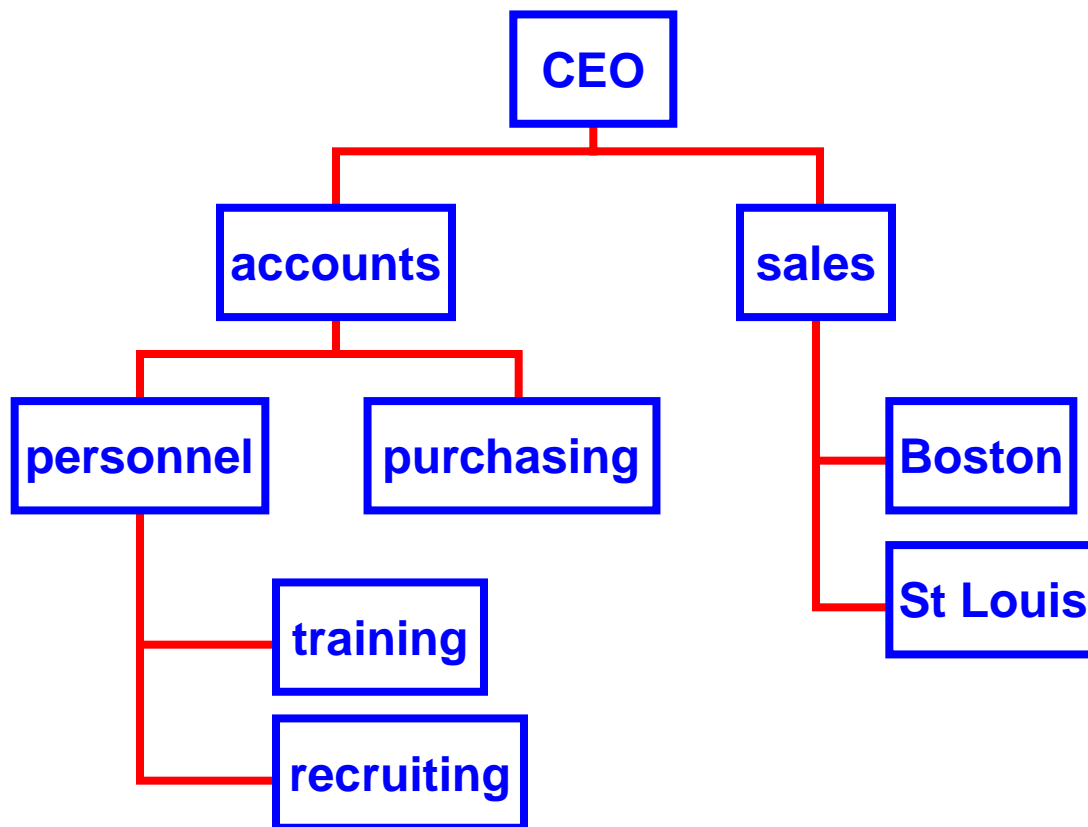
- $\max(N', N'') \leq N - A$

- solution:

- $W(N) = H(N) = O(N \log N)^{1/2}$

# Tip-Over Drawings of Rooted Trees

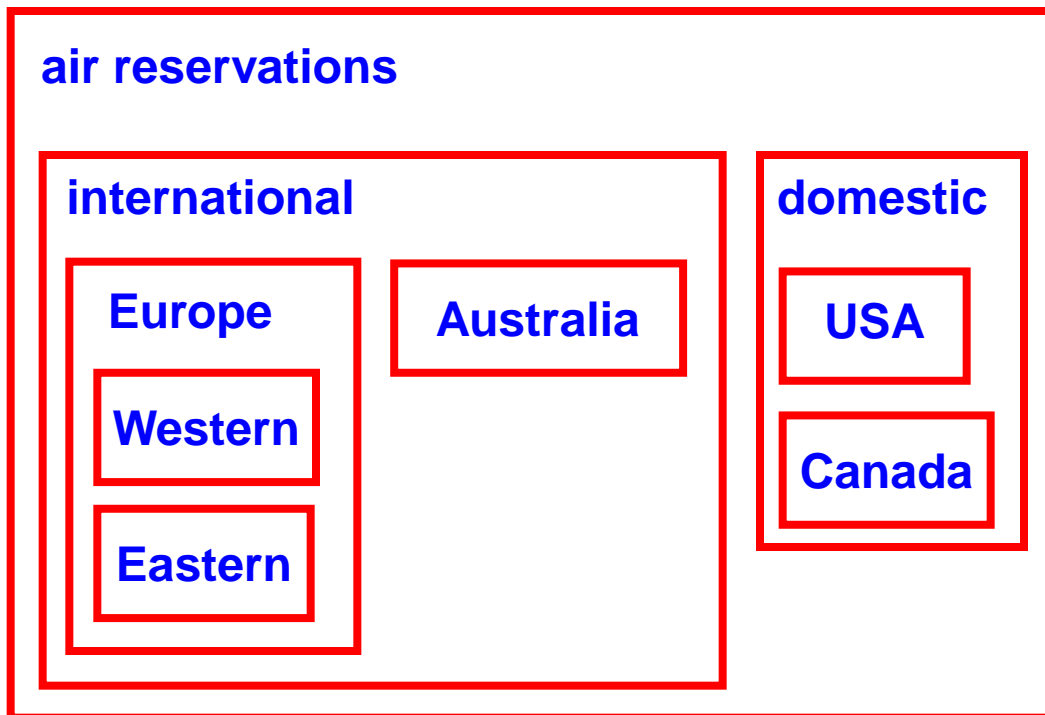
- *Tip-over drawings* are upward planar orthogonal drawings such that the children of a node:
  - are arranged either horizontally or vertically
  - share portions of the edges to the parent.



- Widely used in organization charts.
- Allow to better fit the drawing in a prescribed region.

# Inclusion Drawings of Rooted Trees

- *Inclusion drawings* display the parent-child relationship by the inclusion between isothetic rectangles.



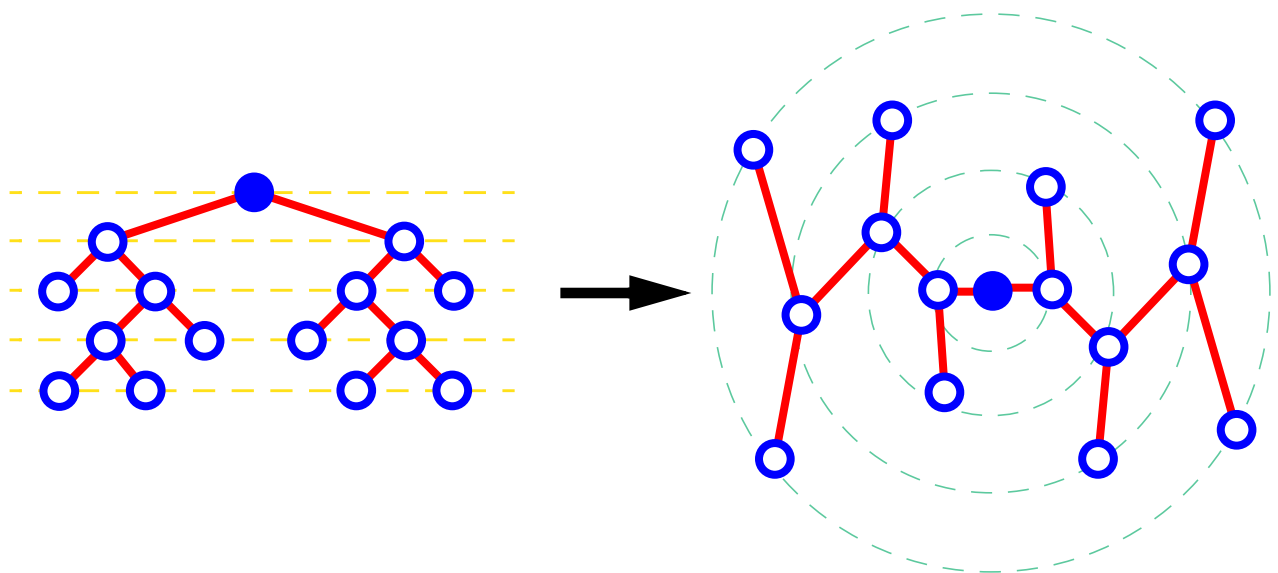
- Closely related to tip-over drawings.
- Used for displaying compound graphs (e.g., the union of a graph and a tree)
- Allow to better fit the drawing in a prescribed region

# Area of Tip-Over and Inclusion Drawings

- Eades, Lin and Lin (1992) study of the area requirement of tip-over and inclusion drawings of rooted trees.
- The dimensions of the node labels are given as part of the input.
- **Minimizing the area** of the drawing is:
  - **NP-hard for general trees**
  - computable in **polynomial time** for **balanced trees** with a **dynamic programming** algorithm
- Similar results for the following problems:
  - minimizing the **perimeter** of the drawing.
  - minimizing the **width** for a given height
  - minimizing the **height** for a given width

# How to Draw Free Trees

- **Free trees** are connected graphs without cycles and do not represent hierarchical relationships (e.g., spanning trees)
- Level drawings of rooted trees yield **radial drawings** of free trees:
  - root the free tree  $T$  at its **center** (node with minmax distance from the leaves), which gives a rooted tree  $T'$
  - construct a level drawing  $\Delta'$  of  $T'$
  - use a geometric transformation (**cartesian**  $\rightarrow$  **polar**) to obtain from  $\Delta'$  a radial drawing  $\Delta$  of  $T$

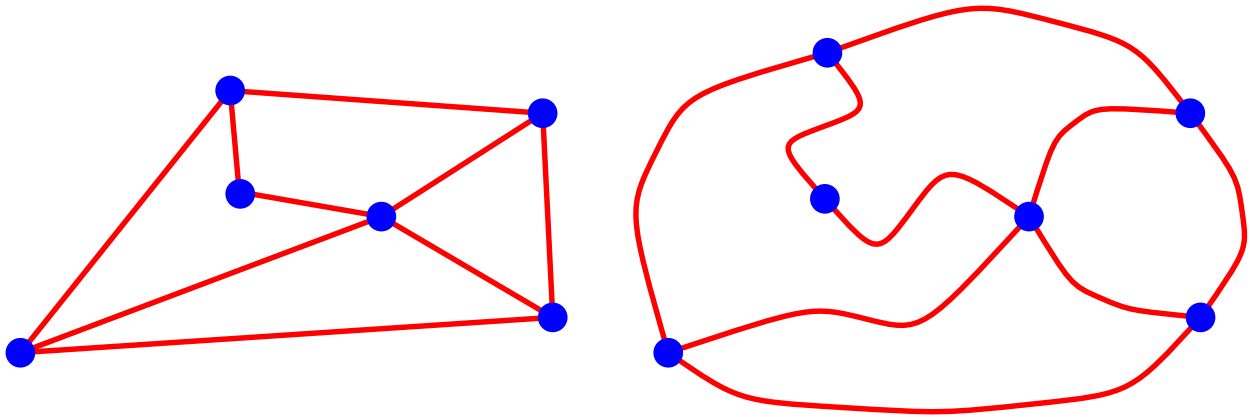




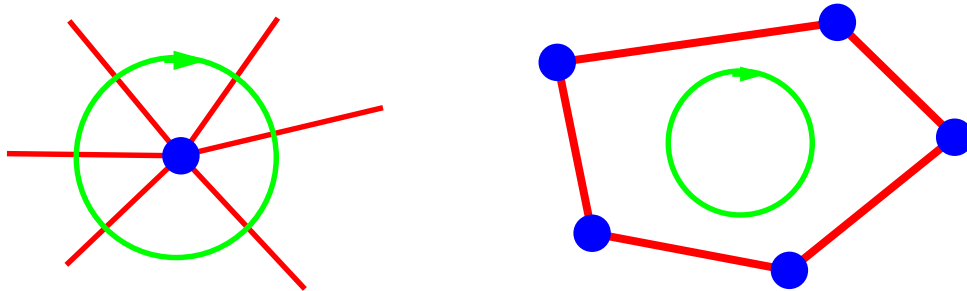
# **Planar Undirected Graphs**

# Planar Drawings and Embeddings

- a *planar embedding* is a class of topologically equivalent planar drawings



- a planar embedding prescribes
  - the *star* of edges around each vertex
  - the *circuit* bounding each face



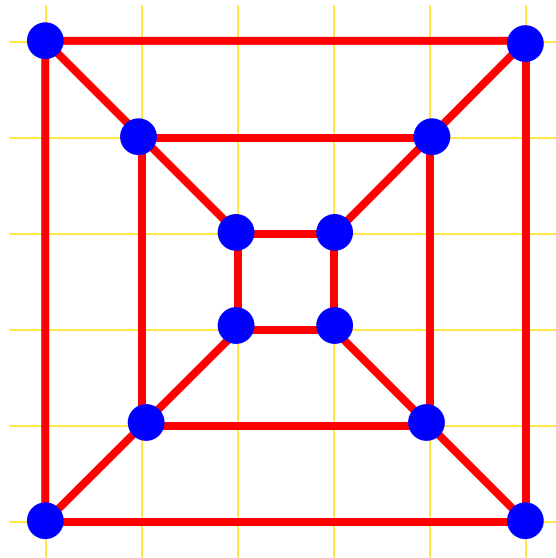
- the number of distinct embeddings is exponential in the worst case
- triconnected planar graphs have a unique embedding

# The Complexity of Planarity Testing

- Planarity testing and constructing a planar embedding can be done in *linear time*:
  - *depth-first-search*  
[Hopcroft Tarjan 74]  
[de Fraysseix Rosenstiehl 82]
  - *st-numbering and PQ-trees*  
[Lempel Even Cederbaum 67]  
[Even Tarjan 76]  
[Booth Lueker 76]  
[Chiba Nishizeki Ozawa 85]
- The above methods are *complicated* to understand and implement
- *Open Problem*:
  - devise a *simple* and *efficient* planarity testing algorithm.

# Planar Straight-Line Drawings

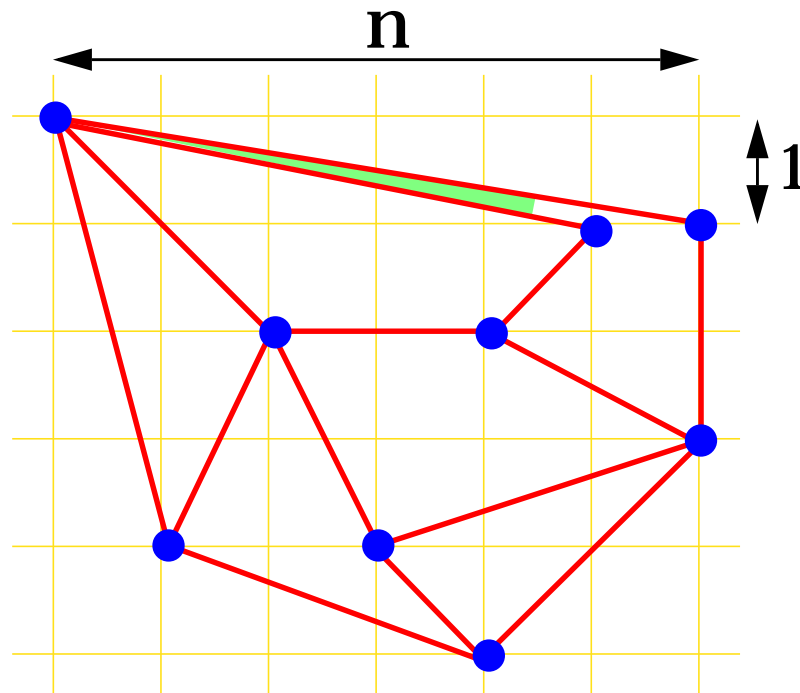
- [Hopcroft Tarjan 74]: planarity testing and constructing a planar embedding can be done in  $O(n)$  time
- [Fary 48, Stein 51, Steinitz 34, Wagner 36]: every planar graph admits a planar straight-line drawing



- Planar straight-line drawings may need  $\Omega(n^2)$  area
- [de Fraysseix Pach Pollack 88, Schnyder 89, Kant 92]:  $O(n^2)$ -area planar straight-line grid drawings can be constructed in  $O(n)$  time

# Planar Straight-Line Drawings: Angular Resolution

- $O(n^2)$ -area drawings may have  $\rho = O(1/n^2)$



- [Garg Tamassia 94]:
  - **Upper bound** on the angular resolution:

$$\rho = O\left(\sqrt{\frac{\log d}{d^3}}\right)$$

- **Trade-off** (area vs. angular resolution):

$$A = \Omega(c^{\rho n})$$

- [Kant 92] Computing the optimal angular resolution is **NP-hard**.

# Planar Straight-Line Drawings: Angular Resolution

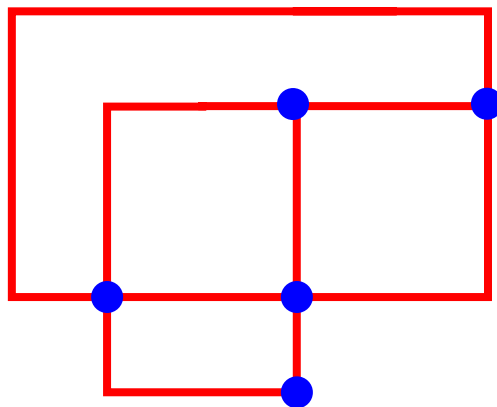
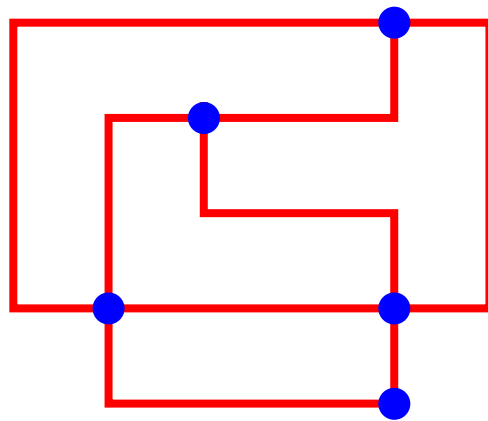
- [Malitz Papakostas 92]: the angular resolution depends on the degree only:

$$\rho = \Omega\left(\frac{1}{7d}\right)$$

- Good angular resolution can be achieved for special classes of planar graphs:
  - *outerplanar graphs*,  $\rho = O(1/d)$   
[Malitz Papakostas 92]
  - *series-parallel graphs*,  $\rho = O(1/d^2)$   
[Garg Tamassia 94]
  - *nested-star graphs*,  $\rho = O(1/d^2)$   
[Garg Tamassia 94]
- **Open Problems:**
  - can we achieve  $\rho = O(1/d^k)$  (k a small constant) for all planar graphs?
  - can we efficiently compute an *approximation* of the optimal angular resolution?

