

## Homework 5

Professor: Maurice Herlihy

TA: Jonathan Lister

**Problem 1.** The universal construction for consensus works well for objects with a deterministic specification, but fails in the case of a nondeterministic specification. Why is this? Additionally, propose a way to use the universal construction with objects with nondeterministic sequential specifications.

*Hint: See Figure 1 for an example of a nondeterministic specification. You may modify the object, the universal construction protocol, or both.*

```

1      public class TwinStack {
2          Stack stacks[] = new Stack[2];
3          public void push(int v) { // Specification : Pushes to either stack 1 or 2.
4              int idx = randInt() % 2;
5              return stacks[idx].push(v);
6          }
7          public void pop() { // Specification : Pops from either stack 1 or 2.
8              int idx = randInt() % 2;
9              return stacks[idx].pop();
10         }
11     }

```

Figure 1: A concurrent object with a nondeterministic specification.

**Problem 2.** Consider a concurrent atomic `PeekableStack( $k$ )` object: An atomic `Stack` with an added `look()` operation. It allows each of  $n$  threads to execute `push()` and `pop()` operations atomically with the usual LIFO semantics. In addition, it offers a `look()` operation, the first  $k$  calls of which return the value at the bottom of the stack (the least recently pushed value that is currently in the stack) without popping it. All subsequent calls to `look()` after the first  $k$  return `null`. Also, `look()` returns `null` when the `Stack` is empty.

- Is it possible to construct a wait-free `Queue` (accessed by at most two threads) from an arbitrary number of `PeekableStack(1)` (i.e., with  $k = 1$ ) objects and atomic read-write registers? Prove your claim!
- Is it possible to construct a wait-free  $n$ -thread `PeekableStack(2)` object from an arbitrary number of atomic `Stack` objects and atomic read-write registers? Prove your claim!

**Problem 3.** Figure 2 shows an alternative implementation of `CLHLock` in which a thread reuses its own node instead of its predecessor node. Explain how this implementation can go wrong, and how the `MCS` lock avoids the problem even though it reuses thread-local nodes.

```

1 public class BadCLHLock implements Lock {
2     AtomicReference<QNode> tail = new AtomicReference<QNode>(new QNode());
3     ThreadLocal<QNode> myNode = new ThreadLocal<QNode> {
4         protected QNode initialValue() {
5             return new QNode();
6         }
7     };
8     public void lock() {
9         QNode qnode = myNode.get();
10        qnode.locked = true;           // I'm not done
11        // Make me the new tail, and find my predecessor
12        QNode pred = tail.getAndSet(qnode);
13        while (pred.locked) {}
14    }
15    public void unlock() {
16        // reuse my node next time
17        myNode.get().locked = false;
18    }
19    static class Qnode { // Queue node inner class
20        volatile boolean locked = false;
21    }
22 }

```

Figure 2: An incorrect attempt to implement a CLHLock.

**Problem 4.** Design a linearizable `isLocked()` method that tests whether any thread is holding a lock (but does not acquire the lock). Give implementations for

- a test-and-set spin lock,
- the CLH queue lock, and
- the MCS queue lock.