# Planar Orthogonal Drawings: Minimization of Bends

- given planar graph of degree  $\leq 4$ , we want to find a planar orthogonal drawing of  $G$  with the minimum number of bends



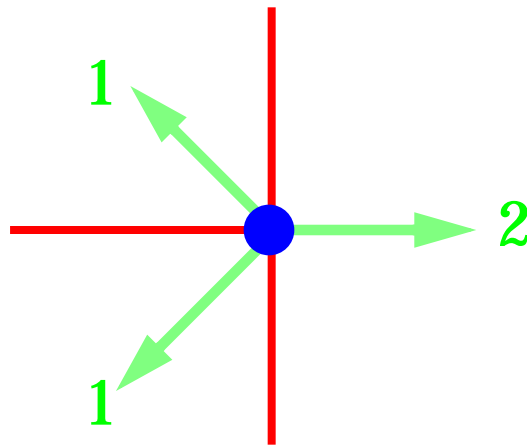
# Minimization of Bends in Planar Orthogonal Drawings

- [Tamassia 87]
  - $O(n^2 \log n)$ -time bend minimization for fixed embedding
- [Di Battista Liotta Vargiu 93]
  - polynomial-time bend minimization for degree-3 and series-parallel graphs
- [Tamassia Tollis 89]
  - $O(n)$ -time approximation with  $O(n)$  bends
- [Garg Tamassia 93]
  - minimization of bends is NP-hard
  - approximation with  $O(\text{opt} + n^{1-\epsilon})$  bends is NP-hard
  - rectilinear planarity testing is NP-complete

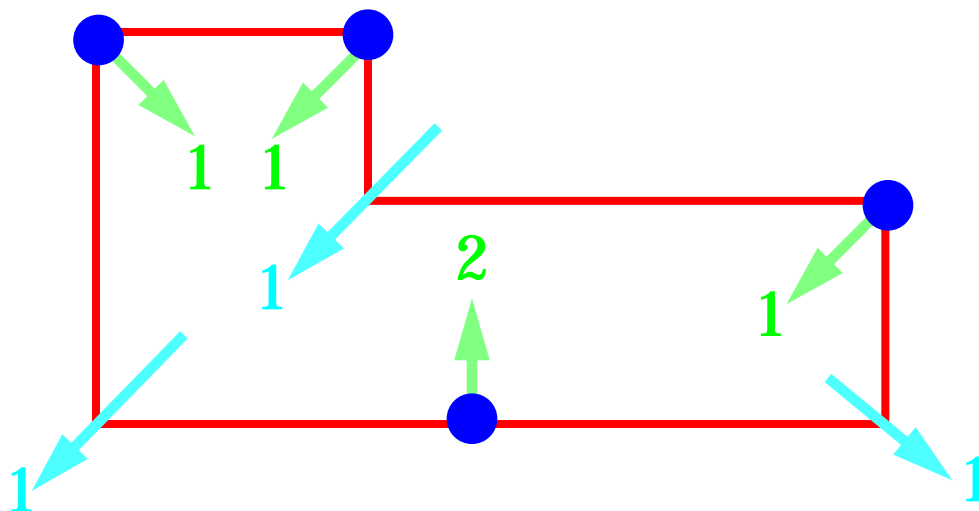


# Network Flow Model

- a unit of flow is a  $90^\circ$  angle
- a vertex (source) produces 4 units



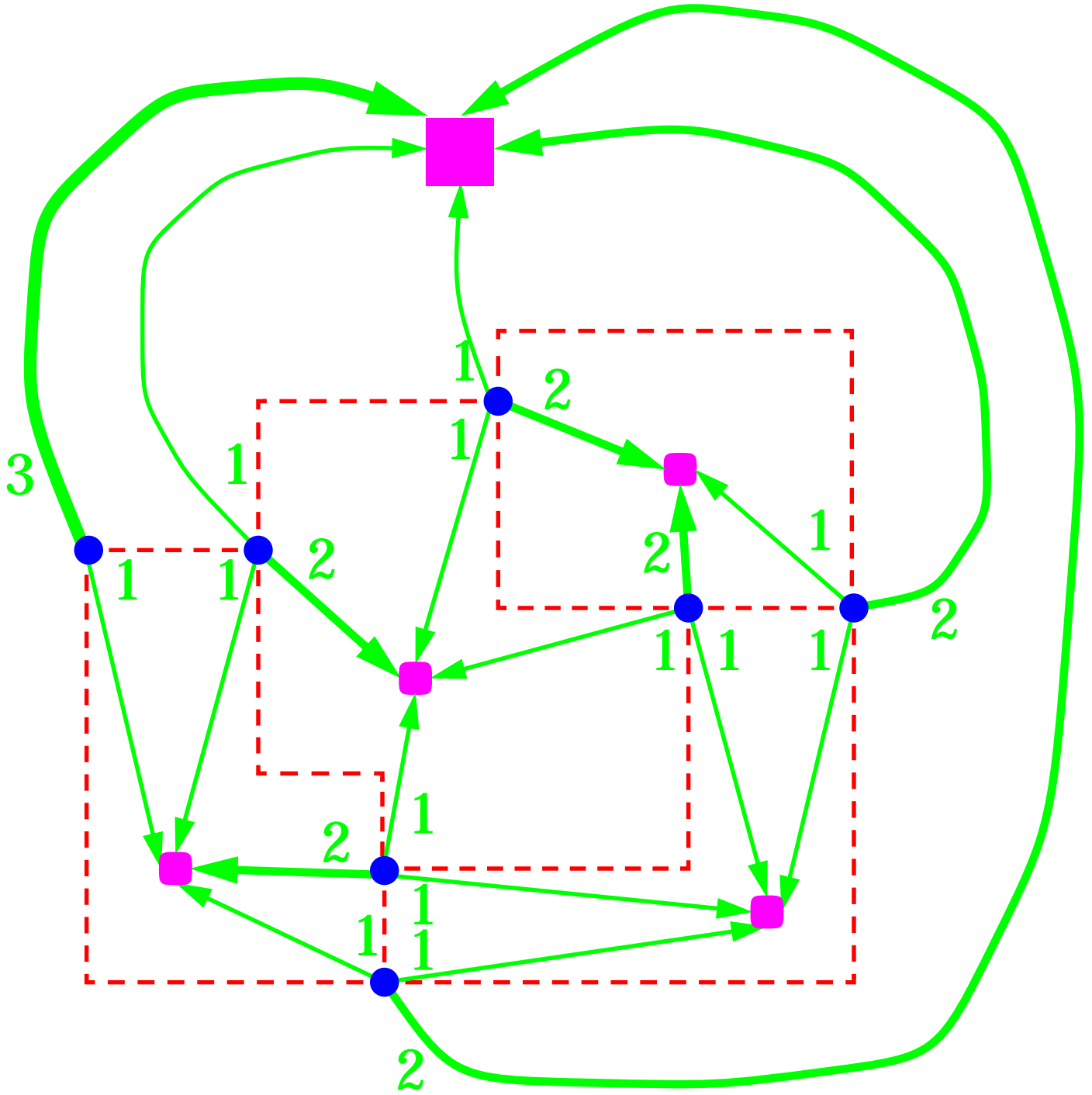
- a face  $f$  (sink) consumes  $2 \deg(f) - 4$  units ( $\deg(f) + 4$  for the external face)



- Edges transport flow across faces

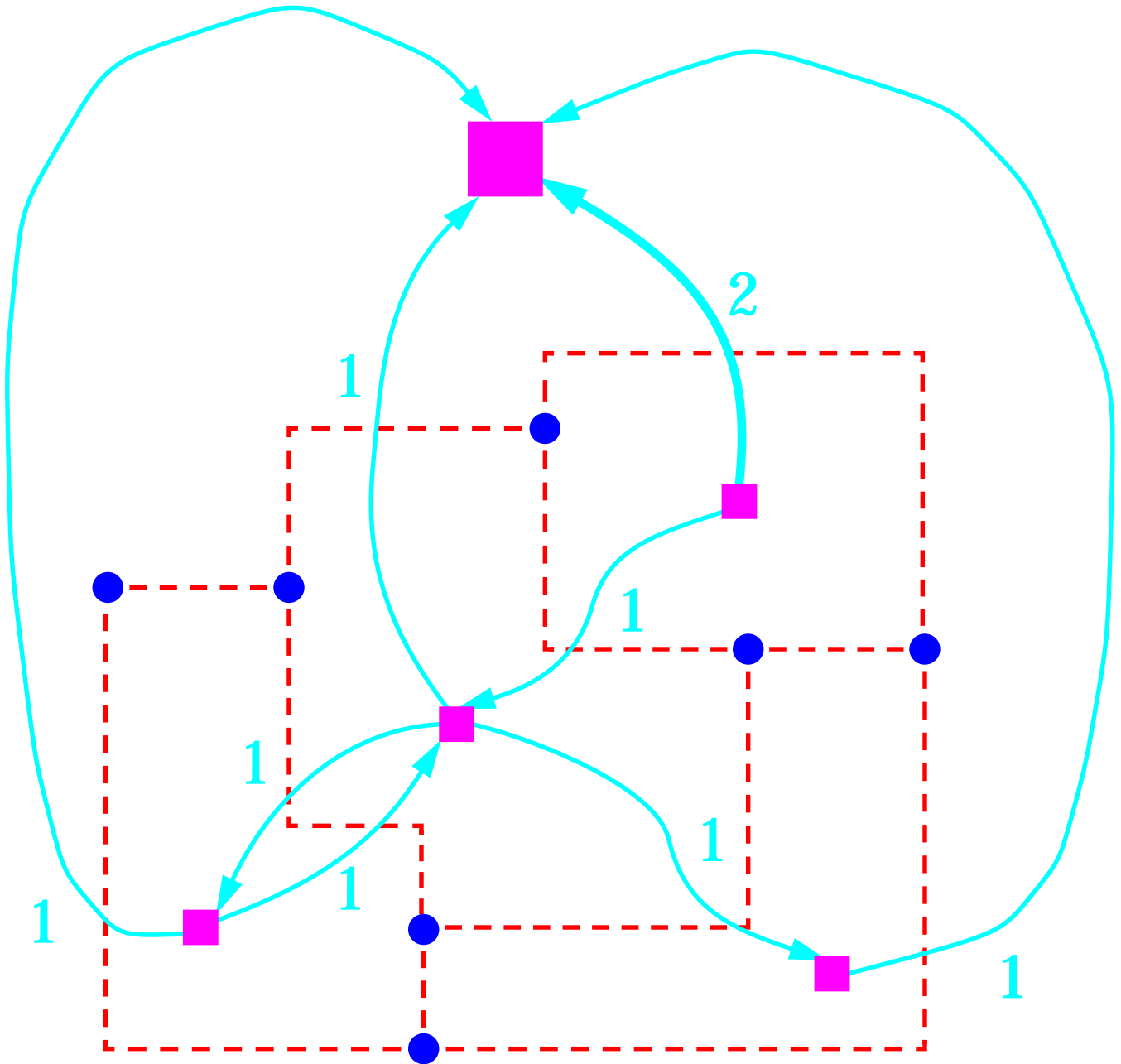
# Flow Network

- vertex-face arcs: flow  $\geq 1$ , cost = 0

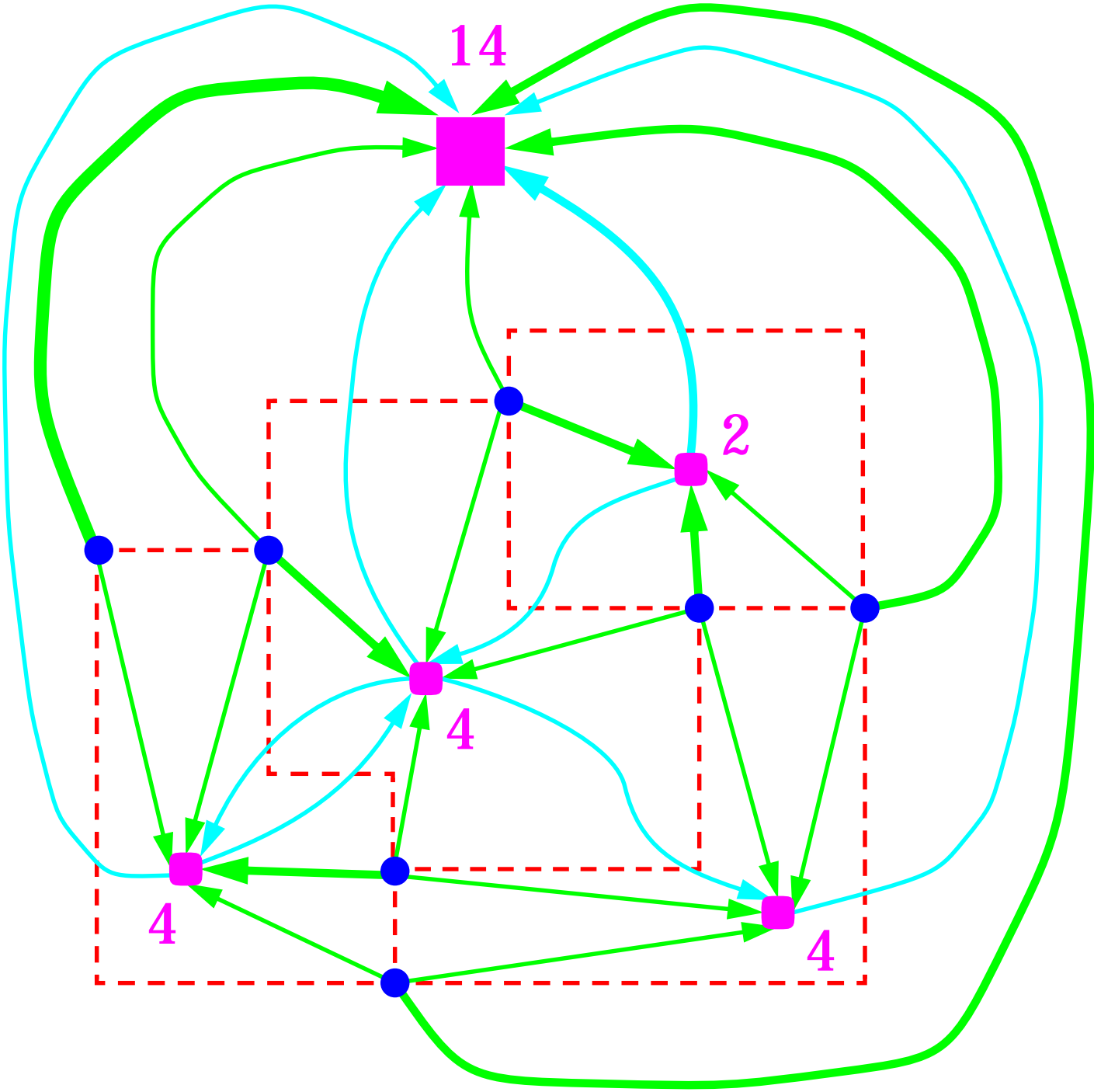


# Flow Network

- face-face arcs:  $\text{flow} \geq 0$ ,  $\text{cost} = 1$



# Complete Flow Network



# Correctness of Flow Model

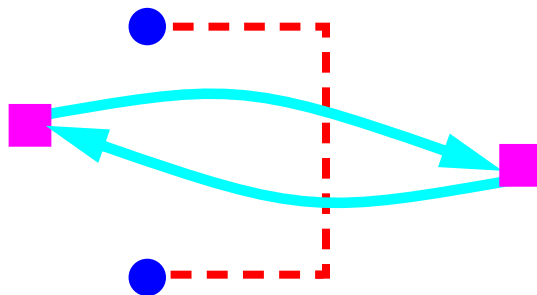
- **supply of sources = demand of sinks**  $\leftrightarrow$  Euler's formula
- **flow conservation at vertex**  $\leftrightarrow$   $\sum$  angles around vertex =  $360^\circ$
- **flow conservation at face**  $\leftrightarrow$   $(\# 90^\circ \text{ angles}) - (\# 270^\circ \text{ angles}) = 4$
- **cost of flow**  $\leftrightarrow$  # bends
- **flow in N**  $\leftrightarrow$  drawing of G
- **minimum cost flow**  $\leftrightarrow$  optimal drawing

**Theorem** [Tamassia 87] Computing the **minimum number of bends** for an embedded graph G is equivalent to computing a minimum cost flow in network N, and takes  $O(n^2 \log n)$  time

**Open Problem:** reduce the time complexity of bend minimization.

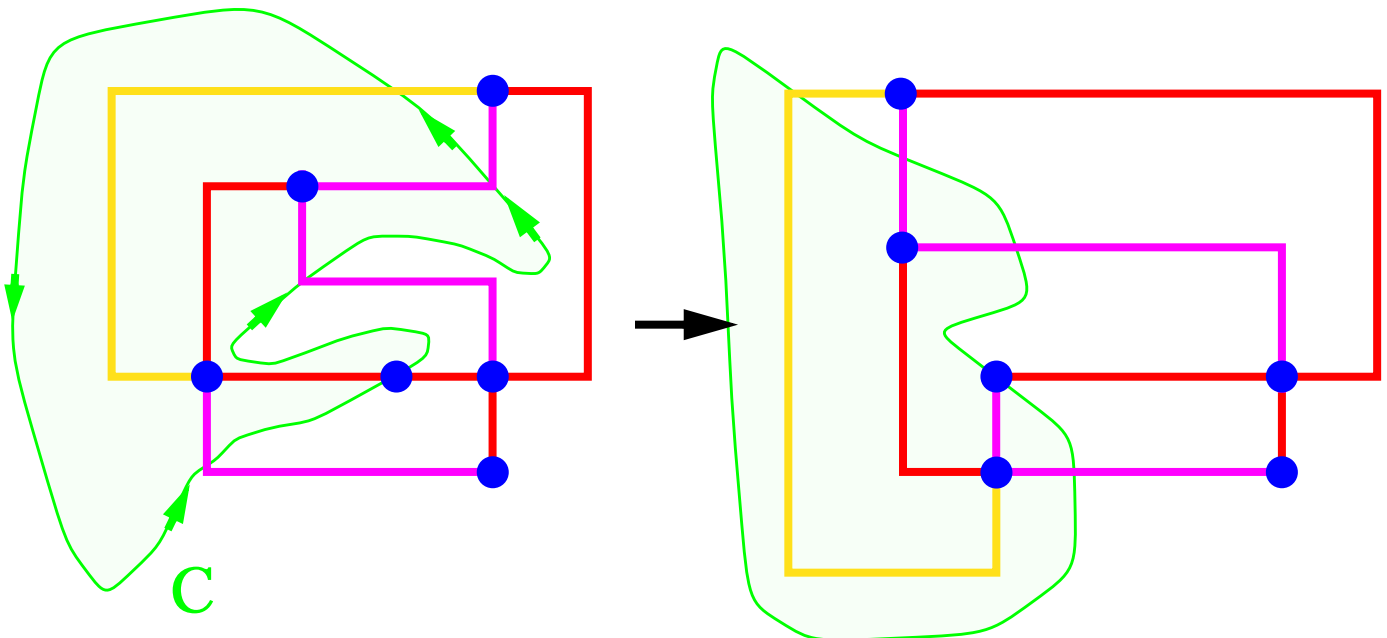
# Constrained Bend Minimization

- the network flow model allows us to minimize bends subject to ***shape constraints***
  - prescribed angles around a vertex
  - prescribed bends along an edge
  - upper bound on the number of bends on an edge
- the above ***shape constraints*** on the drawing can be expressed by setting appropriate ***capacity constraints*** on the edges of the network
- E.g., we can prescribe a maximum of 2 bends on a given edge ***e*** by setting equal to 2 the capacity of the ***face-face arcs*** associated with ***e***



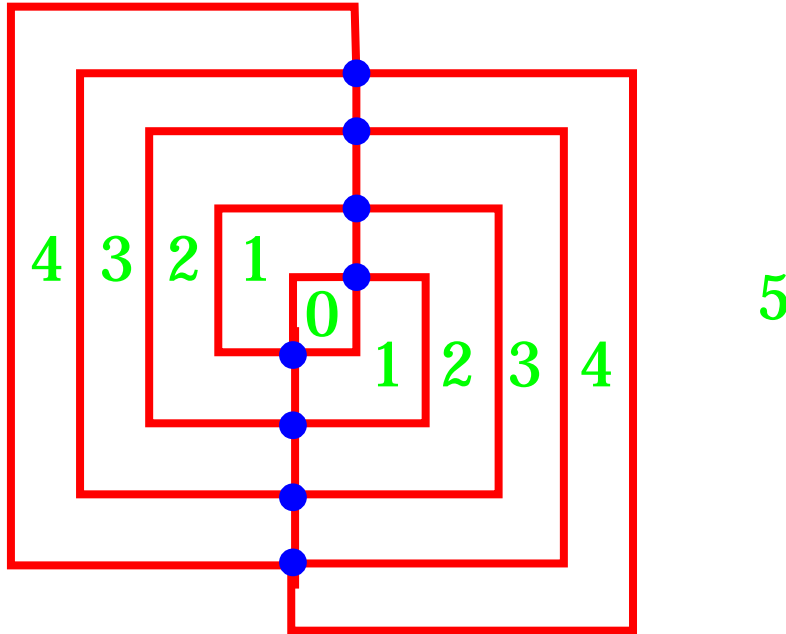
# Characterization of Bend-Minimal Drawings

- A drawing has the minimum number of bends if and only if there is no oriented closed curve  $C$  such that
  - vertices are intersected by  $C$  entering from angles  $\geq 180^\circ$
  - (# edges crossed by  $C$  from  $90^\circ$  or  $180^\circ$ )  $<$  (# edges crossed by  $C$  from  $270^\circ$ )
- If such a curve exists, “rotating” the portion of the drawing inside  $C$  reduces the number of bends



# Proving the Optimality of a Drawing

- potential  $\Phi$  on each face

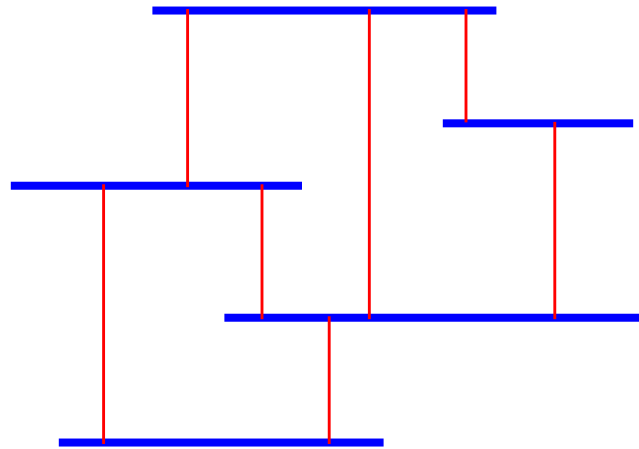
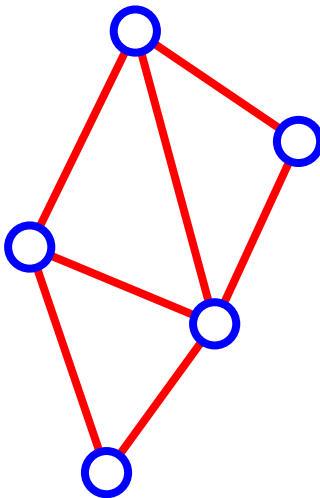


- vertices cannot be traversed by  $C$
- $C$  traverses edge from  $270^\circ \Rightarrow \Delta\Phi_i = -1$
- $C$  traverses edge from  $90^\circ \Rightarrow \Delta\Phi_i = +1$
- bends removed going “inward” and inserted going “outward”  $\Delta B_i + \Delta\Phi_i = 0$
- $C$  is a closed curve  $\Rightarrow \sum_i \Delta\Phi_i = 0$
- Hence,  $\sum_i \Delta B_i = 0$

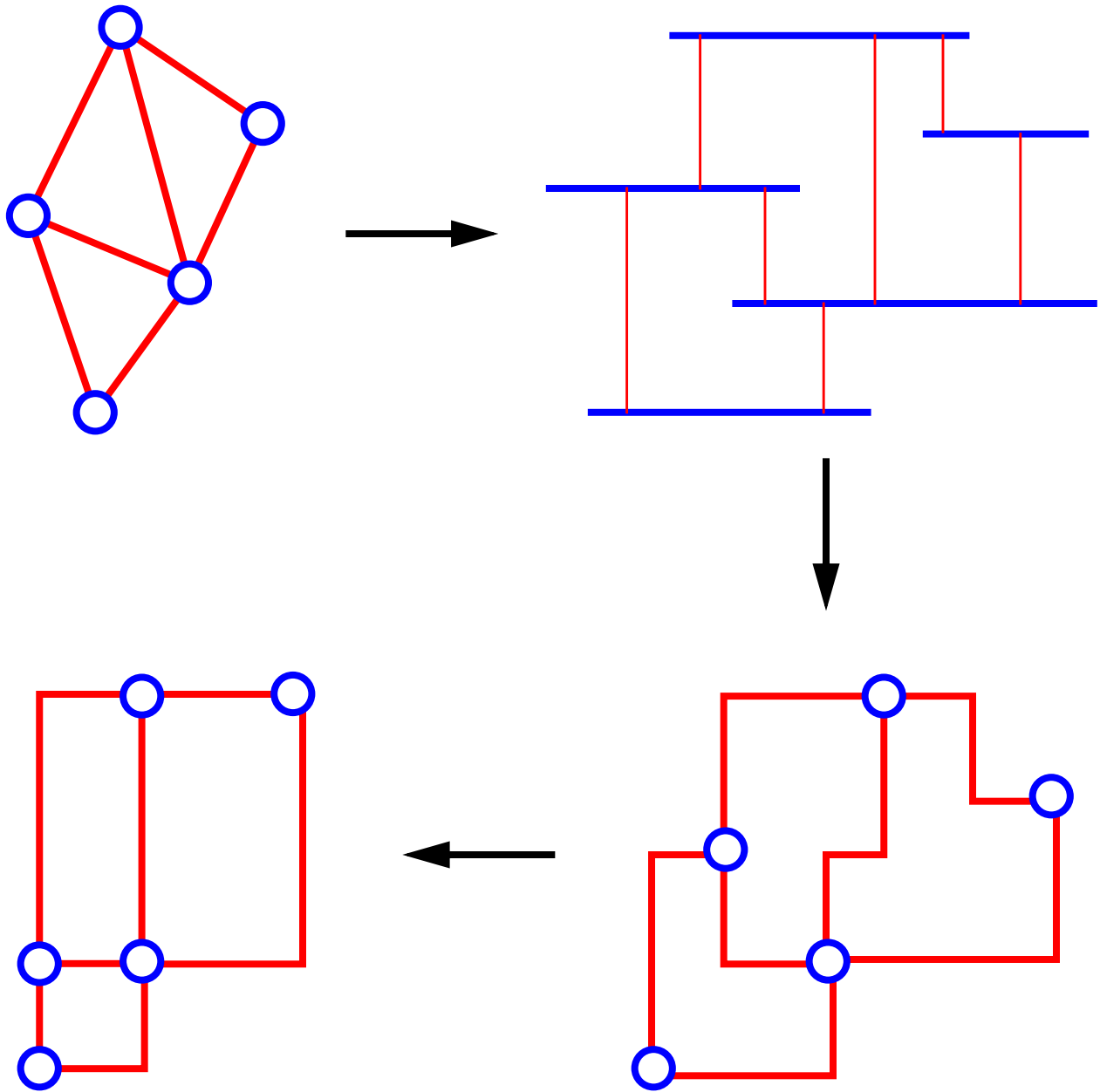


# Visibility Representation

- vertices → horizontal segments
- edges → vertical segments
- can be constructed in  $O(n)$  time
- preliminary step for drawing algorithms



# From Visibility Representations to Orthogonal Drawings



# Heuristic Algorithm for Bend Minimization

1. Construct visibility representation
2. Transform visibility representation into a preliminary drawing
3. Apply bend-stretching transformations
4. Compact orthogonal representation

Runs in  $O(n)$  time and can be parallelized

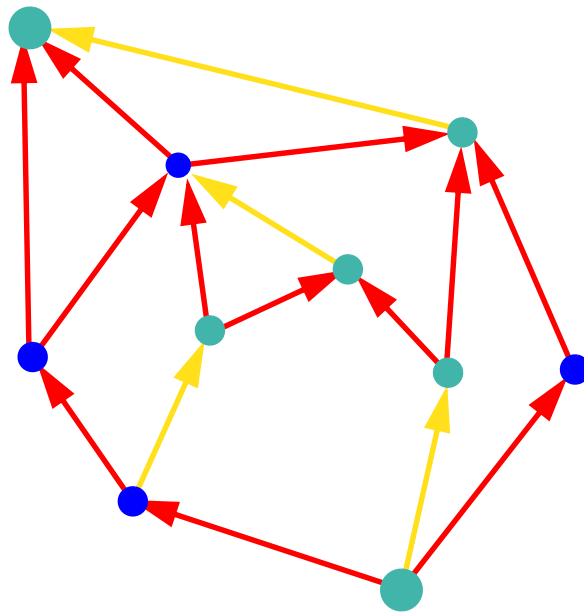
At most  $2n + 4$  bends if  $G$  is biconnected  
( $2.4n + 2$  otherwise)

$O(n^2)$  area

# **Planar Directed Graphs**

# Upward Planarity Testing

- upward planarity testing for ordered sets has the same complexity as for general digraphs (insert dummy vertices on transitive edges)
- [Kelly 87, Di Battista Tamassia 87]: upward planarity is equivalent to subgraph inclusion in a planar st-digraph (planar acyclic digraph with one source and one sink, both on the external face)



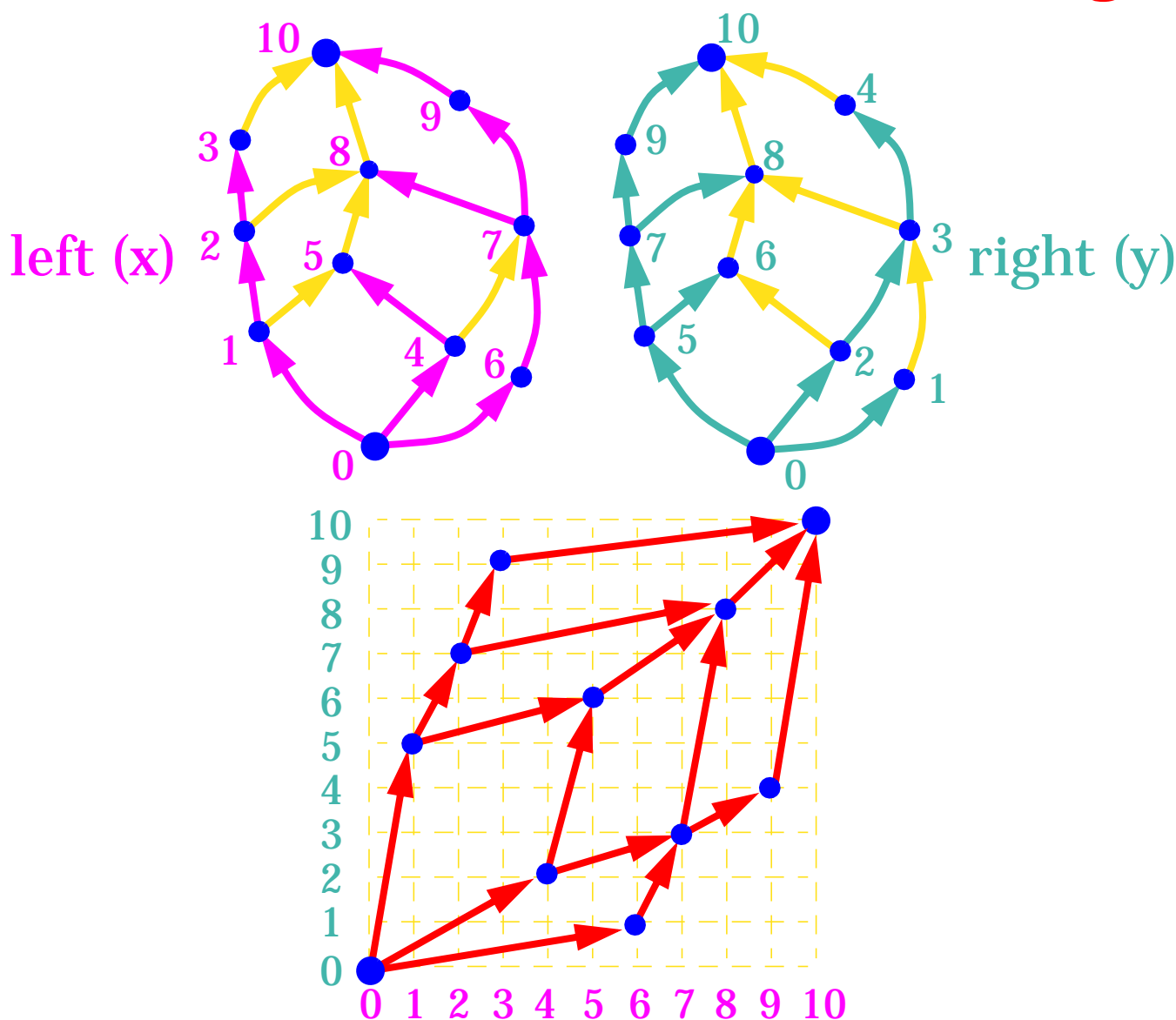
- [Kelly 87, Di Battista Tamassia 87]: upward planarity is equivalent to upward straight-line planarity

# Complexity of Upward Planarity Testing

- [Bertolazzi Di Battista Liotta Mannino 91]
  - $O(n^2)$ -time for fixed embedding
- [Hutton Lubiw 91]
  - $O(n^2)$ -time for single-source digraphs
- [Bertolazzi Di Battista Mannino Tamassia 93]
  - $O(n)$ -time for single-source digraphs
- [Garg Tamassia 93]
  - NP-complete

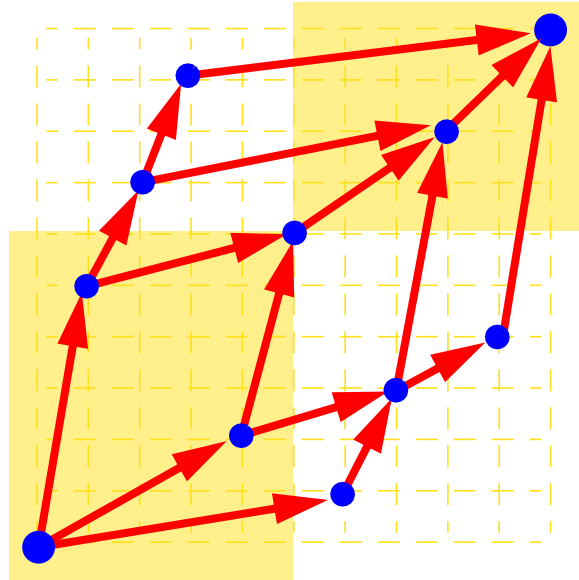
# How to Construct Upward Planar Drawings

- Since an upward planar digraph is a subgraph of a **planar st-digraph**, we only need to know how to draw planar st-digraphs
- If  $G$  is a planar st-digraph without transitive edges, we can use the **left/right** numbering method to obtain a **dominance drawing**:

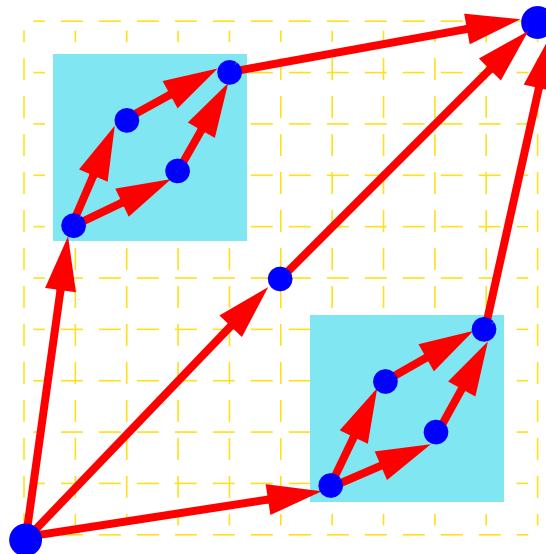


# Properties of Dominance Drawings

- **Upward, planar, straight-line,  $O(n^2)$  area**
- The **transitive closure** is visualized by the geometric dominance relation



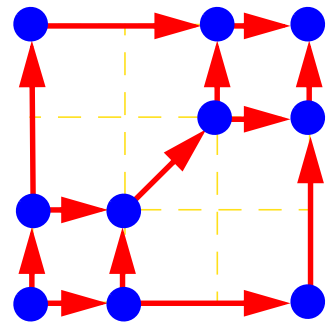
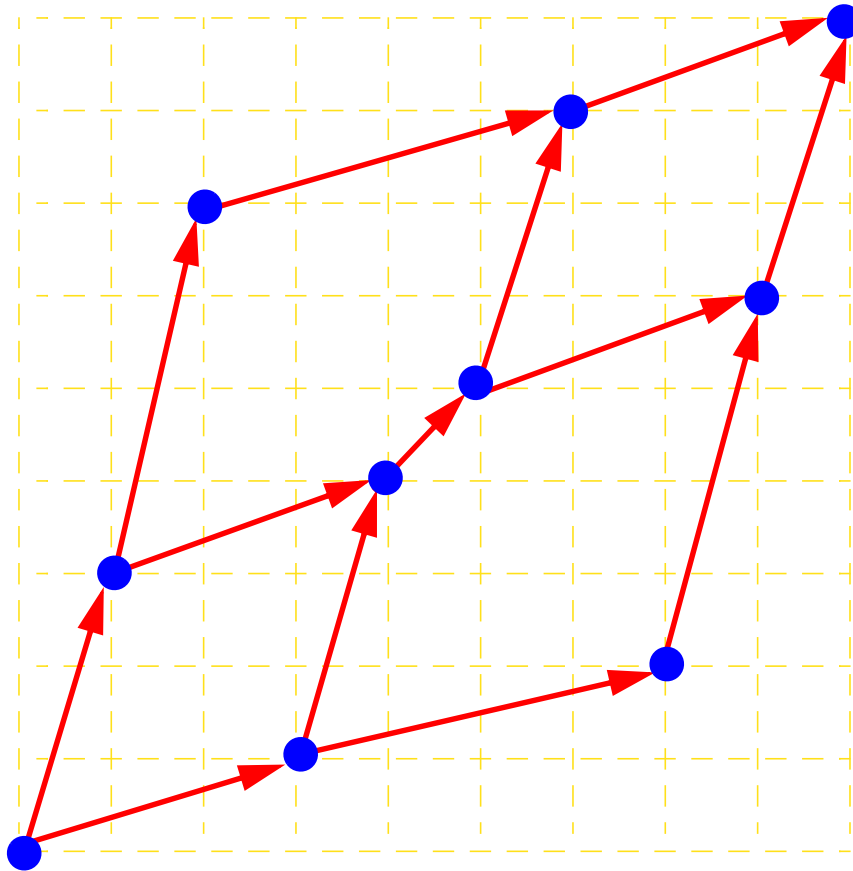
- **Symmetries** and **isomorphisms** of **st-components** are displayed



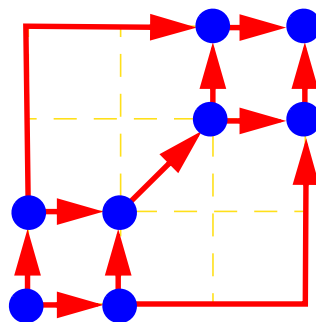


# More on Dominance Drawings

- A variation of the left/right numbering yields dominance drawings with *optimal area*

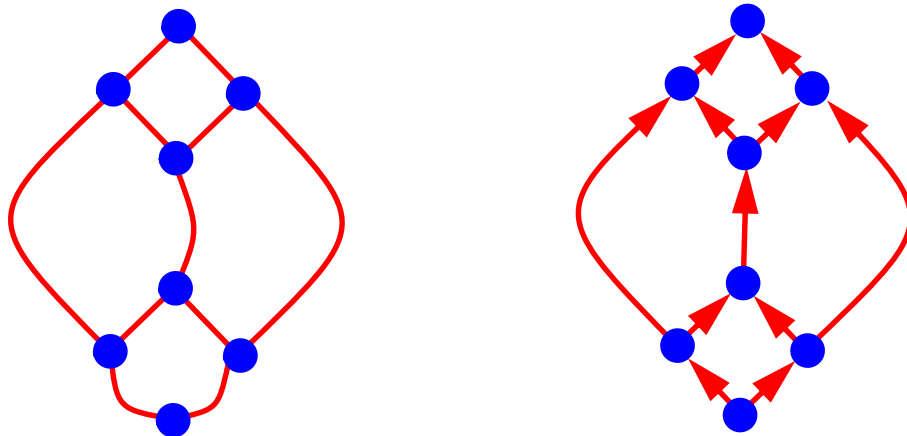


- Dummy vertices are inserted on transitive edges and are displayed as bends (upward planar polyline drawings)

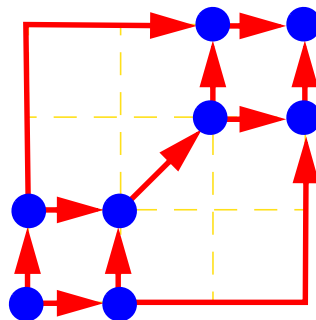


# Planar Drawings of Graphs and Digraphs

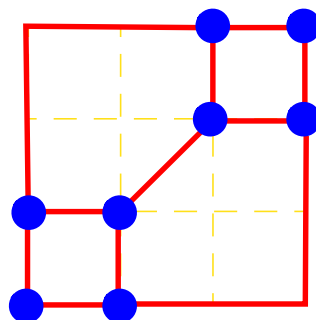
- We can use the techniques for dominance drawings also for undirected planar graphs:
  - orient  $G$  into a planar st-digraph  $G'$



- construct a dominance drawing of  $G'$



- erase arrows ...



# **General Undirected Graphs**

# Algorithmic Strategies for Drawing General Undirected Graphs

## ■ *Planarization method*

- if the graph is nonplanar, ***make it planar!*** (by placing dummy vertices at the crossings)
- use one of the drawing algorithms for planar graphs

e.g., GIOTTO [Tamassia Batini Di Battista 87]

## ■ *Orientation method*

- ***orient*** the graph into a digraph
- use one the drawing algorithms for digraphs

## ■ *Force-Directed method*

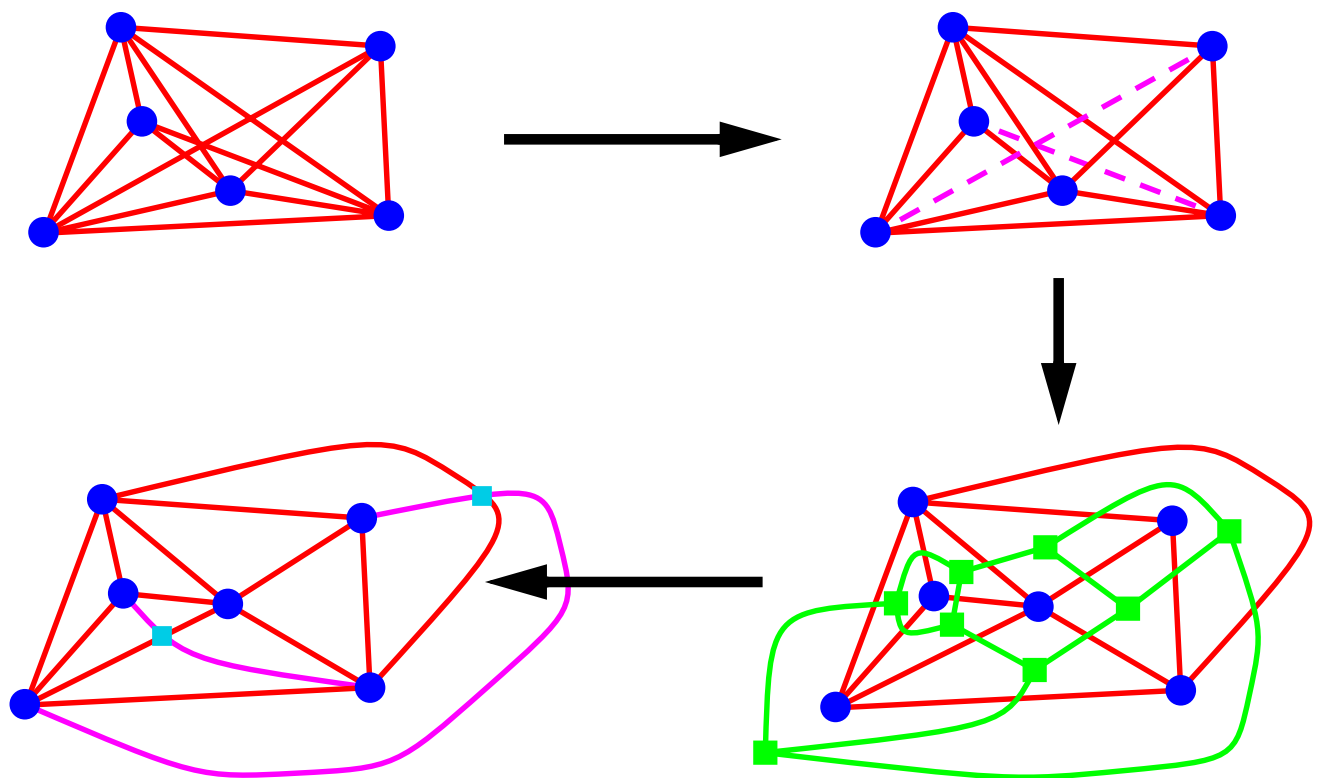
- define a ***system of forces*** acting on the vertices and edges
- find a ***minimum energy state*** (solve differential equations or simulate the evolution of the system)

e.g., Spring Embedder [Eades 84]

# A Simple Planarization Method

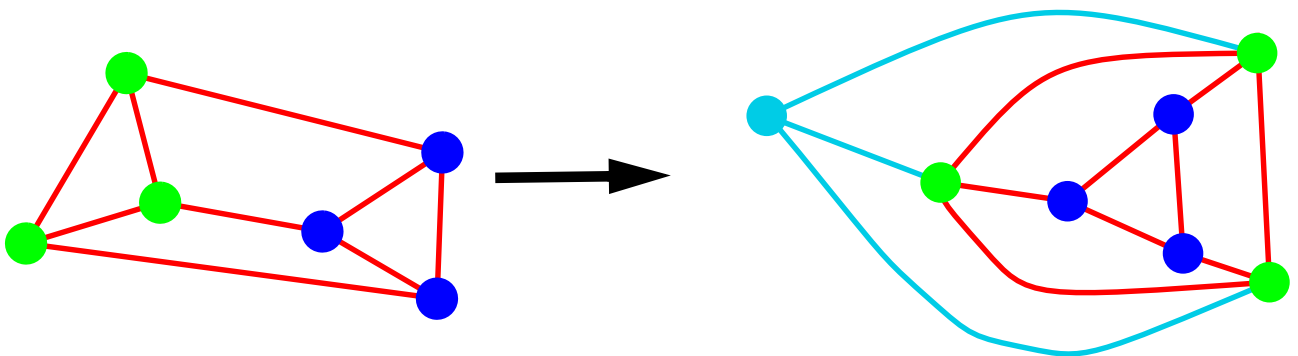
use an *on-line planarity testing* algorithm

1. try adding the edges one at a time, and divide them into “*planar*” (accepted) and “*nonplanar*” (rejected)
2. construct a planar embedding of the subgraph of the planar edges
3. add the nonplanar edges, one at a time, to the embedding, minimizing each time the number of *crossings* (shortest path in *dual graph*)



# Topological Constraints in the Planarization Method

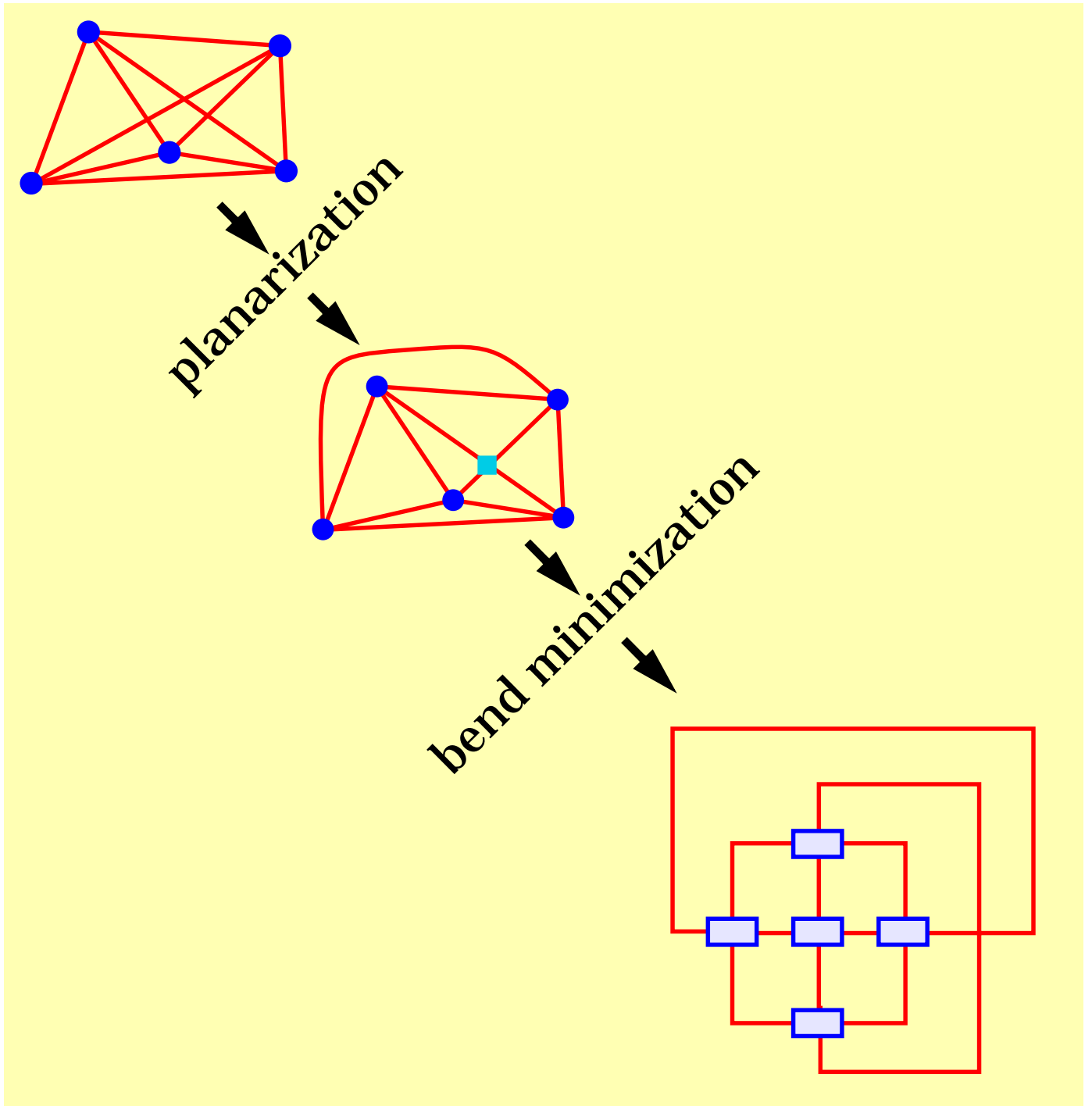
- a limited constraint satisfaction capability exists within the planarization methods
- **Example:** draw the graph such that the edges in a given set **A** have **no crossings**
  - in Step 1, try adding first the edges in **A**
  - in Step 3, put a large “crossing cost” on the planar edges in **A**, and add first the nonplanar edges in **A** (if any)
- **Example:** draw the graph such the vertices of **subset U** are on the **external boundary**
  - add a **fictitious vertex v** and edges from v to all the vertices in **U**
  - let **A** be the set of edges (u,v), with u in **U**
  - impose the above constraint



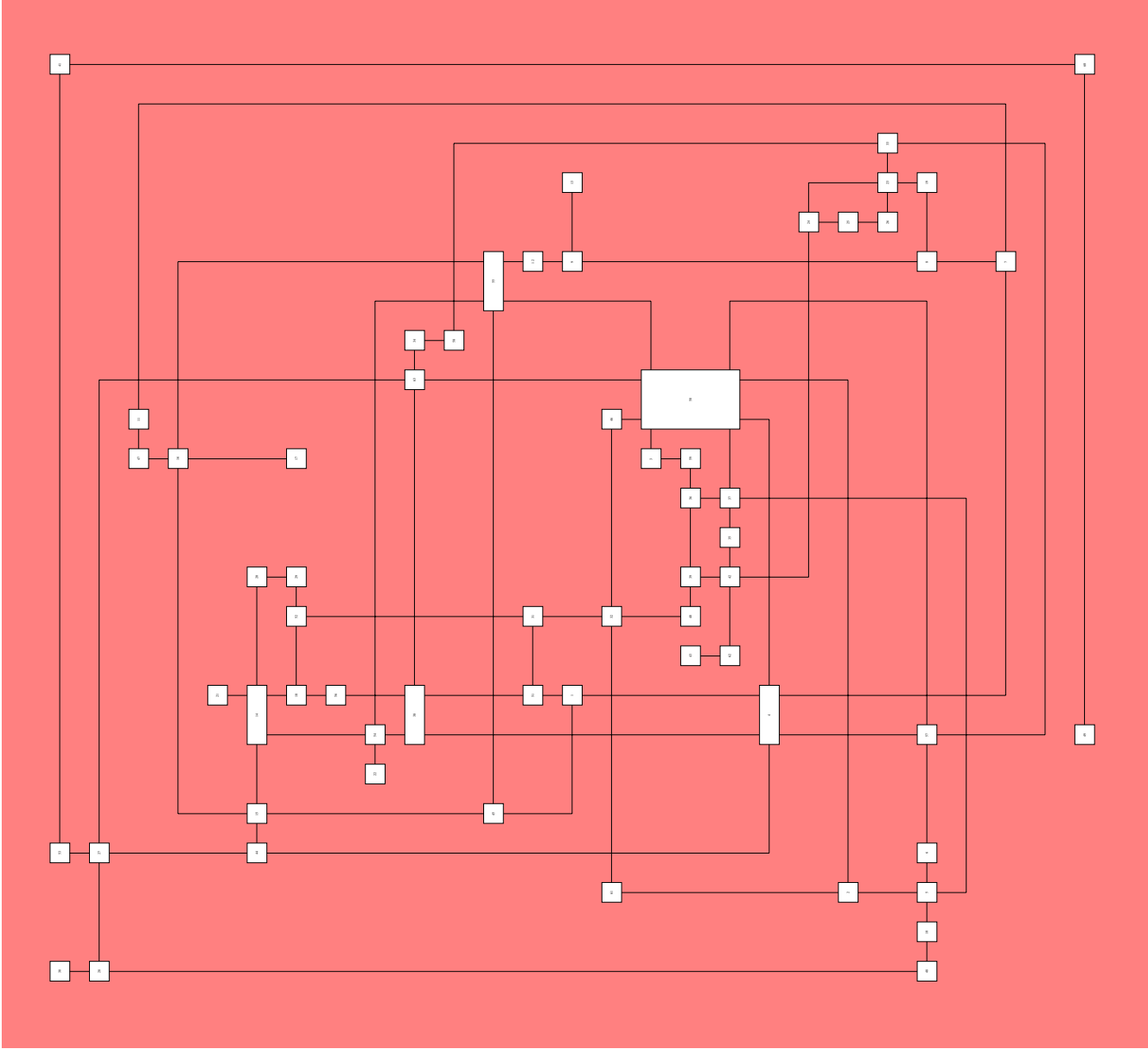
# GIOTTO

[Tamassia Di Battista Batini 88]

- time complexity:  $O((N+C)^2 \log N)$



# Example





# Constraint Satisfaction in GIOTTO

- ***topological constraints***
  - vertices on external face
  - edges without crossings
  - grouping of vertices
- ***shape constraints***
  - subgraphs with prescribed orthogonal shape
  - edges without bends
- topological constraints have ***priority*** over shape constraints because the algorithm assigns first the topology and then the orthogonal shape
- ***grouping is only topological***
- ***no position constraints***
- ***no length constraints***

# Advantages and Disadvantages of Planarization Techniques

## Pro:

- *fast* running time
- *applicable* to straight-line, orthogonal and polyline drawings
- supported by *theoretical results* on planar drawings
- *works well* in practice, *also for large graphs*
- limited *constraint satisfaction* capability

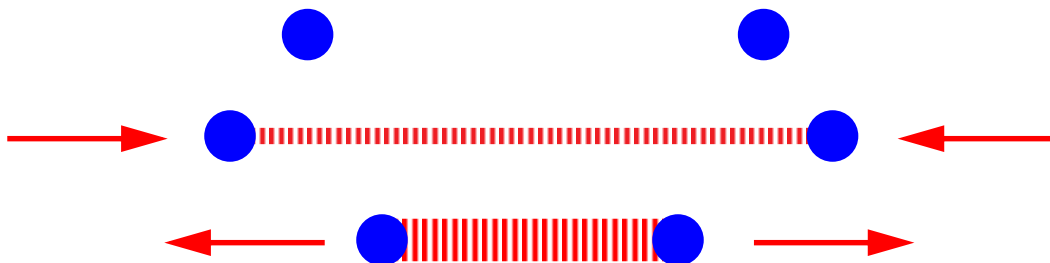
## Con:

- relatively *complex* to implement
- *topological transformations* may alter the user's mental map
- *difficult to extend to 3D*
- *limited constraint satisfaction* capability

# The Spring Embedder

[Eades 1984]

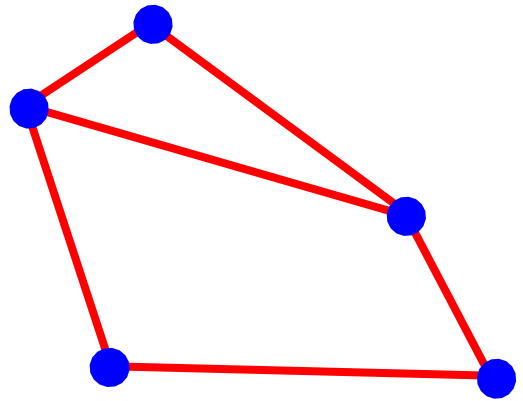
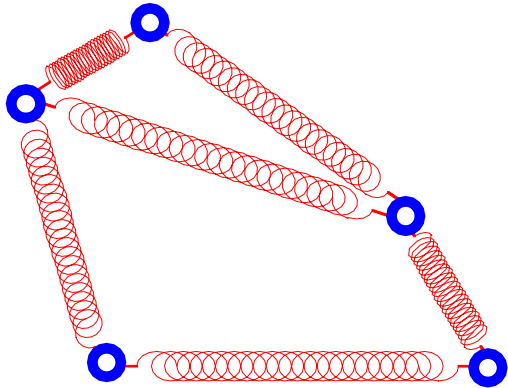
- replace the edges by *springs* with unit natural length
- connect nonadjacent vertices with additional springs with infinite natural length
- recall that the springs attract the endpoints when stretched, and repel the endpoints when compressed



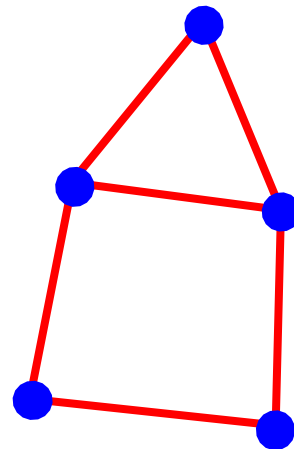
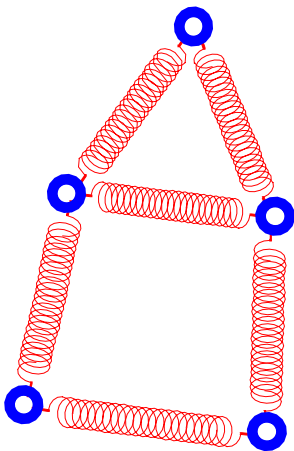
- start with an initial random placement of the vertices
- let the system go ... (assume there is *friction* so that a stable minimum energy state is eventually reached)

# Example

## ■ initial configuration



## ■ final configuration

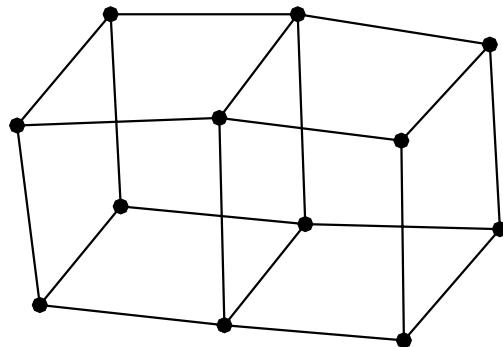
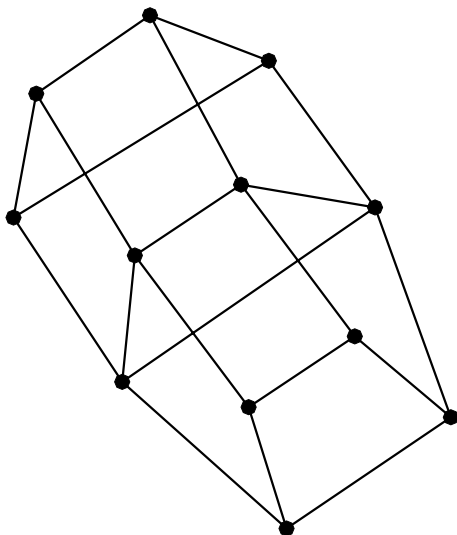
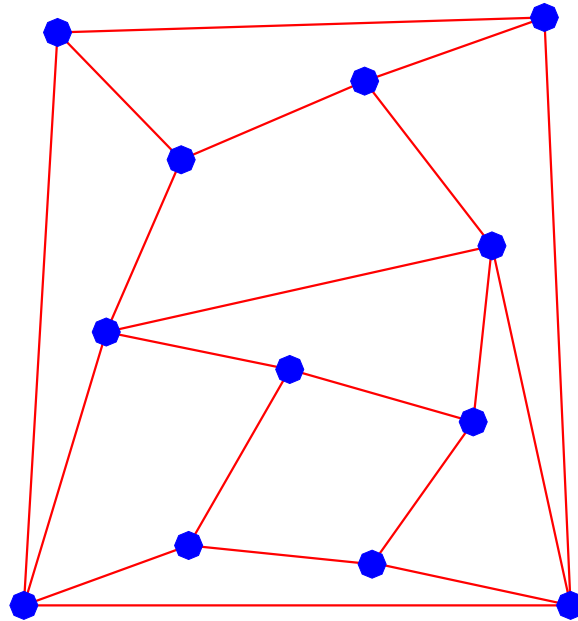


# Other Force-Directed Techniques

- [Kamada Kawai 89]
  - the forces try to place vertices so that their ***geometric distance*** in the drawing is equal to their ***graph-theoretic distance***
  - for each pair of vertices  $(u,v)$  use a ***spring*** with natural length  $\text{dist}(u,v)$
- [Fruchterman Reingold 90]
  - system of forces similar to that of ***subatomic particles*** and celestial bodies
  - given drawing region acts as wall
  - ***n-body simulation***
- [Davidson Harel 89]
  - ***energy function*** takes into account vertex distribution, edge-lengths, and edge-crossings
  - given drawing region acts as wall
  - ***simulated annealing***

# Examples

- drawings of the same graph constructed with the technique of [Davidson Harel 89] using three different energy functions



# Advantages and Disadvantages of Force-Directed Techniques

## Pro:

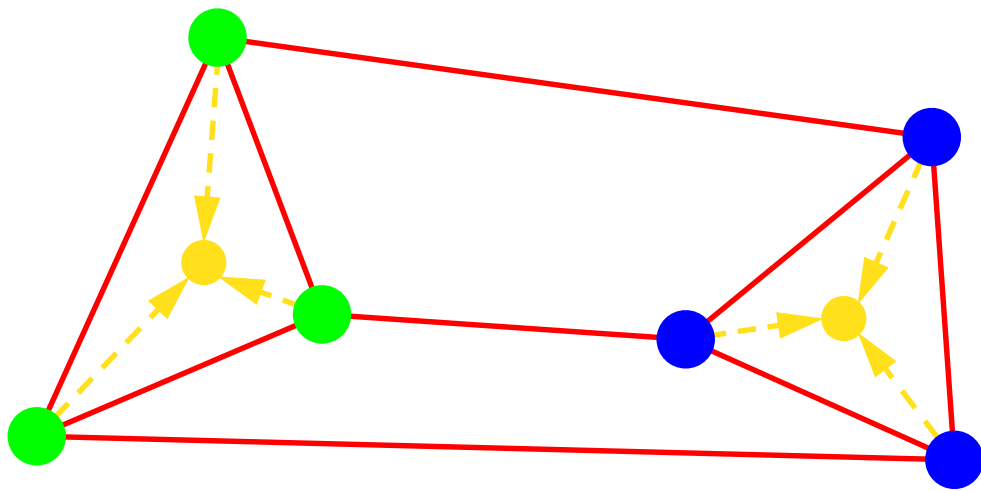
- relatively *simple* to implement
- *heuristic improvements* easily added
- *smooth evolution* of the drawing into the final configuration helps preserving the user's mental map
- *can be extended to 3D*
- often able to detect and display *symmetries*
- *works well* in practice *for small graphs* with regular structure
- limited *constraint satisfaction* capability

## Con:

- *slow* running time
- *few theoretical results* on the quality of the drawings produced
- *difficult to extend* to orthogonal and polyline drawings
- *limited constraint satisfaction* capability

# Constraints in Force-Directed Techniques

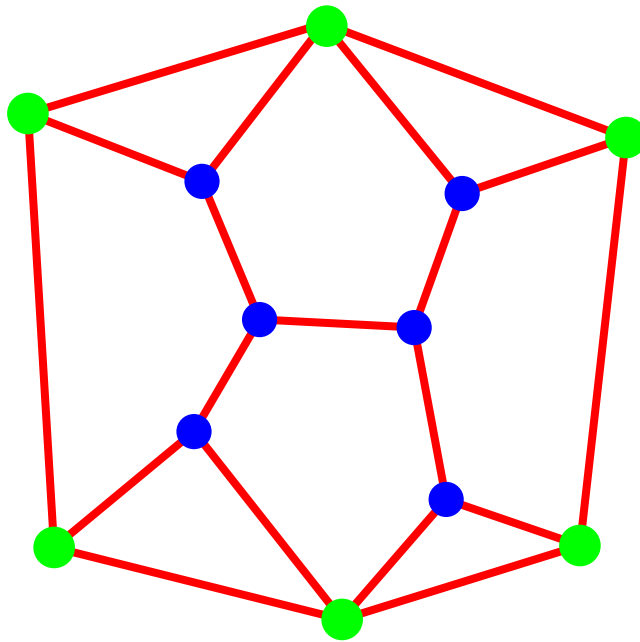
- *position constraints* can be easily imposed
  - we can constrain each vertex to remain in a prescribed region
- other *constraints* can be satisfied provided they can be *expressed by means of forces*, e.g.,
  - “*magnetic field*” to impose orientation constraints [Sugiyama Misue 84]
  - dummy “*attractor*” vertex to enforce grouping





# Springs for Planar Graphs

- use springs with natural length 0, and attractive force proportional to the length
- pin down the vertices of the *external face* to form a given *convex polygon* (position constraints)
- let the system go ...



- the final configuration is a state of minimum energy:  $\min \sum_e [\text{length}(e)]^2$
- equivalent to the *barycentric mapping* [Tutte 60]:

$$\mathbf{p}(v) = 1/\text{deg}(v) \sum_{(v,w)} \mathbf{p}(w)$$

# **General Directed Graphs**

# Layering Method for Drawing General Directed Graphs

- **Layer assignment:** assign vertices to layers trying to minimize
  - **edge dilation**
  - **feedback edges**
- **Placement:** arrange vertices on each layer trying to minimize
  - **crossings**
- **Routing:** route edges trying to minimize
  - **bends**
- **Fine tuning:** improve the drawing with local modifications

[Carpano 80]

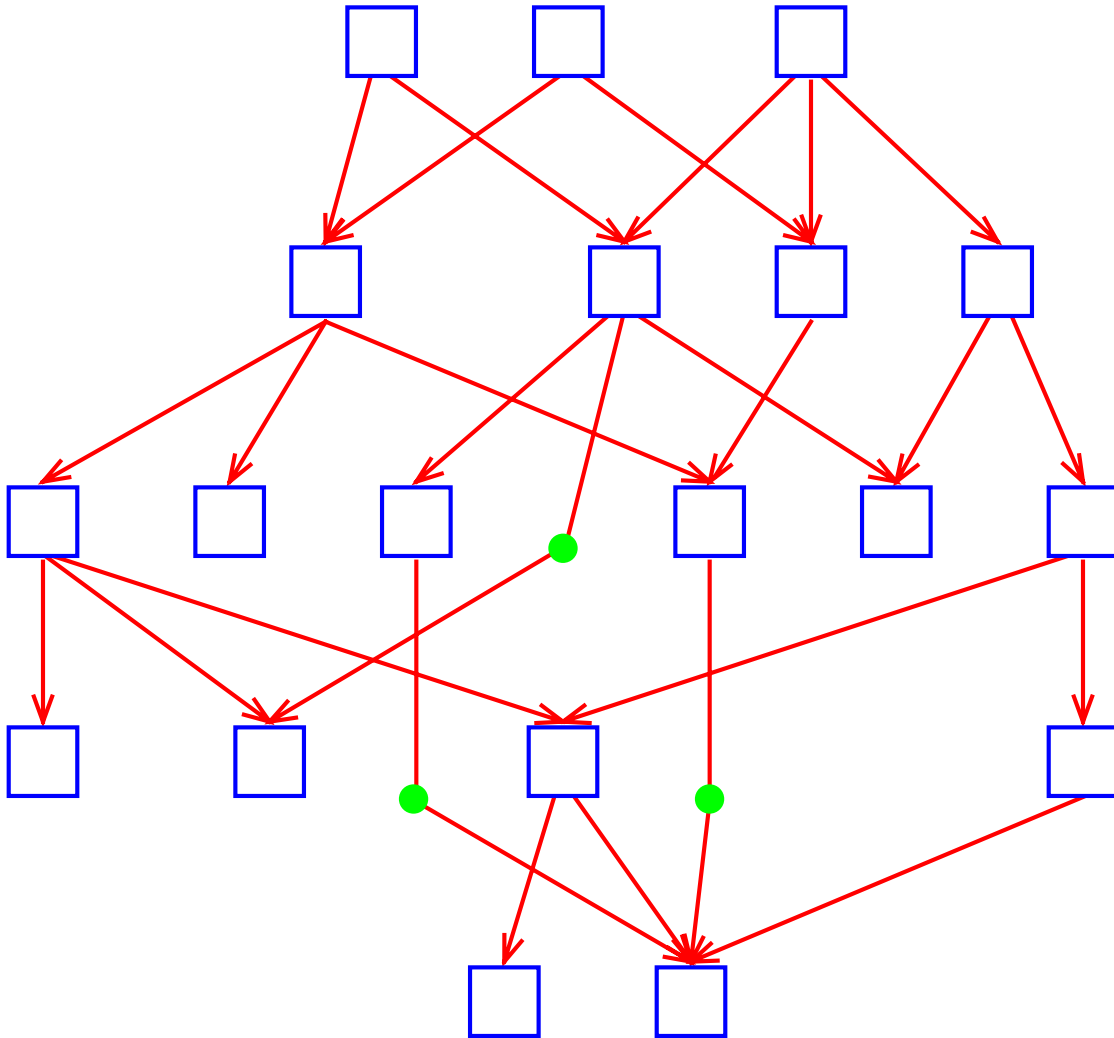
[Sugiyama Tagawa Toda 81]

[Rowe Messinger et al. 87]

[Gansner North 88]

# Example

- [Sugiyama Tagawa Toda 81]



# **Declarative Approaches**

# Declarative Approach

- **These approaches cover a broad range of possibilities:**
  - **Tightly-coupled:** specification and algorithms cannot be separated from each other.
  - **Loosely coupled:** the specification language is a separate module from the algorithms module.
  - Most of the approaches are somewhere in between ...

## *Tightly-coupled approaches*

### *Advantages:*

- The algorithms can be optimized for the particular specification.
- The problem is well-defined.

### *Disadvantages:*

- Takes an expert to modify the code (difficult extensibility).
- User has less flexibility.

## ***Loosely-coupled approaches***

### ***Advantages:***

- Flexible: the user specifies the drawing using constraints, and the graph drawing module executes it.
- Extensible: progressive changes can be made to the specification module and to the algorithms module.

### ***Disadvantages:***

- Potential “impedance mismatch” between the two modules.
- Efficiency: more difficult to guarantee.

# Languages for Specifying Constraints

- Languages for display specification
  - **ThingLab** [Borning 81]
  - IDEAL [Van Wyk 82]
  - **Trip** [Kamada 89]
  - GVL [Graham & Cordy 90]
- Grammars
  - **Visual Grammars** [Lakin 87]
  - Picture Grammars [Golin and Reiss 90]
  - **Attribute Grammars** [Zinßmeister 93]
  - **Layout Graph Grammars**  
[Brandenburg94] [Hickl94]
  - Relational Grammars  
[Weitzman & Wittenburg 94]
- **Visual Constraints**
  - U-term language [Cruz 93]
  - **Sketching** [Gleicher 93] [Gross94]

**Visual**

**Used in GD**

**Used in GD and Visual**



## **ThingLab [Borning 81]**

- Graphical objects are defined by example, and have a *typical* part and a *default* part.
- Constraints are associated with the classes (methods specify constraint satisfaction).
- Object-oriented (message passing, inheritance).
- Visual programming language.

## **Ideal [Van Wyk 82]**

- Textual specification of constraints.
- Graphical objects are obtained by instantiating abstract data types, and adding constraints.
- Uses complex numbers to specify coordinates.

## **GVL [Graham & Cordy 90]**

- Visual language to specify the display of program data structures.
- Pictures can be specified *recursively* (the display of a linked list is the display of the first element of the list, followed by the display of the rest of the list).

# Layout Graph Grammars

[Brandenburg 94] [Hickl 94]

- grammatical (rule-based method) for drawing graphs
- extension of a ***context-free string grammar***
  - underlying context-free graph grammar
  - layout specification for its productions
- by repeated applications of its productions, a graph grammar generates labeled graphs, which define its graph language
- class of layout graph grammars for which ***optimal graph drawings*** can be constructed in polynomial time:
  - H-tree layouts of complete binary trees
  - hv-drawings of binary trees
  - series-parallel graphs
  - NFA state transition diagrams from regular expressions

# Picture Grammars

[Golin & Reiss 90, Golin 91]

- **Production rules use constraints.**
- **Terminals are:**
  - *shapes* (e.g., rectangle, circle, text)
  - *lines* (e.g., arrow)
- **spatial relationships between objects are *operators* in the grammar (e.g., over, left\_of)**

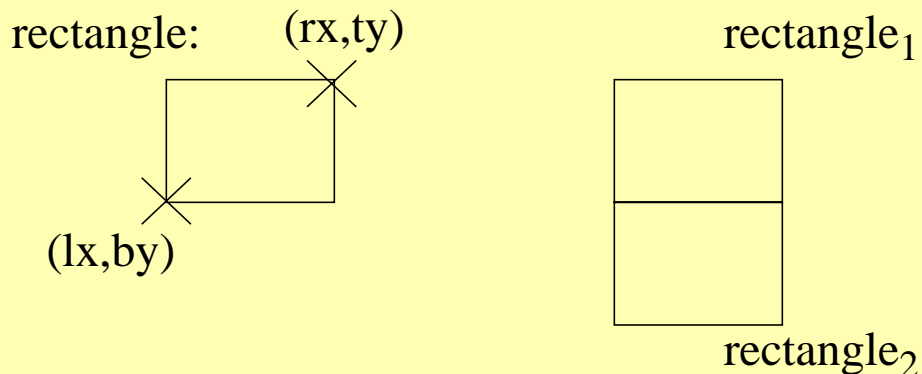
FIGURE  $\rightarrow$  over (rectangle<sub>1</sub>, rectangle<sub>2</sub>)

Where

rectangle<sub>1</sub>.lx == rectangle<sub>2</sub>.lx

rectangle<sub>1</sub>.rx == rectangle<sub>2</sub>.rx

rectangle<sub>1</sub>.by == rectangle<sub>2</sub>.ty



- **More expressive relationships : *tiling*.**
- **Complexity of parsing has been studied.**

# Relational Grammars

[Weitzman & Wittenburg 93, 94]

- **Generalization of attribute string grammars that allow for the specification of geometric positions in 2D and 3D, topological connectivity, arbitrary semantic relations holding among information objects.**

*Article* → *Text Text Text Number Image*

```
(Defrule (Make-Article The-Grammar)
  (0 Article)
  (1 Text)
  (2 Text (Author-Of 2 1))
  . . .

:OUT
(
  . . .

(spaced-below 2 1)
(spaced-below 3 1)
(set-font 1 10pt :bold)
(set-font 1 8pt :italic)

. . .

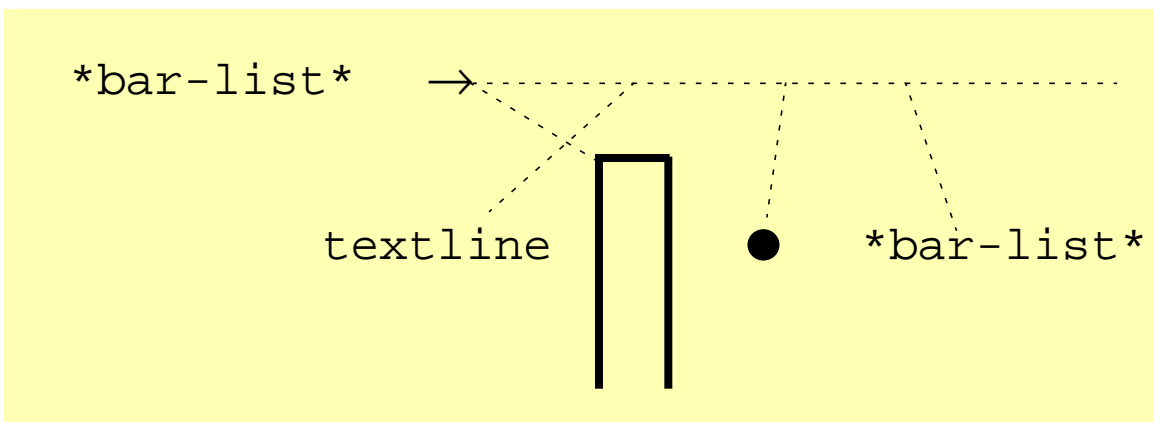
))
```

- **Constraints are solved with DeltaBlue (U. of Washington) for non-cyclic constraints.**

# Visual Grammars

[Lakin 87]

- **Context-free grammar.**
- **Symbols are visual, and are visually annotated.**



- **The interpretation of the visual symbols is left to the implementation.**

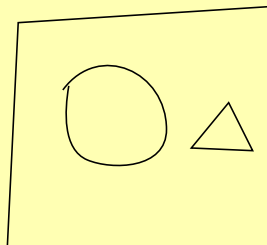
# Expressing Constraints by Sketching

- **Briar [Gleicher 93]**

**Constraint-based drawing program:**

- Direct manipulation drawing techniques.
- Makes relationships between graphical objects persistent
- Performance concerns in solving constraints.

- **Spatial Relation Predicates [Gross 94]**



(CONTAINS BOX CIRCLE)  
(CONTAINS BOX TRIANGLE)  
(IMMEDIATELY-RIGHT-OF CIRCLE TRIANGLE)  
(SAME-SIZE CIRCLE TRIANGLE)

- Applications include retrieval of buildings from an architecture database.

# COOL

[Kamada 89]

- framework for visualizing abstract objects and relations.
- constraint-based object layout system
  - *rigid* constraints
  - *pliable* constraints
  - conflicting constraints can be solved approximately

original textual representation

Analyzer

relational structure representation

Visual Mapping

visual structure representation

COOL

←----- *layout library*

target pictorial representation

# ANDD

[Marks et al]

- layout-aesthetic concerns subordinated to **perceptual-organizational** concerns
- notation for describing the visual organization of a network diagram
  - alignment, zoning, symmetry, T-shape, hub shape
- layout task as a **constrained optimization problem**:
  - constraints derived from a visual-organization specification
  - optimality criteria derived from layout-aesthetic considerations
- two heuristic algorithms:
  - rule-based strategy
  - massive parallel genetic algorithm



# Visual Graph Drawing

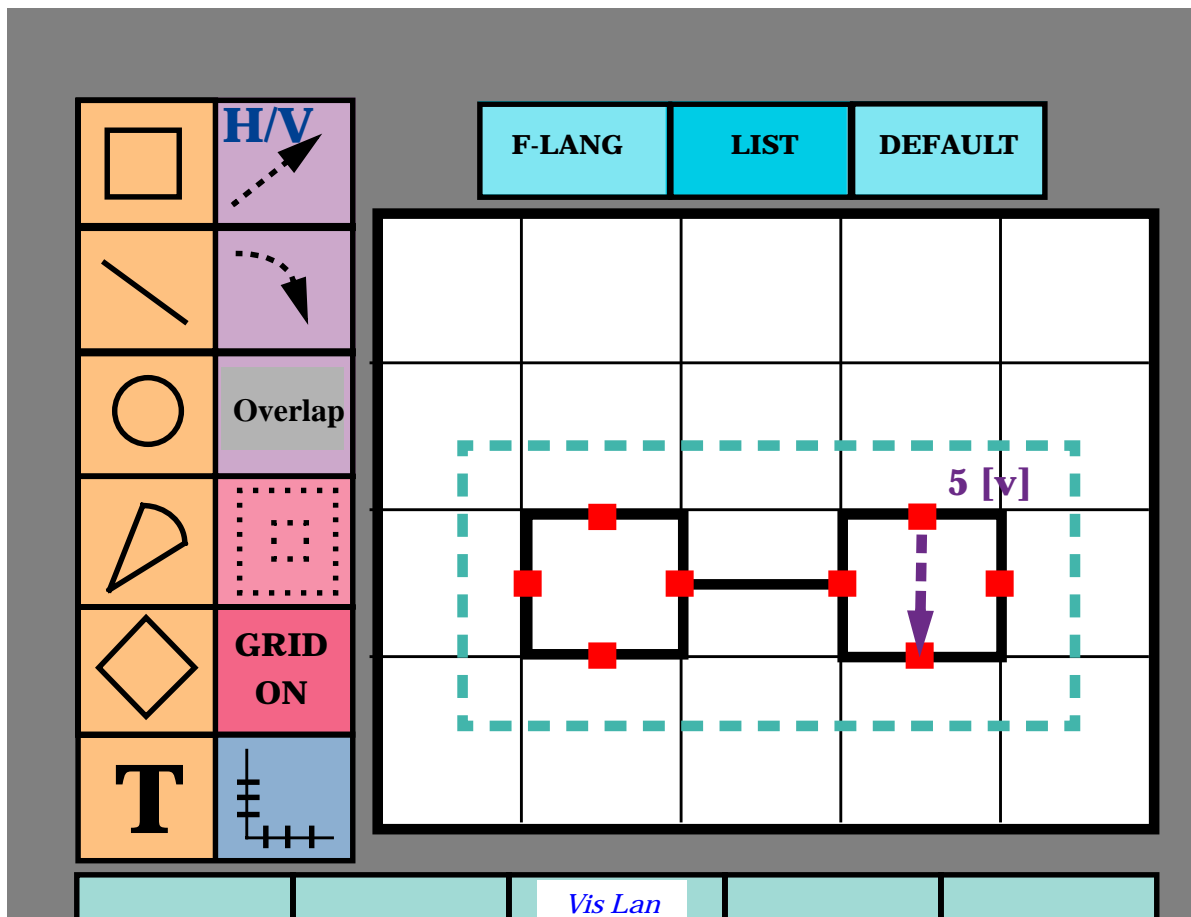
[Cruz, Tamassia Van Hentenryck 93]

- a **visual** approach to graph drawing can reconcile **expressiveness** with **efficiency**
- **Goals**
  - **Visual** specification of layout  
**constraints**: the user should not have to type a long list of textual specifications
  - **Visual** specification of aesthetic criteria associated with **optimization** problems
  - **Extensibility**: the user should not be limited to a prespecified set of visual representations.
  - **Flexibility**: the user should not have to give precise geometric specifications.

# U-term Language

[Cruz 93, 94]

- **Visual constraints.**
- **Simplicity and genericity of the basic constructs.**
- **Ability to specify a variety of displays: graphs, higraphs, bar charts, pie charts, plot charts, . . .**
- **Compatibility with the framework of an object-oriented database language, DOODLE.**
- **Recursive visual specification.**

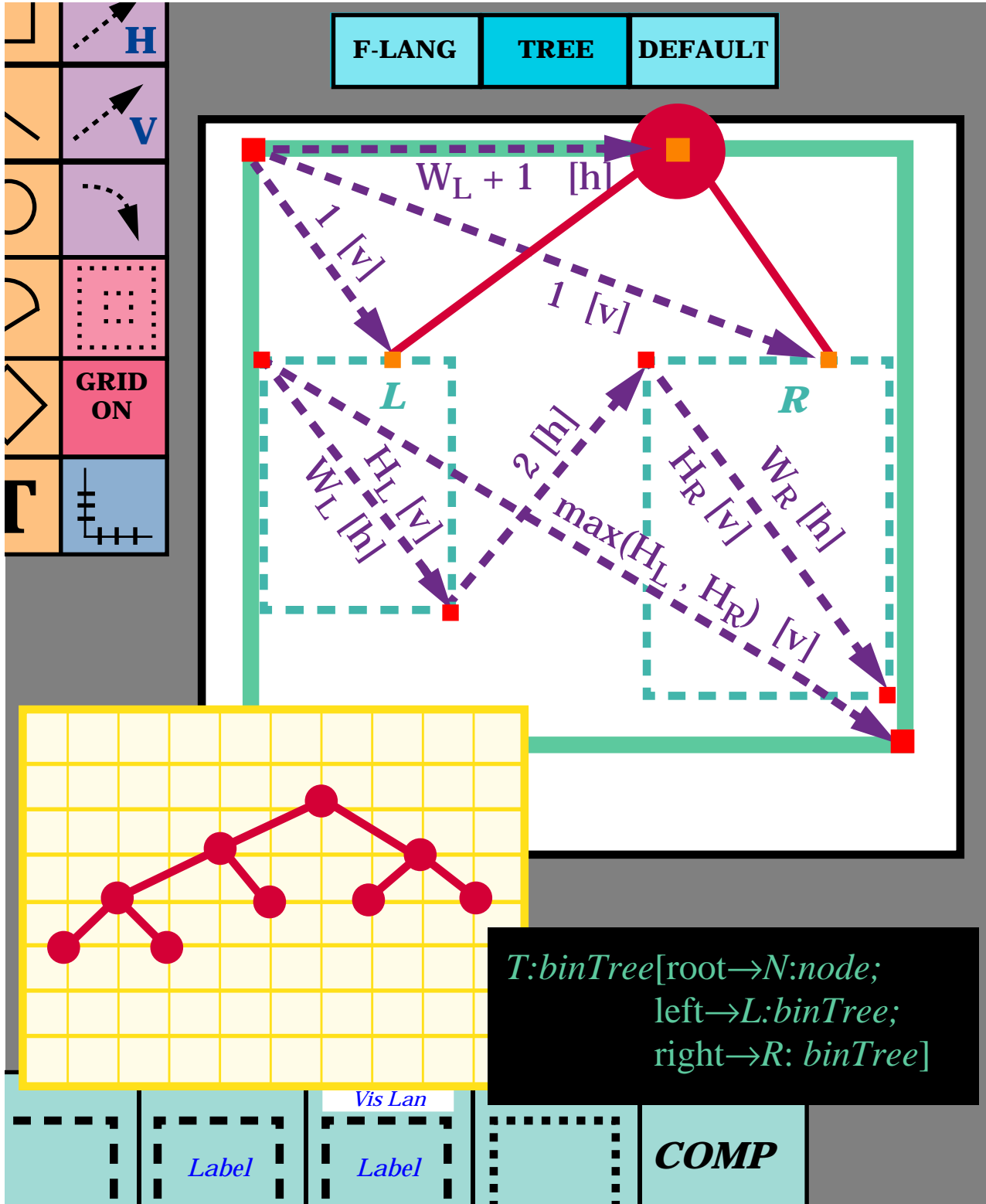


# Efficient Visual Graph Drawing

[Cruz Garg 94] [Cruz Garg Tamassia 95]

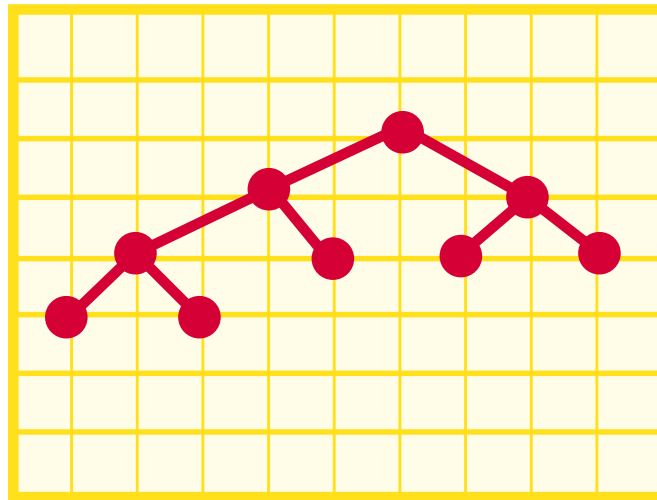
- graph stored in an object-oriented database
- drawing defined “*by picture*” using recursive visual rules of the language DOODLE [Cruz 92]
- a set of *constraints* is generated by the application of the visual rules to the input graph
- various types of drawings can be visually expressed in such a way that the resulting set of constraints can be solved in *linear time*, e.g.,
  - drawings of trees (*upward drawings, box inclusion drawings*)
  - drawings of series-parallel digraphs (*delta drawings*)
  - drawings of planar acyclic digraphs (*visibility drawings, upward planar polyline drawings*)

# Tree Layout




## Characteristics of the Previous Tree Drawings


- Level Drawings
  - Upward
  - Planar
  - Nodes at the same distance from the root are horizontally aligned.
- Display of symmetries.
- Display of isomorphic subtrees.





# Change a few things . . .

F-LANG
Higraph
DEFAULT


 H

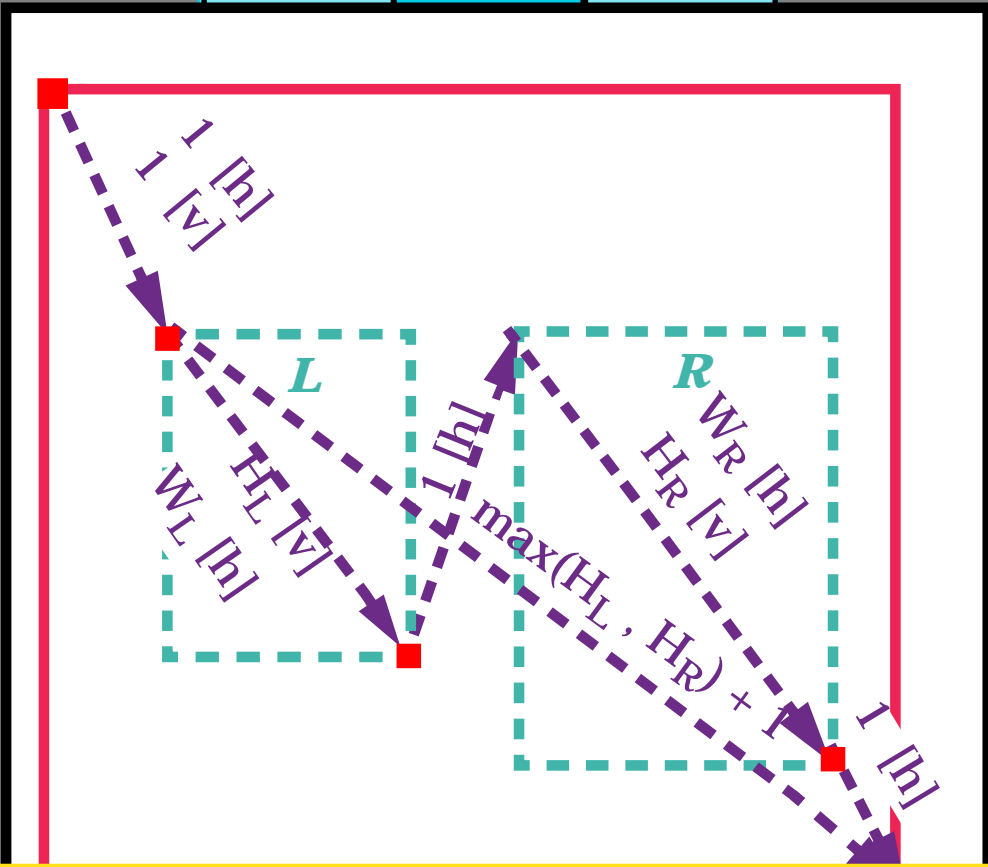
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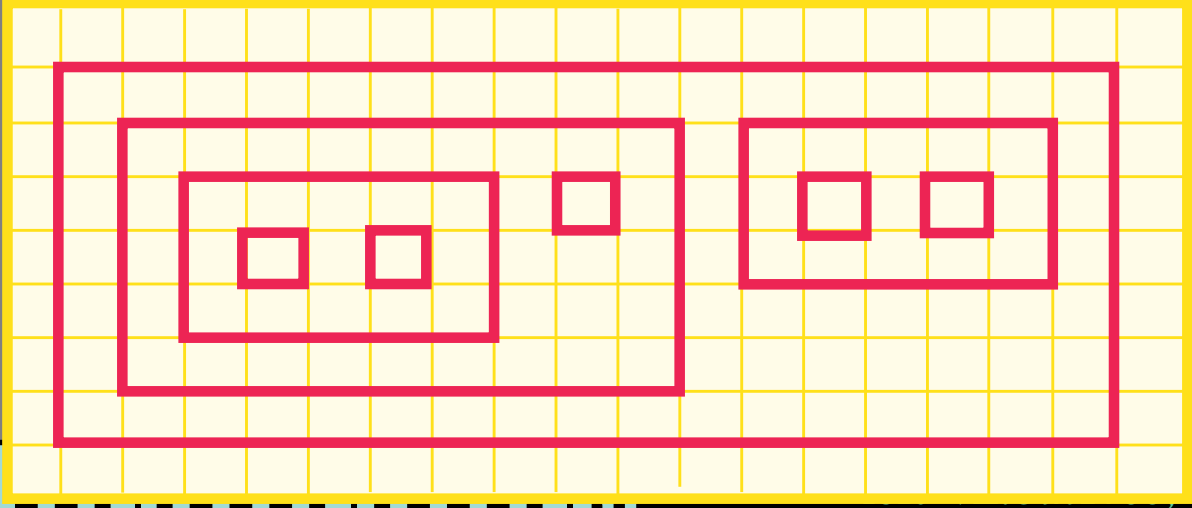




GRID  
ON







Label
Label
right→R: binTree]

# Efficient Visual Graph Drawing

[Cruz & Garg 94]

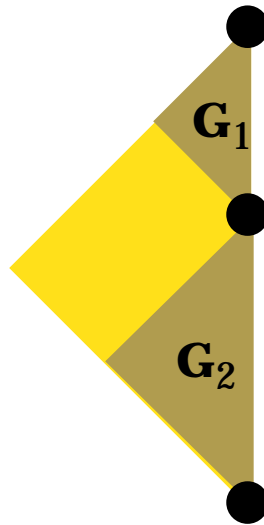
- Recognize **classes of graphs** and **drawings** that can be expressed with **DOODLE** and evaluated efficiently.
- Devise algorithms and data structures for performing drawings in linear time (optimal time):
  - **Trees** (upward drawing, box inclusion drawing).
  - **Series-parallel digraphs** (delta drawing).
  - **Planar acyclic digraphs** (visibility drawing, upward planar polyline drawing).
- **Next:**
  - Extend above results to other classes of graphs and drawings.
  - Constraint viewpoint: framework for evaluating constraints efficiently.
  - Incorporate these algorithms into a declarative graph drawing system that uses **DOODLE**.

## More examples

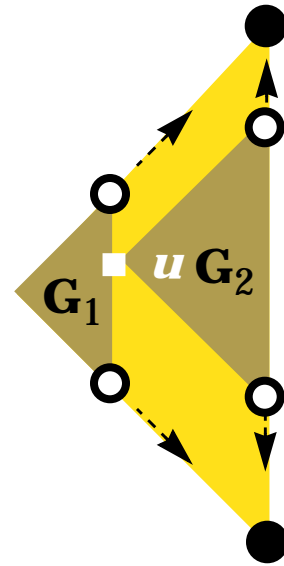
- Series-parallel graphs / delta-drawings  
[Bertolazzi, Cohen, Di Battista, Tamassia & Tollis, 92]



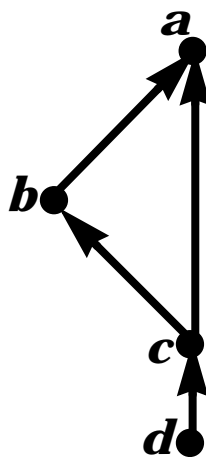
*Base case*



*Series composition*



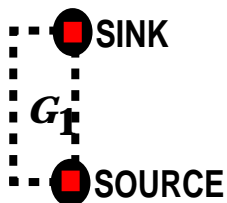
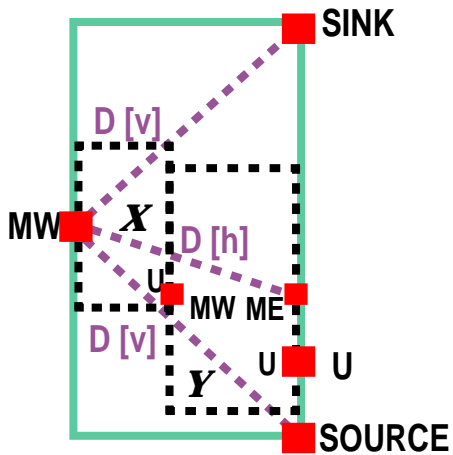
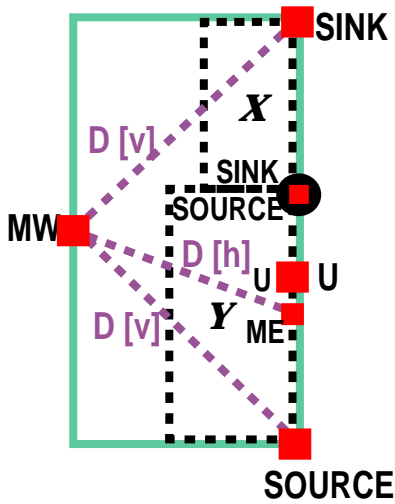
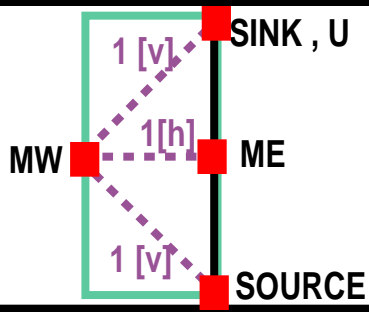
*Parallel composition*



*Example*

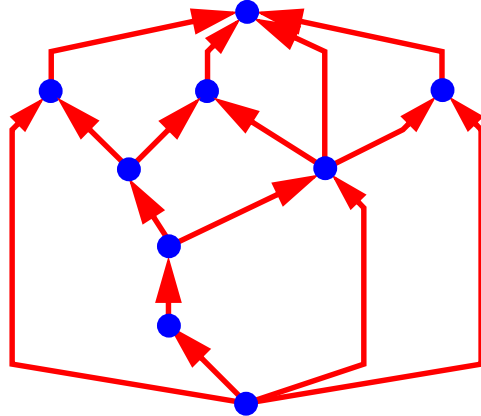


deltaGraph

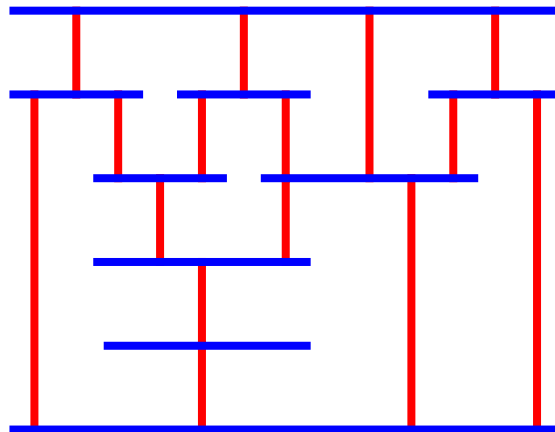


# Drawings of Planar DAGs

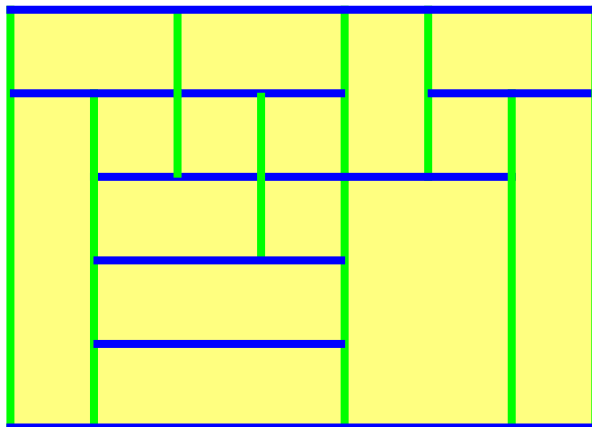
- planar upward drawing



- visibility drawing



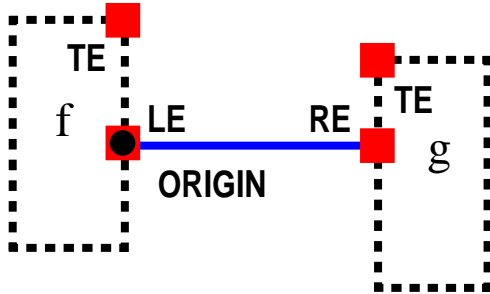
- tessellation drawing



# Tessellation Drawing

TessellationDrawing

v: sourceVertex

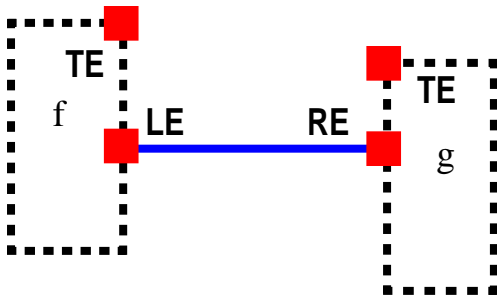


F-Language

v: sourceVertex [ leftFace  $\rightarrow$  f : face ;  
rightFace  $\rightarrow$  g : face]

TessellationDrawing

v: vertex

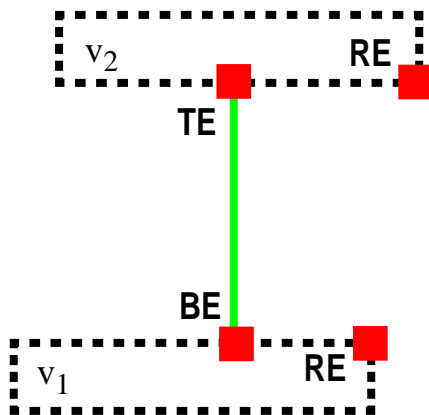


F-Language

v: vertex [ leftFace  $\rightarrow$  f : face ;  
rightFace  $\rightarrow$  g : face]

TessellationDrawing

f: face



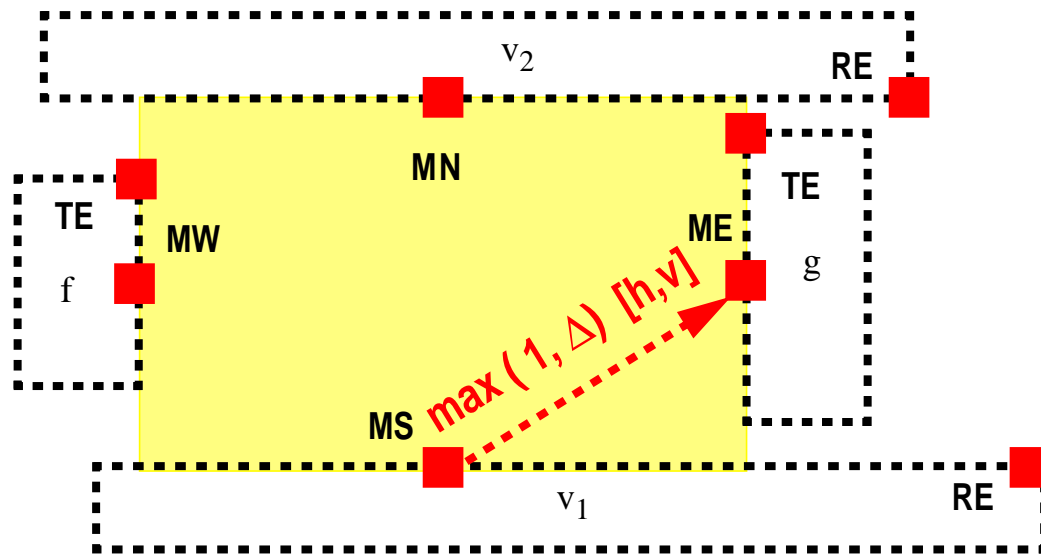
F-Language

f: face [  $\alpha \rightarrow v_2$ : vertex ;  
bottomVertex  $\rightarrow v_1$ : vertex]

# Tessellation Drawing

TessellationDrawing

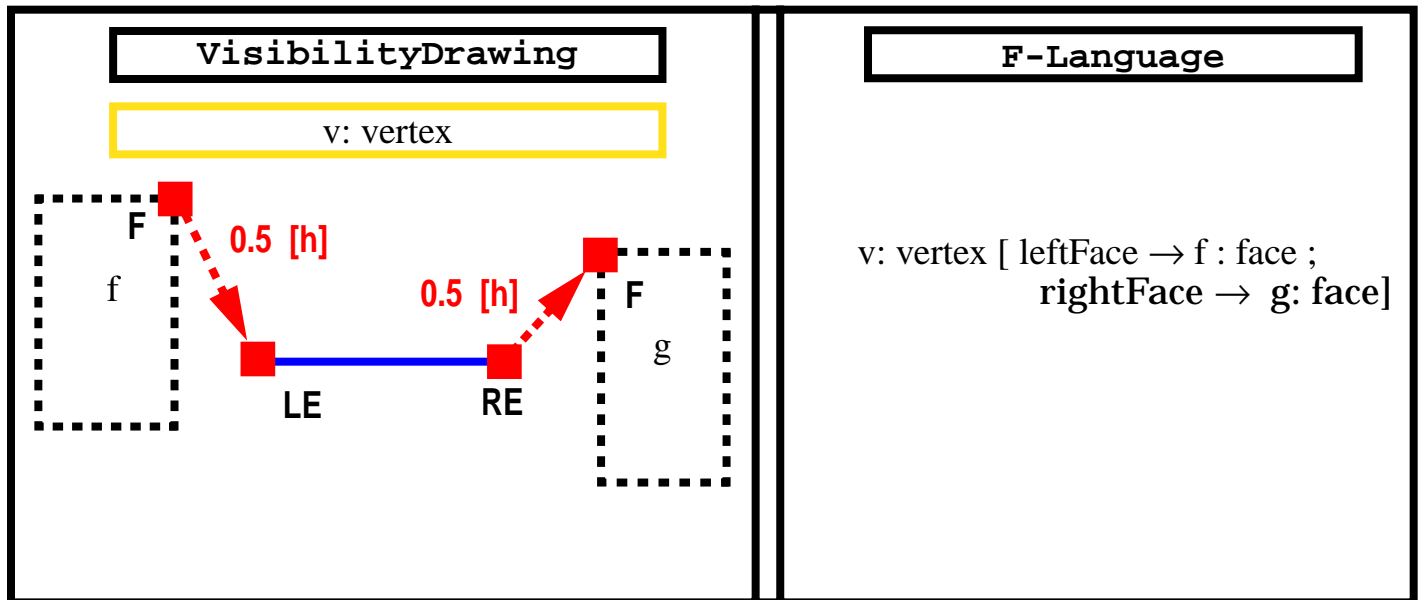
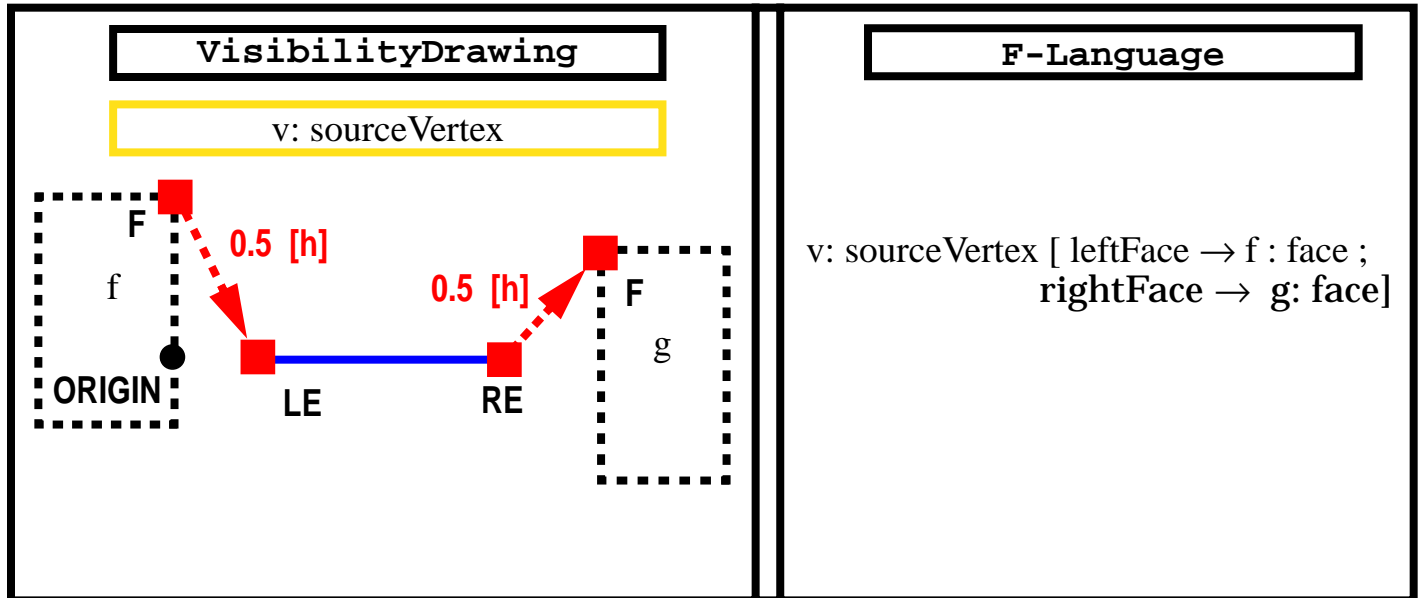
e:edge



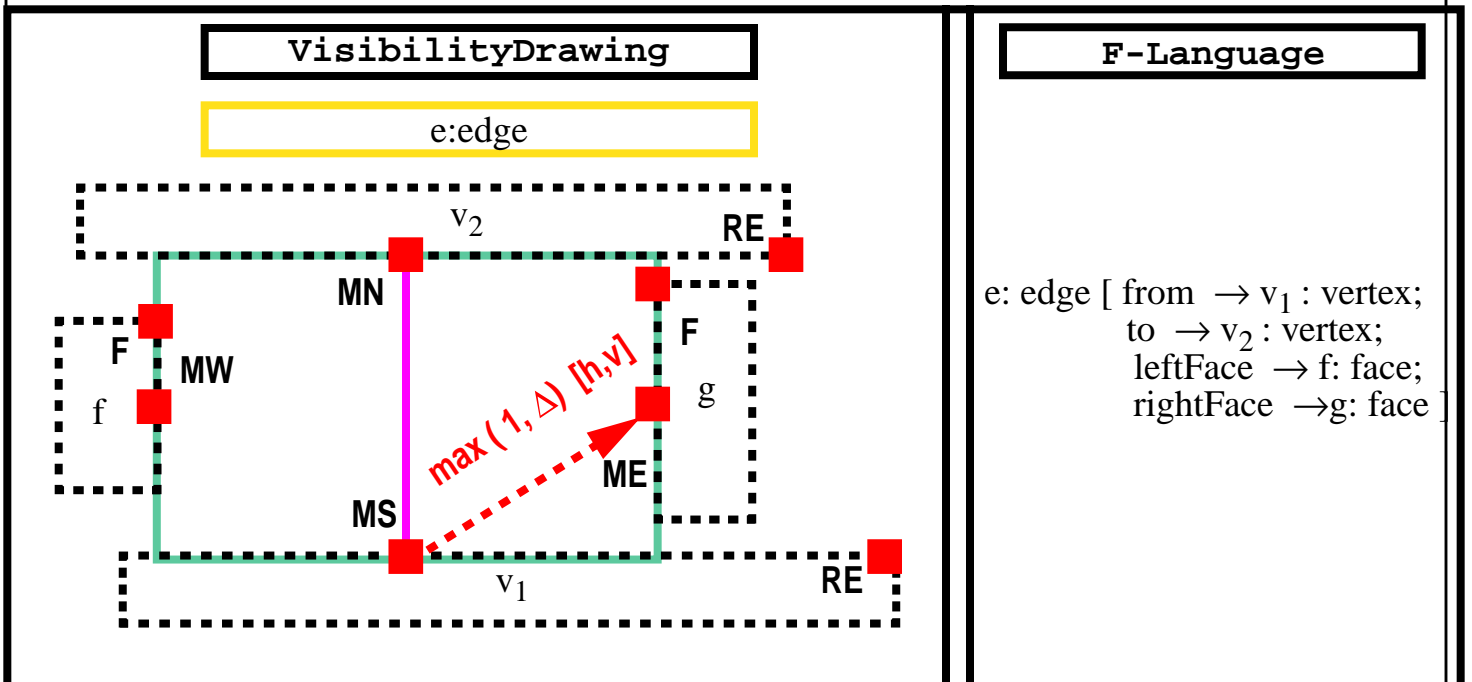
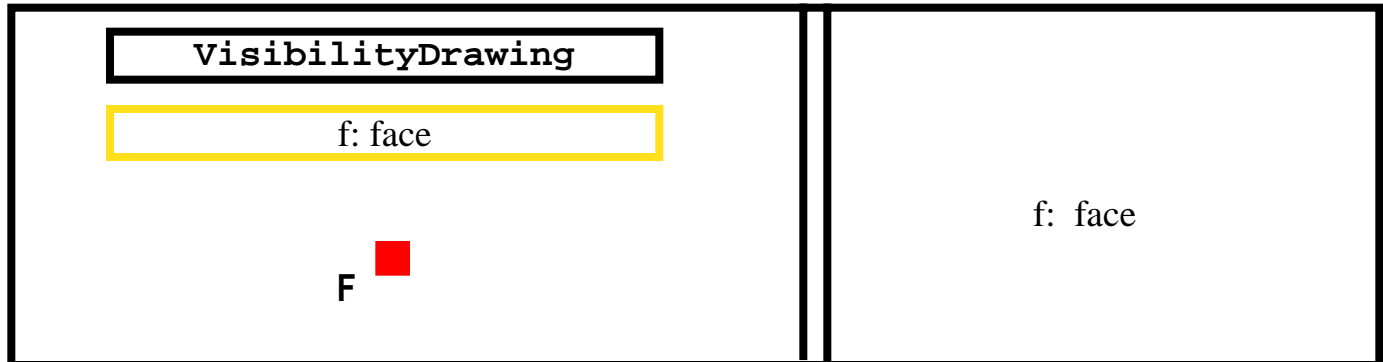
F-Language

e: edge [ from  $\rightarrow v_1$  : vertex;  
 to  $\rightarrow v_2$  : vertex;  
 leftFace  $\rightarrow f$ : face;  
 rightFace  $\rightarrow g$ : face ]

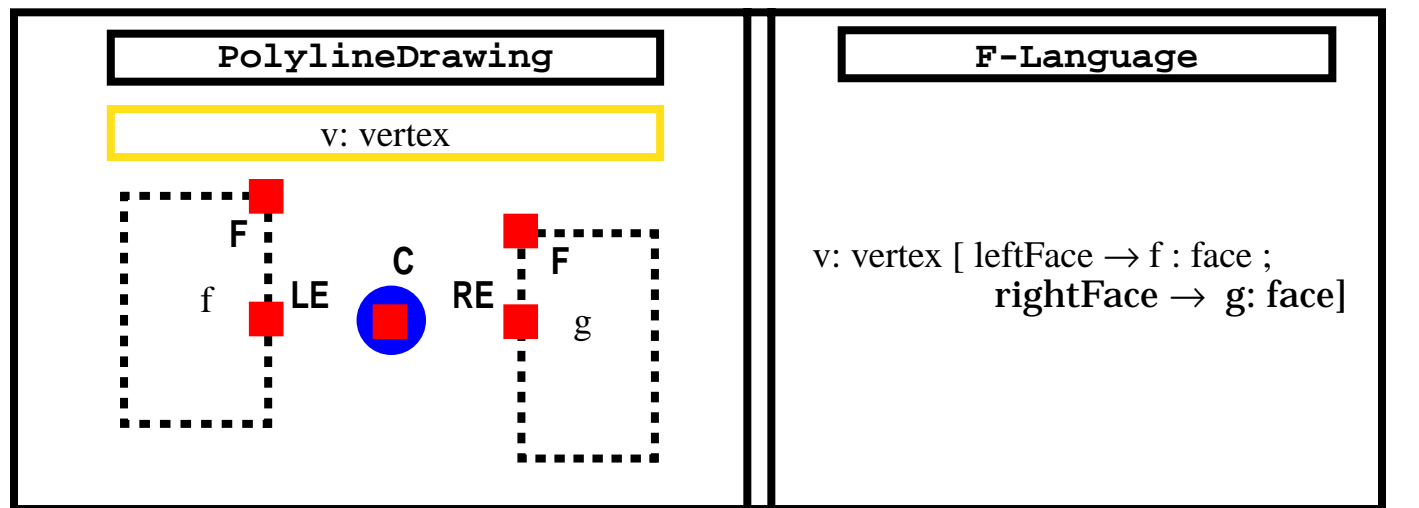
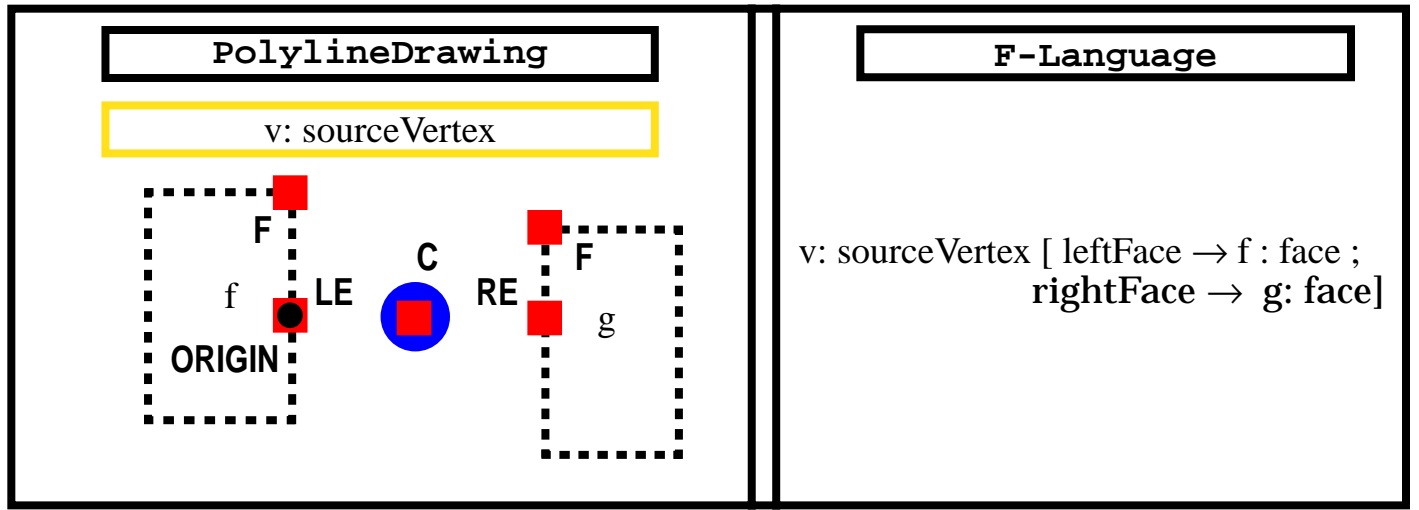
# Visibility Drawing



# Visibility Drawing



# Upward Polyline Drawing



# Upward Polyline Drawing

PolylineDrawing

f: face

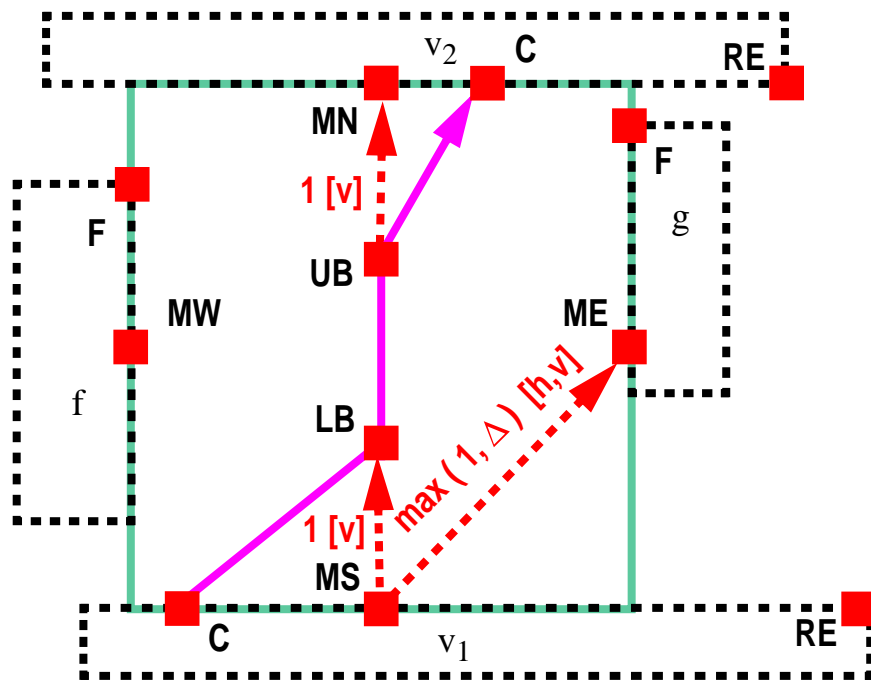
F 

F-Language

f: face

PolylineDrawing

e:edge



F-Language

e: edge [ from  $\rightarrow v_1$  : vertex;  
to  $\rightarrow v_2$  : vertex;  
leftFace  $\rightarrow f$ : face;  
rightFace  $\rightarrow g$ : face ]



## Challenges and Open Problems (Declarative Approach):

- **New approach, therefore much left to explore, in particular:**
  - New specification languages.
  - Reducing the “impedance mismatch.”
  - Design of user interfaces, and evaluation in different environments/applications.
  - Identification of levels of complexity in drawing graphs (e.g., with graph grammars, constraint languages).
  - Expressiveness of the specification languages, in particular of declarative and visual languages.
  - Refinement of the *diagram server* hierarchy, so that we can have a true “tool box” for the declarative, loosely-coupled approach.

# **Systems**

# Some Graph Drawing Systems

## ■ ***Graph Drawing Server***

(Brown University, USA)

■ `loki.cs.brown.edu:8081/graphserver/`

■ **Roberto Tamassia** (`rt@cs.brown.edu`)

## ■ ***GdToolkit***

(University of Rome III)

■ `www.dia.uniroma3.it/people/gdb/wp12/GDT.html`

■ **Giuseppe Di Battista**

(`dibattista@iasi.rm.cnr.it`)

## ■ ***Graphlet***

(University of Passau, Germany)

■ `www.fmi.uni-passau.de/Graphlet/`

■ **Michael Himsolt**

(`himsolt@fmi.uni-passau.de`)

## ■ ***GraphViz***

(AT&T Research)

■ `www.research.att.com/sw/tools/graphviz/`

■ **Stephen North** (`north@research.att.com`)